

**RSPO PRINCIPLE AND CRITERIA
PUBLIC SUMMARY REPORT**

- Initial Assessment
- Annual Surveillance Assessment (1_3)
- Recertification Assessment (Choose an item.)
- Extension of Scope

Client Company Name / Parent Company: SOCFIN SA
Client Company / Parent Company Address: JL KL.Yos Sudarso No.106 Medan 20115, Sumatera Utara - Indonesia
Certification Unit: PT Socfin Indonesia – Lae Butar Mill
Location of Certification Unit: Desa Rimo, Kec. Gunung Meriah, Aceh Singkil, 24784 Aceh, Indonesia
Date of Final Report: 28/11/2023

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Section 1: Scope of the Assessment

1. Company Details			
Parent Company	Socfin SA		
RSPO Membership Number	1-0269-19-000-00	Membership Approval Date	06/12/2004
Address	JL KL.Yos Sudarso No.106 Medan 20115, Sumatera Utara - Indonesia		
Palm Oil Mill / Group Manager / Estate (Certification Unit)	PT Socfin Indonesia – Lae Butar Mill		
Location / Address	Desa Rimo, Kec. Gunung Meriah, Aceh Singkil, 24784 Aceh, Indonesia		
Website	www.socfin.com		
Management Representative	Mrs. Andria Zulmanitra	E-mail	andria@socfindo.co.id
Telephone	(+62 61) 6616 066	Facsimile	(+62 61) 6616 066

2. Certification Information			
Certificate Number	RSPO 734167	Certificate Start Date	30/11/2020
Date of First Certification	30/11/2015	Certificate Expiry Date	29/11/2025
Scope of Certification	Production of Sustainable Crude Palm Oil (CPO) and Palm Kernel (PK)		
Visit Objectives	<ul style="list-style-type: none"> - Determination of the conformity and consistency implementation of Lae Butar Palm Oil Mill and its supply bases against Indonesia National Interpretation 2020 for RSPO P&C 2018 for the Production of Sustainable Palm Oil. - Evaluation of the ability of the management system to ensure Lae Butar Palm Oil Mill and its supply bases meets applicable statutory, regulatory and contractual requirements. 		
Assessment Cycle	<input type="checkbox"/> Pre-Assessment (Choose an item.) <input type="checkbox"/> Initial Assessment <input checked="" type="checkbox"/> Annual Surveillance Assessment (ASA 1_3) <input type="checkbox"/> Recertification Assessment (Choose an item.) <input type="checkbox"/> Scope Extension		
Applicable Standards / Normative Reference	RSPO Certification System for P&C and RSPO ISH 2020 <input type="checkbox"/> Choose an item. <input checked="" type="checkbox"/> Indonesia National Interpretation 2020 for RSPO P&C 2018 for the Production of Sustainable Palm Oil		
Supply Chain Module	<input checked="" type="checkbox"/> Identity Preserved; <input type="checkbox"/> Mass Balance	Mill Capacity	23 MT FFB/hour
ISH certification Phase	<input type="checkbox"/> Eligibility <input type="checkbox"/> Milestone A <input type="checkbox"/> Milestone B <input checked="" type="checkbox"/> Not Applicable		
Is this a remote audit or on-site audit	<input checked="" type="checkbox"/> On-site audit (Option AI)	<input type="checkbox"/> On-site audit (Option AII)	<input type="checkbox"/> Remote audit (Option B)

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3. Other Certifications			
Certificate Number	Standard(s)	Certificate Issued by	Expiry Date
ISPO 742318	ISPO	PT. BSI Group Indonesia	03/02/2026

4. Location(s) of Mill & Supply Bases			
Name (Mill / Supply Base / Group Manager / Smallholders)	Location	GPS Coordinates	
		Latitude	Longitude
Lae Butar Palm Oil Mill	Desa Rimo, Kecamatan Gunung Meriah, Aceh Singkil, Aceh, Indonesia	02° 23' 28.90" N	97° 57' 24.20" E
Lae Butar Estate	Desa Rimo, Kecamatan Gunung Meriah, Aceh Singkil, Aceh, Indonesia	02° 23' 25.50" N	97° 57' 27.40" E
Notes:			

5. Description of Supply Base					
New Planting Development	<input checked="" type="checkbox"/> No (no change in total planted area)		<input type="checkbox"/> Yes		
Estate / Smallholders	Total Planted (Mature + Immature) (ha)	HCV (ha)	Infrastructure & Other (ha)	Total Area (ha)	% of Planted
Lae Butar Estate	4,427.44	59.05*	299.96	4,727.40	93.65
Total	4,427.44	59.05	299.96	4,727.40	93.65
Note: *30.72 Ha of HCV Area inside Infrastructure & Other and 28.33 Ha of HCV Area in Planted Area.					

6. Plantings & Cycle						
Estate / Smallholders	Age (Years) - ha				Mature	Immature
	0 - 3	4 - 14	15 - 25	>25		
Lae Butar Estate	350.35	920.04	1,862.00	1,295.05	4,077.09	350.35
Total (ha)	350.35	920.04	1,862.00	1,295.05	4,077.09	350.35
Note: Only Mature area is considered as production area						

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7. Summary of Certified Tonnage of FFB (Own Certified Scope)				
Estate / Smallholders	Tonnage (MT) / year			
	Estimated last year (Nov 2022 – Oct 2023)	Actual (Oct 2022 – Sep 2023)		Forecast (Nov 2023 – Oct 2024)
		Previous license period (Oct 2022)	Current license period (Nov 2022 – Sep 2023)	
Lae Butar Estate	98,659.16	7,096.32	91,893.47	100,474.64
Volume extension	10,655			
Total	109,314.16	98,989.79		100,474.64

Note: *Volume extension approved by RSPO on 24 November 2023

8. Summary of Certified Tonnage of FFB (from other certified unit(s))				
Estate / Smallholders	Tonnage (MT) / year			
	Estimated last year (Nov 2022 – Oct 2023)	Actual (Oct 2022 – Sep 2023)		Forecast (Nov 2023 – Oct 2024)
		Previous license period (Oct 2022)	Current license period (Nov 2022 – Sep 2023)	
N/A		N/A	N/A	
Total		N/A		

Note:

9. Summary of Non-Certified Tonnage of FFB (outside supplier – excluded from certificate)				
Out growers / smallholders	Tonnage (MT) / year			
	Estimated last year (Nov 2022 – Oct 2023)	Actual (Oct 2022 – Sep 2023)		Forecast (Nov 2023 – Oct 2024)
		Previous license period (Oct 2022)	Current license period (Nov 2022 – Sep 2023)	
N/A	N/A	N/A	N/A	N/A
Total	N/A	N/A		N/A

Note:

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9A. Monthly Records of Certified and Uncertified FFB Received since the last audit				
No.	Month - Year	Volume of FFB from certified supply base (mt)	Volume of FFB from uncertified supply base (mt)	Total FFB/Month (mt)
1	October – 2022	7,096.32	-	7,096.32
2	November – 2022	6,246.41	-	6,246.41
3	December – 2022	6,271.16	-	6,271.16
4	January – 2023	7,800.35	-	7,800.35
5	February – 2023	8,496.15	-	8,496.15
6	March – 2023	10,109.47	-	10,109.47
7	April – 2023	9,411.98	-	9,411.98
8	May – 2023	9,885.12	-	9,885.12
9	June – 2023	9,275.09	-	9,275.09
10	July – 2023	8,753.92	-	8,753.92
11	August – 2023	8,854.52	-	8,854.52
12	September – 2023	6,789.30	-	6,789.30
TOTAL		98,989.79	-	98,989.79

Note:

10. Summary of Certified Tonnage (MT) (not applicable for ISS)			
Estimated last year (Nov 2022 – Oct 2023)	Actual (Oct 2022 – Sep 2023)		Forecast (Nov 2023 – Oct 2024)
	Previous license period (Oct 2022)	Current license period (Nov 2022 – Sep 2023)	
FFB	FFB		FFB
109,314.16 mt	7,096.32 mt	91,893.47 mt	100,474.64 mt
	TOTAL	98,989.79 mt	
CPO (OER: 23.00 %)	CPO (OER: 22.68 %)		CPO (OER: 22.65 %)
22,691.61 mt	1,608.30 mt	20,845.17 mt	22,757.51 mt
	TOTAL	22,453.47 mt	
PK (KER: 4.02 %)	PK (KER: 4.00 %)		PK (KER: 4.00 %)
3,966.10 mt	288.83 mt	3,668.96 mt	4,019.00 mt
	TOTAL	3,957.79 mt	

Note: *Volume extension approved by RSPO on 24 November 2023

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10A. Monthly Records of Certified CPO & PK since the last audit			
No.	Month - Year	Certified CPO (MT)	Certified PK (MT)
1	October – 2022	1,608.30	288.83
2	November – 2022	1,387.46	256.35
3	December – 2022	1,373.50	247.34
4	January – 2023	1,743.37	304.10
5	February – 2023	1,908.30	349.57
6	March – 2023	2,319.35	418.65
7	April – 2023	2,142.84	367.37
8	May – 2023	2,269.54	403.98
9	June – 2023	2,119.42	364.82
10	July – 2023	1,973.13	358.51
11	August – 2023	2,066.54	341.43
12	September – 2023	1,541.72	256.84
TOTAL		22,453.47	3,957.79
Note:			

11. Summary of Actual Volume sold					
Current License period (Nov 2022 – Sep 2023)					
	RSPO Certified	Other Schemes Certified		Conventional	Total
		ISCC	Others		
CPO (MT)	18,401.80	-	-	-	18,401.80
PK (MT)	3,598.15	-	-	-	3,598.15
Credits	-	-	-	-	-
Previous License period (Oct 2022)					
CPO (MT)	1,168.00	-	-	-	1,168.00
PK (MT)	149.00	-	-	-	149.00
Credits	-	-	-	-	-
Note: Conventional is RSPO certified material but sold as non-RSPO.					

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11A. Records of Certified CPO & PK Sold under PalmTrace since the last audit (if any)				
No.	Buyers Name	PalmTrace Trading License Number	Certified CPO Sold (MT)	Certified PK Sold (MT)
1	PT SMART TBK	RSPO_PO1000000970	8,517.80	604.00
2	PT Musim Mas - Belawan	RSPO_PO1000000076	11,052.00	-
3	PT Musim Mas – KIM 1	RSPO_PO1000000730	-	3,143.15
TOTAL			19,569.80	3,747.15
Note:				

11B. Records of certified CPO & PK Sold under other schemes since the last audit (if any)				
No.	Buyers Name	Scheme Name	Certified CPO Sold (MT)	Certified PK Sold (MT)
	Nil	Nil	Nil	Nil
TOTAL			N/A	N/A
Note:				

11C. Records of CPO & PK Sold as conventional since the last audit (if any)				
No.	Buyers Name	CPO Sold (MT)	PK Sold (MT)	
	Nil	Nil	Nil	
TOTAL		N/A	N/A	
Note:				

11D. Records of Certified CPO Sold under RSPO Credits since the last audit (if any)			
No.	Buyers Name	PalmTrace Trading License Number	RSPO Credits of Certified CPO Sold
	Nil	Nil	Nil
TOTAL			N/A
Note:			

12. Independent Smallholders Certified Tonnage (MT) / Volume									
	Estimated last year (Not Applicable)			Actual (Not Applicable)			Forecast (Not Applicable)		
Phase	Eligibility	MS A	MS B	Eligibility	MS A	MS B	Eligibility	MS A	MS B
	40%	70%	100%	40%	70%	100%	40%	70%	100%
FFB			N/A			N/A			N/A
IS-CSPO	N/A	N/A		N/A	N/A		N/A	N/A	
IS-CSPKO	N/A	N/A		N/A	N/A		N/A	N/A	
IS-CSPKE	N/A	N/A		N/A	N/A		N/A	N/A	
CSPK	N/A	N/A		N/A	N/A		N/A	N/A	

12A. Monthly Records of Certified CPO, PK & PKE (equivalent) produced since the last audit						
No.	Month - Year	FFB (MT)	Certified CPO (MT)	Certified PK (MT)	Certified PKO (MT)	Certified PKE (MT)
	N/A	N/A	N/A	N/A	N/A	N/A
TOTAL		N/A	N/A	N/A	N/A	N/A

Note: 1 mt = 1 credit

13. Independent Smallholders Actual Sold Tonnage / Volume							
	FFB	FFB Conventional	FFB Other schemes	IS-CSPO	CSPK	IS-CSPKO	IS-CSPKE
Current License period (key in period)							
Credits				N/A	N/A	N/A	N/A
Physical	N/A	N/A	N/A				
Previous License period (key in period)							
Credits				N/A	N/A	N/A	N/A
Physical	N/A	N/A	N/A				

13A. Records of Certified FFB, CPO, PK & PKE (including credits) sold since the last audit							
No.	Buyers Name	PalmTrace Trading License Number	FFB Sold (MT)	Certified CPO Sold (MT/credit)	Certified PK Sold (MT/credit)	Certified PKO Sold (MT/credit)	Certified PKE Sold (MT/credit)
	N/A	N/A	N/A	N/A	N/A	N/A	N/A
TOTAL			N/A	N/A	N/A	N/A	N/A

Note:

Section 2: Assessment Process

Certification Body:

BSI Services Malaysia Sdn. Bhd. (ASI Accreditation Number: ASI-ACC-067)
Suite 29.01 Level 29, The Gardens North Tower,
Mid Valley City, Lingkaran Syed Putra,
59200 Kuala Lumpur, Malaysia.
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2.1 Assessment Methodology, Programme, Site Visits

The on-site assessment was conducted on 2 – 5 October 2023. The audit programme is included as Section 2.3.

The approach to the audit was to treat the mill and supply base as an RSPO Certification Unit. A range of occupational health and safety, environmental, best practice management, and social factors were covered. This includes consideration of topography, palm age, proximity to areas with HCVs, declared conservation areas, local communities engagement and workers welfare and safety.

The methodology for collection of objective evidence included physical site inspections, observation of tasks and processes, interviews of staff, workers and their families and external stakeholders, review of documentation and monitoring data. Indonesia National Interpretation 2020 of the RSPO P&C 2018 was used as the normative reference to assess compliance. The comments made by external stakeholders were also taken into account in the assessment.

The minimum sample size is four estates. Sample size for certification unit with more than four (4) estates were determined based on formula $N = (\sqrt{y}) \times (z)$ where y is the number of estates and where z is the multiplier defined by risk assessment. While, the sampling of smallholders were based on the formula $(\sqrt{y}) \times (z)$; where y is total number of group member and where z is the multiplier defined by the risk assessment. The sampled smallholder listed in Appendix E.

Meetings were held with stakeholders to seek their views on the performance of the company with respect to the RSPO requirements and aspects where they considered that improvements could be made. At the start of each meeting, the interviewer explained the purpose of the audit followed by an evaluation of the relationship between the stakeholder and the company before discussions proceeded. The interviewer recorded comments made by stakeholders and these have been incorporated into the assessment findings.

Structured worker interviews with male and female workers and staff were held in private at the workplace in the mill and the estates. Fieldworkers were interviewed informally in small groups in the field. In addition, the wives of workers and staff were interviewed in informal group meetings at their housing. Separate visits were made to each of the local communities to meet with the village head and residents. Company officials were not present at any of the internal or external stakeholder interviews. A list of Stakeholders contacted is included as Section 3.4.

The non-conformities for this audit are detailed in Section 3.3 and unless it is stated in this section, all previous nonconformities including minor nonconformities are remains closed.

This report is structured to provide a summary of assessment finding as provided in Appendix A. The assessment was conducted based on risk based approach sampling and therefore nonconformities may exist.

For Initial, Re-certification and Extension of Scope assessment, the report was externally reviewed by approved external peer reviewer prior to certification decision by BSI.

For Annual surveillance assessment, the report was internally reviewed and approved by BSI qualified certification reviewer.

The following table would be used to identify the locations to be audited each year in the 5 year cycle

Assessment Program					
Name (Mill / Supply Base)	Year 1 (Recertification)	Year 2 (ASA1_1)	Year 3 (ASA1_2)	Year 4 (ASA 1_3)	Year 5 (ASA 1_4)
Lae Butar POM	X	X	X	X	X
Lae Butar Estate	X	X	X	X	X

Tentative Date of Next Visit: October 1, 2024 - October 4, 2024

Total Number of Mandays: 9.5 days

2.2 BSI Assessment Team

Name	Role	Competency
Eko Purwanto	Team Leader	<p>Education: Holds a Bachelor of Forestry from Forest Conservation Department, Faculty of Forestry, Bogor Institute of Agriculture (IPB).</p> <p>Work Experience: Over 9 year working expiring in oil palm plantation with last position as Estate Manager. He has experience in implementing good agricultural practice including integrated pest management and limited pesticides uses. 10 years working experience as auditor since 2012 covering ISO9001, RSPO and ISPO.</p> <p>Training attended: Completed SMETA Requirements Training, ISPO Permentan 38/2020, Introductory Course for High Conservation Value and High Carbon Stock (HCS) in Oil Palm Plantation, CQI and IRCA Certified ISO 45001:2018 Lead Auditor Training Course, ISO 37001:2016 Anti-bribery Management System Implementing Training Course, RSPO P&C 2018 Refresher Training, Sustainability Reporting Assurance Training, RSPO P&C Lead Auditor Refresher Course, RSPO Supply Chain Certification Refresher Course, RSPO NEXT Training Course For Lead Auditor by RSPO Secretariat, Elaborating on the RSPO P&C Social and Labour Standards and the Mechanics of Social Auditing, RABQSA accredited Lead Auditor Training of Environment Management System, Endorsed RSPO Green House Gas (GHG) Training for Trainer, Endorsed RSPO P&C Lead Auditor Training, Understanding Environmental Management System (EMS), Indonesia Sustainable Palm Oil (ISPO) Lead Auditor Training, Endorsed RSPO Supply Chain Certification (SCC) Lead Auditor Training, RABQSA accredited Lead Auditor Training of Quality Management System.</p> <p>Language proficiency: Fluent in Bahasa Indonesia and English</p> <p>Aspect covered in this audit: Economic management plan, estate and mill best practices including RSPO supply chain requirements, continuous improvement program, Timebound Plan.</p>
Haikal Ramadhan Kharismansyah	Team Member	<p>Education: Holds a Bachelor Degree in Plant Pest and Disease from Padjadjaran University.</p>

		<p>Work Experience: 3 Years working experience in oil palm industry as Agronomy Assistant. More than five years working experience as RSPO Auditor and RSPO Lead Auditor.</p> <p>Training attended: Completed Endorsed RSPO P&C Lead Auditor Training Course, ISPO Auditor/Lead Auditor Course, RSPO P&C Social and Labour Standards and the Mechanics of Social Auditing Training, ISO 9001:2008, ISO 14001:2015 Auditor/Lead Auditor Course, RSPO ISH Standard Training Course, Endorsed RSPO Supply Chain Lead Auditor Training Course and Endorsed RSPO P&C Lead Auditor Refresher Training Course.</p> <p>Language proficiency: Fluent in Bahasa Indonesia and English</p> <p>Aspect covered in this audit: Policy and commitment, Social requirements, contract agreement, Environment responsibility, GHG, training, Waste management, HCV, environment impact assessment and management plan.</p>
Naila Karima	Team Member	<p>Education: Holds a Bachelor’s Degree majoring Occupational Safety and Health, Faculty of Public Health, University of Indonesia.</p> <p>Work Experience: 10 years working experience as auditor since 2012 covering RSPO and ISPO.</p> <p>Training attended: Completed Endorsed RSPO P&C Lead Auditor Training Course, ISPO Auditor/Lead Auditor Course, SA8000, RSPO Labour Auditing Training, RSPO ISH Standard Training Course, ISO 9001, ISO 14001 Lead Auditor Course, OHSAS 18001 Lead Auditor Course.</p> <p>Language proficiency: Fluent in Bahasa Indonesia and English</p> <p>Aspect covered in this audit: Occupation Health Safety requirement, HIRARC, human rights, workers’ welfare, smallholder welfare, Stakeholder Consultation, Legal Requirements, land & Legal issue.</p>
N/A	Peer Reviewer	<p>Education:</p> <p>Work Experience:</p> <p>Training attended:</p>

Accompanying Persons:

Name	Role
Nil	

2.3 Assessment Plan

The Assessment plan was sent to the client prior to the assessment.

Date	Time	Subjects	Auditor		
			EP	HRK	NK
Monday, 02/10/2023	08.00-08.30	Opening Meeting - Introduction by PT Socfin Indonesia – Lae Butar - Presentation by BSI team (Objective, scope, audit plan, etc.)	√	√	√
	08.30-12.00	Field Visit to Lae Butar Estate: - Herbicide application programmes, harvesting, fertilizing operations, water management, road maintenance, terracing, HCV’s, riparian zones, etc. - Agrochemical stores, Fertilizer store, workshops, housing, landfill, clinic, riparian zones, Hazardous Waste, waste management, etc. - Boundaries inspection, worker interviews, social amenities, etc.	√	√	√
	12.00-14.00	Break	√	√	√
	14.00-16.30	Document review: - Review support to smallholder inclusion/support independent smallholder. - Review information related to Economic management plan. - Estate best management practices, IPM. - Mill best management practices, supply chain. - Review information related to OHS Management System, EIA, and Environmental MS, Training, waste management, GHG mitigation. - Review information related to Stakeholder Consultation and Communication, Legal Requirements, Land & Legal issue, Conflict resolution, HCV, Natural and biodiversity conservation. - Review information related to Policy and commitment, smallholder welfare, FFB suppliers, social requirements, contract agreement, human rights, workers’ welfare.	√	√	√
	16.30-17.00	Wash up meeting and Reporting	√	√	√

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			EP	HRK	NK
Tuesday, 03/10/2023	08.00-12.00	Document review: <ul style="list-style-type: none"> - Review information related to Economic management plan. - Mill best management practices, supply chain. - Review information related to OHS Management System, EIA, and Environmental MS, Training, waste management, GHG mitigation. - Review information related to Policy and commitment, smallholder welfare, FFB suppliers, social requirements, contract agreement, human rights, workers' welfare. 	√	√	
		Stakeholder Consultation: Local government of Aceh Singkil Regency (DLH, Disbun, Disnakertrans & BPN), Village head, surrounding community, previous landowner (if any) and NGO.			√
	12.00-14.00	Break	√	√	√
	14.00-16.30	Field Visit to Lae Butar POM: Inspection of processing, warehouse, workshop, mill wastes management, Effluent Ponds, OHS, Environment issues, POME application, workers interview, Supply chain for CPO mill, Review on SEIA documents and records, Document review, etc.	√	√	
		Stakeholder Consultation: Local communities, local contractors, scheme smallholder (if any), gender committee, worker union, etc.			√
	16.30-17.00	Wash up meeting and Reporting	√	√	√
Wednesday, 04/10/2023	08.00-12.00	Document review: <ul style="list-style-type: none"> - Review support to smallholder inclusion/support independent smallholder. - Review information related to Economic management plan. - Estate best management practices, IPM. - Mill best management practices, supply chain. - Review information related to OHS Management System, EIA, and Environmental MS, Training, waste management, GHG mitigation. - Review information related to Stakeholder Consultation and Communication, Legal Requirements, Land & Legal issue, Conflict resolution, HCV, Natural and biodiversity conservation. - Review information related to Policy and commitment, smallholder welfare, FFB suppliers, social requirements, contract agreement, human rights, workers' welfare. 	√	√	√

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Date	Time	Subjects	Auditor		
			EP	HRK	NK
	12.00-14.00	Break	√	√	√
	14.00-16.30	Continue document review: <ul style="list-style-type: none"> - Review support to smallholder inclusion/support independent smallholder. - Review information related to Economic management plan. - Estate best management practices, IPM. - Mill best management practices, supply chain. - Review information related to OHS Management System, EIA, and Environmental MS, Training, waste management, GHG mitigation. - Review information related to Stakeholder Consultation and Communication, Legal Requirements, Land & Legal issue, Conflict resolution, HCV, Natural and biodiversity conservation. - Review information related to Policy and commitment, smallholder welfare, FFB suppliers, social requirements, contract agreement, human rights, workers' welfare. 	√	√	√
	16.30-17.00	Wash up meeting and Reporting	√	√	√
Thursday 05/10/2023	08.00-10.00	Document review and discussion if there any outstanding issues	√	√	√
	10.00-11.00	Auditor discussion and Report preparation	√	√	√
	11.00-12.00	Closing Meeting	√	√	√

Section 3: Assessment Findings

3.1 Multiple Management Units and Time Bound Plan

Requirement	Assessment	Compliance
Does the time bound plan include all current subsidiaries, estates and mills that is under the control and/or minor shareholding of the holding company?	Yes. The timebound plan for Socfin SA has listed all estate and mill under their membership.	Complied.
Have all the estates and mills certified within five (5) years after obtaining RSPO membership? If no, has RSPO Secretariat approval obtained? Was the submission according to the template as announced by the RSPO Secretariat on 21/12/2021	PT. Socfin Indonesia have been active members of RSPO since 6 December 2004. All management unit under the original PT. Socfin Indonesia Group has been RSPO certified. PT. Socfin Indonesia merge under Socfin SA since 15 February 2019 whereby grouping all Indonesian and African oil palm operations under one membership number. RSPO approved on Socfin SA timebound plan extension up to 31 December 2023 – dated 15 June 2023.	Complied
Have there been any new acquisitions? If yes, the new acquisitions shall be certified within three (3) years from the date of acquisition. Certification plan for the new acquisition shall be available. If no, has RSPO Secretariat approval obtained? Was the submission according to the template as announced by the RSPO Secretariat on 21/12/2021.	No. There is no new acquisition from last assessment.	Complied
Any deviations from the maximum periods requires approval by the RSPO Secretariat.	PT. Socfin Indonesia has been an active member of RSPO since 7 December 2004. Since 15 February 2019, Socfin SA became a member of RSPO, grouping all Indonesian and African oil palm operations under one membership number (No.1-0269-19-000-00). The parent company kept the membership date of PT. Socfin Indonesia due Article 5.6 – (i) of the RSPO Membership Rule 2016, which states that if any Related Entities (PT. Socfin Indonesia) hold a membership earlier than that of the Parent’s membership, then the Parent’s effective membership date shall change to follow that of the earliest membership date of the Related Entity. RSPO approved on Socfin SA timebound plan extension up to 31 December 2023 – dated 15 June 2023.	Complied
Have there been any changes to the time-bound plan since the last audit (both new	Yes. There are changes in the time bound plan for Socfin SA. RSPO approved on Socfin SA timebound	Complied

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<p>acquisition and existing)? If yes, justification is required. Is this consistent with the ACOP reporting?</p>	<p>plan extension up to 31 December 2023 – dated 15 June 2023. The timebound plan changes reported because of pending LUCA review and RaCP review from RSPO Secretariat. SOCFIN SA demonstrates commitment to comply with RSPO P&C certification system by submitting LUCA report, preparing Remediation and/or Compensation plan to RSPO Secretariat. SOCFIN SA demonstrate commitment to certify the uncertified management units.</p>	
<p>Have there been any isolated lapses in implementation of the plan? If yes a Minor non-compliance shall be raised</p>	<p>No. There is no isolated lapse in implementation of the plan. The uncertified units demonstrated effort to comply with RSPO requirement such as preparing SIA reports, continues stakeholder engagement, implement FPIC building blocks, record compensation progress, address and handles grievance and perform annual internal audit.</p>	<p>Complied</p>
<p>Have there been any fundamental failure (e.g. unable to justify delay in planning the assessments) to proceed with implementation of the plan? If yes a Major non-compliance shall be raised</p>	<p>No. There is no fundamental failure. The uncertified units demonstrated effort to comply with RSPO requirement such as preparing SIA reports, continues stakeholder engagement, implement FPIC building blocks, record compensation progress, address and handles grievance and perform annual internal audit.</p>	<p>Complied</p>
<p>Un-Certified Units or Holdings</p>		
<p>No replacement of primary forest or any area required to maintain or enhance HCVs and HCS in accordance with RSPO P&C criterion 7.12.</p>	<p>Socfin SA have submitted LUCA for review of all uncertified units to RSPO Secretariat on 13 December 2017. Later, Socfin SA submitted revision on 8 September 2018. The decision on replacement after 2005 for primary forest and/or area required to maintain or enhance HCV in accordance with RSPO P&C criterion – awaiting LUCA review from RSPO. Based on review to RSPO RaCP Tracker for Socfin SA (November 2023), audit team noted: 9 management units with potential liability; 9 management units with LUCA submitted; 9 management units with LUCA review completed; 8 management units with Concept Notes required; 7 management units with Concept Note submitted; 7 management units with Concept Note approved; 7 management unit with Compensation Plan submitted; 5 management units with Compensation Plan endorsed; 7 management units with Remediation Plan required; 6 management unit with Remediation Plan submitted; 6 management unit with Remediation Plan approved. Latest update: Socapalm Kienke: The Environmental Remediation Plan of Socapalm Kienké relates to 205.64 ha of</p>	<p>Complied</p>

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	cleared riparian areas as identified in the Land Use Change Analysis (LUCA). Project start date 31 December 2022.No loss of social HCVs was identified related to the Compensation Plan.	
Any new plantings since January 1 st 2010 shall comply with the RSPO New Plantings Procedure.	PT. Socfin Indonesia made New Planting Procedure Public Notification on 16 February 2017. After 30 days, there was no comment received by RSPO secretariat. Link https://www.rspo.org/certification/new-planting-procedure/public-consultations/socfin-group-pt-socfindo-and-socfinco-sa-pt-socfin-indonesia--lima-puluh-estate Based on review to RSPO RaCP Tracker for Socfin SA (November 2023), audit team noted: 9 management units with potential liability; 9 management units with LUCA submitted; 9 management units with LUCA review completed; 8 management units with Concept Notes required; 7 management units with Concept Note submitted; 7 management units with Concept Note approved; 7 management unit with Compensation Plan submitted; 5 management units with Compensation Plan endorsed; 7 management units with Remediation Plan required; 6 management unit with Remediation Plan submitted; 6 management unit with Remediation Plan approved.	Complied
Any Land conflicts are being resolved through a mutually agreed process, such as RSPO Complaints System or Dispute Settlement Facility, in accordance with RSPO P&C criteria 4.4, 4.5, 4.6, 4.7 and 4.8.	Based on review to RSPO RaCP Tracker for Socfin SA (November 2023), audit team noted: 9 management units with potential liability; 9 management units with LUCA submitted; 9 management units with LUCA review completed; 8 management units with Concept Notes required; 7 management units with Concept Note submitted; 7 management units with Concept Note approved; 7 management unit with Compensation Plan submitted; 5 management units with Compensation Plan endorsed; 7 management units with Remediation Plan required; 6 management unit with Remediation Plan submitted; 6 management unit with Remediation Plan approved. Based on review to RSPO Case Tracker: https://rspo.my.site.com/Complaint/s/casetracker , in November 2023, there is no land conflict reported.	Complied
Any Labor disputes are being resolved through a mutually agreed process, in accordance with RSPO P&C criterion 4.2	The labour and land dispute reported in the African Operation, published on January 2020 and January 2021; have been addressed as informed in RSPO website dated 21 June 2022. Related link: RSPO CONCLUDES VERIFICATION ASSESSMENT AT SOCAPALM - Roundtable on Sustainable Palm Oil (RSPO) . SOCAPALM is required to undertake remediation and mitigation measures for each breach, and to submit a	Complied

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	<p>quarterly progress report to the RSPO Compliance Subdivision on the measures taken.</p> <p>Based on review to RSPO Case Tracker: https://rspo.my.site.com/Complaint/s/casetracker, in November 2023, there is no labour dispute reported.</p>	
<p>Any Legal non-compliance is being addressed through measures consistent with the requirements of RSPO P&C criteria 2.1</p>	<p>Based on audit and based on review upon RSPO Case Tracker (https://rspo.my.site.com/Complaint/s/casetracker) in November 2023, there is no legal non-compliance reported for Socfin SA and its subsidiary.</p> <p>Based on internal audit reports for uncertified unit, there is no legal non-compliance.</p> <p>Based on web search, there is no legal non-compliance issue reported for Socfin SA and its subsidiary.</p>	Complied
<p>Did the company conduct internal audit for those uncertified estates against the uncertified management units requirement and covering the RSPO P&C criterion 2.1 4.2, 4.4, 4.5, 4.6, 4.7, 4.8 and 7.12? If yes, a positive assurance statement shall be available and justified.</p>	<p>Yes. Socfin SA manages and monitors internal audit for uncertified management units. The internal audit covers RSPO P&C 2018 (Generic) and relevant RSPO P&C Certifications System June 2020. Internal audit reports covered RSPO P&C criterion 2.1, 4.2, 4.4, 4.5, 4.6, 4.7, 4.8 and 7.12.</p> <ul style="list-style-type: none"> • Internal audit for Agripalma (Sao Tome) carried out January 2021. • Internal audit for Brabanta SA (Democratic Republic of Congo) carried out April 2021. • Internal audit for Okomu Oil Palm Company PLC – Extension 1 (Nigeria) carried out February 2021. • Internal audit for Plantations Socfinaf Ghana (PSG) Subri Management Units (Ghana) carried out May 2021. • Internal audit for SAFACAM TF151 (Cameroon) carried out June-July 2021. • Internal audit for Socapalm ESEKA (Cameroon) carried out June 2021. • Internal audit for La Société des Caoutchoucs de Grand Béréby (SoGB) carried out August 2021. <p>Positive assurance: Socfin SA committed to fulfil all the requirement related to RSPO certification process. Socfin SA has detailed the correction and corrective action plan and any unresolved issues has been on the right path towards the certification process. The company keeps track of its compliance per P&C on a regular basis, last update is on 10 October 2022.</p>	Complied
<p>Are there any Critical (Major) non-compliance raised against any of the RSPO P&C criterion 2.1 4.2, 4.4, 4.5, 4.6, 4.7, 4.8 and 7.12 during the last internal audit of the uncertified estates? If yes is the NC(s)</p>	<p>Yes. The Critical (Major) non-compliance was issued related to RSPO P&C criterion 7.12. The management units prepared corrective action in form of carried out</p>	Complied

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<p>actively addressed with RSPO?</p>	<p>HCV assessment and Land Use Change Analysis to RSPO Secretariat.</p> <ul style="list-style-type: none"> • Agripalma finalized an HCV assessment of their whole concession in November 2020, with the field visit happening in October 2020. The LUCA for Titulo 410 was approved on the 8 November 2021. Annex 7 for Agripalma Titulo 410 was approved on the 7 of October 2022. Socfin and Agripalma are now drafting Annex 8. • Brabanta finalized an HCV assessment of their whole concession in September 2020, with the field visit happening in November 2019. Two Annex 2's was submitted: one for Sanga Sanga, Kadima and Kanangai, and one for Lumbundji and Savannah. The LUCA for Brabanta was approved on 22 October 2021. Annex 7 of Brabanta was approved on the 23 August 2022. Brabanta and Socfin are now drafting Annex 8. • Okomu Extension 1 finalized an HCV assessment in January 2016, with the field visit happening in September 2015. This was approved by the HCV RN. The LUCA for Okomu Extension 1 was submitted on the 3 of March 2021 and was approved by RSPO on 22 June 2021. The Annex 7 concept note was approved by the RSPO compensation panel on the 7 October 2022. Okomu and Socfin are now drafting Annex 8. • PSG conducted an HCV assessment in 2017 on several prospected lands within the concession. This was validated by the HCV RN on 6 December 2017. PSG finalized an HCV assessment of their whole concession in July 2021, with the field visit happening in November 2020 and follow-up engagement visits with all stakeholders in February 2021. A LUCA has been conducted for the Manso MU and was approved on the 7 October 2022. The LUCA of the Subri MU will follow soon. • Safacam finalized an HCV assessment of their whole concession in January 2020, with the field visit happening in December 2018. Safacam submitted a LUCA for its full concession on the 5 August 2020 were replanting of plantings done before 2005. The LUCA was approved on the 4 February 2021. RSPO approved the Annex 8 remediation and compensation plan on 8 September 2022. • SOGB finalized a HCV assessment in February 2020, with the field visit happening in November 2019. SOGB conducted a LUCA for its whole concession, submitted on the 22 July 2020 and was approved by RSPO on the 6 October 2020. 	
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	The Annex 8 remediation plan was approved on 19 May 2022.	
Have there been any stakeholder (including NGO) consultation conducted?	<p>Yes. Stakeholder consultation carried out in uncertified management unit.</p> <p>Social Impact Assessment (SIA) report recorded the stakeholder consultation process for villages near Agripalma (Sao Tome): for Emolve village in 21 March 2011, Vila Clotilde in 15 March 2011, Ribeira Piexe in 15 March 2011, Monte Mario in 8 August 2011, Praia Pesqueira in 15 March 2011, Lo Grande in 15 March 2011, Healthcare NGO (AMI) in 28 February 2011, Women group in 14 March 2011,</p> <p>FPIC meeting in Safacam (Cameroon) for Chefferie de Dikola in 7 December 2010, for Chefferie de Koungue in 11 September 2011.</p> <p>Sao Tome Agripalma Retrocession FPIC report June 2021_Final.</p> <p>FPIC 2014 PSG and Manso Chief, Elders and Farmers_New. FPIC 2017 PSG Estate Land Negotiations with Dabaose. MOU 2019 PSG and Tufuhene of Dabaose.</p>	Complied

3.2 Progress of scheme smallholders and/or outgrowers

Progress of scheme smallholders or outgrowers towards compliance with relevant standards		
Requirement	Remarks	Compliance
<p>Has 100% of scheme smallholders and/or scheme outgrowers comply with the standard within three years of the mill's initial certification?</p> <p>OFI shall be raised if after one year where 100% of the scheme smallholders and scheme outgrowers are not in compliance, a minor NC after two years, and a major NC if this requirement is not met after three years.</p>	<p>There is no scheme smallholder and/or scheme outgrowers supplying FFB to PT Socfin Indonesia – Lae Butar POM.</p>	<p>Not Applicable</p>

Approved Time Bound Plan

Updated on 15 June 2023

No.	Name of Company	Name of Mill	Location	Supply Base	Timebound Plan	Remarks
1	PT. Socfin Indonesia	Seunagan Mill	Aceh Province, Indonesia	Seunagan Estate	Certified	Recertified on 30 Dec 2019
2	PT. Socfin Indonesia	Lae Butar Mill	Aceh Province, Indonesia	Lae Butar Estate	Certified	Recertified on 29 Feb 2020
3	PT. Socfin Indonesia	Aek Loba Mill	North Sumatera Province, Indonesia	Aek Loba Estate	Certified	Recertified on 14 Jul 2019
4	PT. Socfin Indonesia	Bangun Bandar Mill	North Sumatera Province, Indonesia	Bangun Bandar Estate	Certified	Recertified on 29 Dec 2019
5	PT. Socfin Indonesia	Sungai Liput Mill	Aceh Province, Indonesia	Sungai Liput Estate	Certified	Recertified on 4 Aug 2019
6	PT. Socfin Indonesia	Tanah Gambus Mill	North Sumatera Province, Indonesia	Tanah Gambus Estate	Certified	Recertified on 20 Dec 2019
7	PT. Socfin Indonesia	Negeri Lama Mill	North Sumatera Province, Indonesia	Negeri Lama Estate	Certified	Recertified on 10 Jun 2020
8	PT. Socfin Indonesia	Seumanyam Mill	Aceh Province, Indonesia	Seumanyam Estate	Certified	Recertified on 16 Jan 2020
9	PT. Socfin Indonesia	Mata Pao Mill	North Sumatera Province, Indonesia	Mata Pao Estate	Certified	Recertified on 25 Jul 2019
10	Okomu Oil Palm Company (PLC)	Okomu Mill	Nigeria	Main Estate	Certified	7 Jan 2020
			Nigeria	Extension 1 Estate	Certified	Certified on 8 March 2023

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No.	Name of Company	Name of Mill	Location	Supply Base	Timebound Plan	Remarks
11	Okomu Oil Palm Company (PLC)	Okomu Oil Palm Company Extension 2 mill	Nigeria	Extension 2 Estate	Certified	Certified on 7 September 2023
12	Safacam	Safacam Mill	Cameroon	Safacam TF129, TF136, TF180, TF, Bail Ossa	Certified	Certified on 30 December 2020
13	Safacam	Safacam Mill	Cameroon	Safacam TF151	Certified	Certified 29 December 2022
			Cameroon	Safacam Provisional Concession	End of 2023	<ul style="list-style-type: none"> - LUCA status: sent to RSPO for verification on 5 August 2020. Approved LUCA by RSPO on 4 February 2021. - RaCP: RSPO finalized Annex 7 Concept Note in 12 May 2021. Annex 7 Concept Note validated by Compensation Panel 16 August 2021. RSPO approved the Annex 8 remediation and compensation plan on 8 September 2022 - There are no unresolved land or labour disputes. - There are no legal non-compliance. - Change of timing due to administrative reasons (request for extension of land lease period); RSPO approved changes 15 June 2023. - Audited on 23 September 2023; 1 Critical NC, which has been closed, waiting for CB (TUV) to finalise the report.
14	Socapalm	Mbongo Mill	Cameroon	Mbongo Estate	Certified	Certified on 2 November 2021

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No.	Name of Company	Name of Mill	Location	Supply Base	Timebound Plan	Remarks
15	Socapalm	Mbambou Mill	Cameroon	Mbambou Estate	Certified	Certified on 7 December 2021
16	Socapalm	Kienke Mill	Cameroon	Kienke Estate	Certified	Certified on 13 January 2023
17	Socapalm	Edea Mill	Cameroon	Edea Estate	Certified	Certified on 20 April 2022
18	Socapalm	Dibombari Mill	Cameroon	Dibombari Estate	Certified	Certified on 29 March 2022
19	Socapalm	Eseka Mill	Cameroon	Eseka Estate	Certified	Certified on 2 June 2021
20	Brabanta	Brabanta Mill	Kasai Province and Mai-Ndombe Province, Democratic Republic of Congo	Sanga Sanga, Kadima, Kanangai	Certified	Certified on 5 April 2022
			Kasai Province and Mai-Ndombe Province, Democratic Republic of Congo	Savannah, Lumbudii	End of 2023	<ul style="list-style-type: none"> - LUCA status: LUCA for all Brabanta was approved by RSPO on 22 October 2021. - RaCP: Annex 7 was approved on 23 August 2022. Brabanta and Socfin are now drafting Annex 8. - There are no unresolved land or labour disputes. - There is no legal non-compliance. - Change of timing due to RaCP validation process; RSPO approved changes 15 June 2023. - Audited on 6 September 2023; no Critical NC, waiting for CB (BSI) to finalise the report.
21	Plantations Socfinaf Ghana (PSG)	PSG Mill	Western Region of Ghana	Manso Site	Certified	Certified on 17 October 2022
			Western Region of Ghana	Subri Site	End of 2023	- LUCA status: LUCA for PSG Subri not yet completed.

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No.	Name of Company	Name of Mill	Location	Supply Base	Timebound Plan	Remarks
						<ul style="list-style-type: none"> - Since starting operation, no land or labour disputes have been recorded. There are no legal non-compliance. - Change of timing due to RaCP validation process; RSPO approved changes 15 June 2023. - Audited on 21 September 2023; 1 Critical NC – concerning the RaCP; The Annex 7 was approved on 7 November 2023 and the Annex 8 was submitted on 10 November 2023.
22	La Societe des Caoutchoucs de Grand Bereby (SoGB)	SoGB Mill	Cote D'Ivoire	SoGB TF464	Certified	Certified on 26 January 2021
23	La Societe des Caoutchoucs de Grand Bereby (SoGB)	-	Cote D'Ivoire	SoGB TF465, TF466, TF467	Certified	Certified on 23 March 2023
24	Socfin Agricultural Company (SL) LTF	SAC Mill	Sierra Leone	SAC Plantation	Certified	Certified on 20 December 2021
25	Agripalma	Agripalma Mill	Sao Tomé	Agripalma Estate (Titulo 409)	Certified	Certified on 26 October 2021
26	Agripalma	-	Sao Tomé	Agripalma Estate (Titulo 410)	Quarter 4 of 2023	<ul style="list-style-type: none"> - LUCA status: LUCA for Titulo 410 was approved on 8 November 2021. - RaCP: Annex 7 for Agripalma Titulo 410 was approved on 7 October 2022. SOCFIN and Agripalma are now drafting Annex 8. - There are no unresolved land or labour disputes.

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No.	Name of Company	Name of Mill	Location	Supply Base	Timebound Plan	Remarks
						<ul style="list-style-type: none"> - There is no legal non-compliance. - Change of timing due to RaCP validation process; RSPO approved changes 15 June 2023. - Audited on 28 September 2023; Currently working to address the Critical NC and are aiming to have an onsite auditor visit (SCS Global) early December to close the NCs.

3.3 Details of Nonconformities

The nonconformities are listed below. The findings summary of the assessment by criteria are listed in Appendix A.

During this Assessment there were nil (0) Critical; one (1) Minor nonconformities and one (1) Opportunity For Improvement raised. The PT Socfin Indonesia – Lae Butar submitted Corrective Action Plans for the nonconformity. Corrective action plans with respect to the nonconformity was reviewed by the BSI audit team and accepted.

The implementation of the corrective action plans to address the minor nonconformity will be followed up during the next surveillance assessment. The below is the summary of the non-conformity raised during this assessment.

Non-conformity			
NCR Ref #	2400307-202310-N1	Issued Date	5 Oct 2023
Due Date	ASA1-4	Closure Date	-
Indicator & Category (Critical / Minor)	7.3.1		
Statement of Nonconformity:	The unit of certification has not been able to show sufficient evidence that it has implemented domestic waste management in accordance with the management plan and procedures.		
Requirement Reference:	A waste management plan which includes reduction, recycling, reusing, and disposal based on toxicity and hazardous characteristics, is documented and implemented in accordance with applicable laws and regulations.		
Objective Evidence:	<p>The certification unit has a procedure with Document No.: SOC/DP/4.11-04 regarding handling domestic waste which explains several things as follows:</p> <ul style="list-style-type: none"> - Identification of domestic waste <ul style="list-style-type: none"> - Organic: Leaves, Paper, Vegetable waste, Food waste, etc. - Inorganic: Plastic, glass, styrofoam, cans, etc. - Handling domestic waste in workers' housing: Management of organic waste in the pit behind the house. Once the hole is 1/3 full, fill it with soil. Inorganic waste is collected in sacks and disposed of at the TPA (Landfill). <p>Based on field visits to employee housing complex:</p> <ul style="list-style-type: none"> - Inorganic waste was found in the ditch and in the hole behind the housing complex. - Found a TPA (landfill) behind the housing at a distance of <50 m, whereas in PP 81 of 2012 it is regulated that the distance of the landfill from the residential area is at least 1 km. 		
Corrections:	<ul style="list-style-type: none"> - Collecting the domestic waste in the ditch and sending it to the landfill. - Closing the existing landfill at the Mill's housing complex. 		
Root Cause Analysis:	<ul style="list-style-type: none"> - Domestic waste found in the ditch is old waste that emerged when ditch cleaning work was carried out. - The lack of evaluation conducted for the implementation of the Friday clean program that has already been applied. - There is no location in the Mill's housing complex, the existing location is in Division 1 area, which is more than 5 kilo meters away. 		
Corrective Actions:	<ul style="list-style-type: none"> - Disseminating waste management procedure to all workers and their families in the housing complex across all divisions. 		

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	<ul style="list-style-type: none"> - Strict actions will be taken against those who do not follow the housing complex rules. - Division leaders will conduct inspections and evaluations of the results of routine Environment and OHS patrols carried out by officers. - Conducting a public awareness campaign to the Mill's housing residents, informing them that the landfill has been merged with Division 1's landfill, and waste transportation is now done by a truck every Friday. - The management will issue appointment letters for waste transportation responsibilities to the respective divisions. - Revising the SOC/DP/4.11-04 procedure in accordance with the 2012 Government Regulation (PP 81/2012).
Assessment Conclusion:	PT Socfin Indonesia – Lae Butar has identified the root cause; prepare correction plan and corrective action plan. All the evidence will be followed up at next surveillance. Minor NC remains open.

Opportunity for Improvements	
OFI #	Description
OFI 1	<p>Indicator 7.8.4</p> <p>The company has a Water Utilization Permit for FFB processing and housing purposes in accordance with the Decree of the Minister of Public Works and Public Housing No. 384/KPTS/M/2019 concerning the granting of a Water Resources Permit for PT Socfindo, Sungai Lae Cinendang, Aceh Singkil Regency dated 25 April 2019 is valid for five years. The permit states that the permitted water discharge quota is 12 liters/second with a collection schedule of 15 hours/day, 30 days/month. Other obligations stipulated in the permit include reporting the use of water discharge every 3 months and carrying out regular calibration once a year of volumetric measuring instruments accompanied by proof of reports or calibration certificates from certified institutions so that measurement accuracy is guaranteed.</p> <p>Based on the field visit and document review, it is known that the volumetric measuring instrument was replaced on 10 May 2023, therefore when the ASA1_3 audit was carried out it had not yet reached one year. In this regard, companies have the opportunity to carry out active communication with relevant parties regarding calibration obligations and the availability of calibration agencies.</p>

Positive Findings	
PF #	Description
PF 1	Good hospitality during stays onsite at PT Socfin Indonesia – Lae Butar.

3.3.1 Status of Nonconformities Previously Identified and Observations

Non-conformity			
NCR Ref #	Nil	Issued Date	
Due Date		Closure Date	
Indicator & Category (Critical / Minor)			
Statement of Nonconformity:			
Requirement Reference:			
Objective Evidence:			
Corrections:			
Root Cause Analysis:			
Corrective Actions:			
Assessment Conclusion:			
Effectiveness Closure (for previous audit closed Critical NC):			

Opportunity for Improvement	
OFI#	Description
OFI 1	<p>OFI Statement:</p> <p>Indonesia National Interpretation 2020 for RSPO P&C, Indicator 4.4.1 (C). The process of extending Certificate No. 5 of 1988 with an area of 4,414.48 Ha (ends in 2023):</p> <ul style="list-style-type: none"> On 15 January 2021: The application letter for extension has been submitted to "Kantor Pertanahan". On 30 April 2021: Land measurement has been carried out by "Kantor Wilayah Propinsi Aceh" team. On 9 July 2021: Physical map "Peta Bidang Tanah" issued and in compliance with procedure from Lands Office. <p>Update in September 2022, the process of extending Certificate awaits setting the Minutes of Committee-B (Risalah Panitia-B) to determine the hectarage of HGU extension. According to the Ministerial Regulation of the Head of BPN Number 7 of 2007 concerning Land Inspection, the Minutes Meeting of Committee B only involves the relevant government agencies and is not required to involve the community.</p> <p>According to information from National Land of Aceh Singkil regency, there are two (2) groups on behalf of the community from Gunung Meriah and Simpang Kanan district asked to exclude the residential area from some part of Lae Butar's proposed HGU extension. However, no copy of the letter was submitted to the Certification Unit, only to the Land Office (District, Province and Central).</p> <p>It is necessary to be more proactive in processing the extending of HGU Certificate.</p> <p>Verification / Follow-up actions:</p> <p>The company has been proactive in processing the extending (renewal) of HGU Certificate, by showing Letter Number HP.01/1246-11/X/2023 dated 3 October 2023 from the BPN of Aceh Province regarding</p>

	the Invitation to the Preparatory Meeting for the Land Inspection Committee B, in the letter it was stated that the meeting would be held on Friday, 06 October 2023.
OFI 2	<p>OFI Statement:</p> <p>Indonesia National Interpretation 2020 for RSPO P&C, Indicator 6.7.2</p> <p>PT Socfin Indonesia – Lae Butar Estate has provided first aid kits at each activity location, this are verified during field visit to Harvesting at Block 86-87 Division IV, Spraying Circle and Path at Block 26 Division I and Fertilizer application at Block 68 Division II. It is necessary to consider increasing the size of the first aid bag/box.</p> <p>Verification / Follow-up actions:</p> <p>Based on field visits during harvest activities in Block 22 and micron herby spray in Block 24, the foremen had brought first aid kit bags with adequate size and contents in accordance with Minister of Manpower Regulation No.15 of 2008.</p>

3.3.2 Summary of the Nonconformities and Status

CAR Ref.	Category (Critical / Minor)	P&C Indicator	Issued Date	Status & Date (Closure)
1971712-202010-M1	Critical	3.6.1	15/10/2020	Closed on 12/12/2020
1971712-202010-N1	Minor	6.2.6	15/10/2020	Closed on 11/11/2021
1971712-202010-N2	Minor	6.7.2	15/10/2020	Closed on 11/11/2021
1971712-202010-N3	Minor	7.11.2	15/10/2020	Closed on 11/11/2021
2123563-202111-N1	Minor	2.1.2	11/11/2021	Closed on 21/10/2022
2123563-202111-N2	Minor	7.3.2	11/11/2021	Closed on 21/10/2022
2400307-202310-N1	Minor	7.3.1	05/10/2023	Open

3.4 Stakeholders and previous land owner / user consultation

Stakeholder consultation involved internal and external stakeholders. External stakeholders were contacted by telephone to arrange meetings at a location convenient to them to discuss PT Socfin Indonesia – Lae Butar Certification Unit’s environmental and social performance, legal and any known dispute issues.

Meetings were conducted with stakeholders to seek their views on the performance of the company with respect to the RSPO requirements and aspects where they considered that improvements could be made. At the start of each meeting, the interviewer explained the purpose of the audit followed by an evaluation of the relationship between the stakeholder and the company before discussions proceeded. The interviewer recorded comments made by stakeholders and later was verified with the management team. Any comment which is not complying to the RSPO P&C requirements have been incorporated as an assessment finding.

Structured worker interviews with male and female workers and staff were held in private at the workplace in the mill and the estates. Fieldworkers were interviewed informally in small groups in the field. In addition, the wives of workers and staff were interviewed in informal group meetings at their housing. Separate visits were made to each of the local communities to meet with the village head and residents. Company officials were not present at any of the internal or external stakeholder interviews. A list of Stakeholders contacted is included as below.

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Stakeholders contacted		
Type of Stakeholder (e.g. Internal, Union, Contractor, Governmental Department, NGO, External, Communities)	Stakeholder name / organization	Means of communication (e.g. face to face interview, email, phone interview, comment from public notice)
Governmental Department	Plantation Agency of Aceh Singkil Regency	Phone interview
	Manpower and Population Mobility Agency of Aceh Singkil Regency	Phone interview
	Environmental Agency of Aceh Singkil Regency	Phone interview
	Land Agency of Aceh Singkil Regency	Face to face interview
Communities	Head of Rimo Village	Face to face interview
	Head of Siatas Village	Phone interview
	Independent Smallholder (Kelompok Tani Lae Mbara II, Kelompok Tani Karya Sawita, Kelompok Tani Lae Paku Muda Karya)	Face to face interview
Union	Federasi Serikat Pekerja Pertanian dan Perkebunan Serikat Pekerja Seluruh Indonesia (FSP.PP-SPSI) PT Socfin Indonesia – Lae Butar	Face to face interview
Internal	Gender Committee PT.Socfin Indonesia – Lae Butar (Pipi Yanti – Chairman)	Face to face interview
	Sampled workers and staff of PT Socfin Indonesia – Lae Butar	Face to face interview
Contractor	CV Liang Barat Sejahtera	Face to face interview
NGO	LSM GARUDA (Wanda)	Phone interview
	<ul style="list-style-type: none"> • Sawit Watch • Wetland International Indonesia • Aid Environment • WALHI • WWF Indonesia • Wildlife Conservation Society – Indonesia • Forest Watch Indonesia • Solidaridad Network • Orangutan Land Trust 	Email of invitation to comment was sent

Stakeholders comment	
1	<p>Feedback: Plantation Agency of Aceh Singkil Regency</p> <ul style="list-style-type: none"> • The company has complied the regulation of plantation permit, such as has had a Plantation Business Permit (<i>Izin Usaha Perkebunan / IUP</i>), • The company has submitted a report on <i>Laporan Perkembangan Usaha</i> every semester which includes CSR implementation activities. • The Agency is known that the company has carried out facilitation for the development of smallholders with farmer groups in surrounding villages. • The company has sufficient facilities and infrastructure to prevent and control land fires, there is no fire issue. • There is no information on complaints or grievance felt by the surrounding community due to the company's operational activities. • Communication between the company and the official is well established, Information is provided in appropriate languages and accessible to relevant stakeholders. The response from the company was good enough to respond to requests for information. <p>Audit Team verification and response: The auditor has verified the compliance of legal requirements such as Plantation Business Permit and mandatory report. There is no negative issue that need for further verification.</p>
2	<p>Feedback: Manpower and Population Mobility Agency of Aceh Singkil Regency</p> <ul style="list-style-type: none"> • The company has implemented the minimum wage regulation. • All workers had register on social insurance program. • There are no issues in the company related to child labor, discrimination and illegal workers. • The company has provided the adequate PPE's. • The company has had OHS committee (<i>Panitia Pembina Keselamatan dan Kesehatan Kerja – P2K3</i>). The P2K3 report has been reported regularly on a quarterly basis. • The company has reported the <i>Wajib Lapo Ketenagakerjaan Perusahaan (WLKP)</i>. • There are no complaints received from employees or other parties to the agency. • Transparency of job vacancies from the public directly to companies. • The worker union has registered on the agency. <p>Audit Team verification and response: It has been verified and described that the company has complied with the requirement such as recruitment (criterion 3.5), OHS (criterion 3.6), payment and work condition (criterion 6.2). There is no negative issue that need for further verification.</p>
3	<p>Feedback: Environmental Agency of Aceh Singkil Regency</p> <ul style="list-style-type: none"> • Environmental management and monitoring have been carried out consistently by the company, for example air quality, environmental and other tests are carried out every 6 months. • The company routinely report mandatory reporting (Implementation of RKL-RPL, hazardous waste, etc.) to the agency. • The company has installed sparing at the POME management. • There are no negative issues related to environmental pollution.

	<ul style="list-style-type: none"> • During the past one-year period there was no information about land fires. • There are no forest areas within the company's operational scope. • The agency has understood the mechanism for requesting information that applies to the company, if there is a request for information from the agency, the company quickly responds.
	<p>Audit Team verification and response:</p> <p>There is no negative issue that need for further verification. Based on document review of environmental permits and reports, and field observations, the company has demonstrated legal compliance related environmental, and no indications of environmental pollution, that described in more detail in related indicators.</p>
<p>4</p>	<p>Feedback:</p> <p>Land Agency of Aceh Singkil Regency</p> <ul style="list-style-type: none"> • HGU extension (renewal) application files are complete. • Process for HGU extension (renewal) of "Sertipikat HGU No. 5 Tahun 1988 Socfindo Lae Butar". The process awaits onsite checking and ground-truthing by "Panitia-B" and followed with meeting "Rapat Panitia-B" to determines the hectarage of HGU extension • Companies regularly report HGU utilization reports. • There are no negative issues related to land disputes in the company's operational areas.
	<p>Audit Team verification and response:</p> <p>Concerning the HGU extension it has been verified by the auditor and has been described in more detail in indicator 4.4.1.</p> <p>The company has been proactive in processing the extending (renewal) of HGU Certificate, by showed Letter Number HP.01/1246-11/X/2023 dated 3 October 2023 from the BPN of Aceh Province regarding the Invitation to the Preparatory Meeting for the Land Inspection Committee B, in the letter it was stated that the meeting would be held on Friday, 06 October 2023.</p>
<p>5</p>	<p>Feedback:</p> <p>Head of Rimo Village</p> <ul style="list-style-type: none"> • The company has implemented CSR programs for the local community, for example in the field of religion in the form of mosque construction, and community economic increased. • The company has implemented partnership programs in accordance with the agreement, such as improving production roads and providing organic fertilizer (empty bunches). • The members and land locations of farmer groups that have collaborations with companies are indeed members of the village community. • The company provides job opportunities for local communities. • There are no negative issues related to environmental aspects, social aspect and land disputes.
	<p>Audit Team verification and response:</p> <p>There is no negative issue that need for further verification.</p>
<p>6</p>	<p>Feedback:</p> <p>Head of Siatas Village</p> <ul style="list-style-type: none"> • The company has implemented partnership programs in accordance with the agreement, such as improving production roads and providing organic fertilizer (empty bunches). • The village heads and village officials feel more appreciated if company leaders are willing to communicate directly with them. • The company has not responded quickly to requests for assistance/donation from villages.

	<ul style="list-style-type: none"> The village head felt that he was not involved in identifying/preparing the company's CSR program. The village head has been communicating with company representatives through the Assistant Head, but the village head feels that the public relations officer appointed by the company is not carrying out its duties and obligations to communicate with the village.
	<p>Audit Team verification and response: Concerning the CSR Program and requests for assistance/donation from villages it has been verified by auditor and has been described in more detail in indicator 4.3.1, and for implemented partnership programs has been verified by auditor and has been described in more detail in indicator 5.1.8</p>
<p>7</p>	<p>Feedback: Independent Smallholder (Kelompok Tani Lae Mbara II, Kelompok Tani Karya Sawita, Kelompok Tani Lae Paku Muda Karya)</p> <ul style="list-style-type: none"> The agreement is established without any coercion from the company and is known to various related agencies, for example the Head of the Plantation Agency and the District Head. The company has implemented partnership programs in accordance with the agreement, such as improving production roads and providing organic fertilizer (empty bunches). The Farmer Group hopes that the company can provide facilitation assistance for the provision of seeds. The company has provided training on the best plantation management, for example harvesting and organic fertilization. The relationship between farmer groups and companies is good and there has never been any conflict.
	<p>Audit Team verification and response: There is no negative issue that need for further verification.</p>
<p>8</p>	<p>Feedback: Federasi Serikat Pekerja Pertanian dan Perkebunan Serikat Pekerja Seluruh Indonesia (FSP.PP-SPSI) PT Socfin Indonesia – Lae Butar</p> <ul style="list-style-type: none"> The communication between the company and the union has been going well. Routine communication and coordination are carried out at least once a month. Over the past year there have been no issues regarding employment issues or other conflicts involving the company and workers. The company also provide adequate facilities for employees such as free housing and PPE as well as membership in <i>BPJS Kesehatan</i> and <i>BPJS Ketenagakerjaan</i>. The company has implemented fair and transparent employment procedures. The company has applied wages in accordance with the regulation.
	<p>Audit Team verification and response: There is no negative issue that need for further verification.</p>
<p>9</p>	<p>Feedback: Gender Committee PT Socfin Indonesia – Lae Butar</p> <ul style="list-style-type: none"> The gender committee was formed to protect both male and female employees from harassment and gender equality. The gender committee member understands the policy related to opportunity equality, reproductive rights protection, sexual harassment prohibition in the company. The gender committee includes Mill and Estate employees. Pregnancy and breastfeeding mothers are not allowed to engage in chemical-related activities. Specific time allocation was given to female worker to breastfeeding during working time. There were no reported incidents of sexual harassment or violence in the last 1 year.

	<ul style="list-style-type: none"> The company has assessed the needs of new mothers, in consultation with the new mothers, and actions are taken to address the needs that have been identified in accordance with applicable regulations in Indonesia.
	<p>Audit Team verification and response: There is no negative issue that need for further verification.</p>
10	<p>Feedback: CV Liang Barat Sejahtera</p> <ul style="list-style-type: none"> The contractor is engaged in providing workers for upkeep/maintenance. The contractor is responsible for providing compensation and work equipment for its employees. There have been no problems with the payment process so far. Contractor employees are people who live around the company. The communication between the contractor and the company is running well.
	<p>Audit Team verification and response: There is no negative issue that need for further verification.</p>
11	<p>Feedback: Lembaga Swadaya Masyarakat GARUDA</p> <ul style="list-style-type: none"> There is no land dispute, environmental issue or social issue in PT Socfin Indonesia Lae Butar. The company has implemented partnership programs in accordance with the agreement, such as improving production roads and providing organic fertilizer (empty bunches).
	<p>Audit Team verification and response: There is no negative issue that need for further verification.</p>
12	<p>Feedback: Issue from News on Internet/Media Social https://www.waspada.id/aceh/dlh-aceh-singkil-tujuh-pks-di-aceh-singkil-diduga-tidak-transparan-soal-limbah-perusahaan/ https://aceh.tribunnews.com/2023/06/01/pasang-sparing-pemkab-apresiasi-pt-socfindo-plt-dlh-perusahaan-lain-agar-mencontoh</p> <p>The installation of sparing parts (or protective equipment) is in accordance with Government Regulation No. 22 of 2021 on the implementation of environmental protection and management.</p>
	<p>Audit Team verification and response: Based on public consultation with Environmental Agency, stated the agency really appreciates the commitment of PT Socfin Indonesia – Lae Butar which has installed this tool to be an example for other companies that have not installed it.</p>

List of landowner / user contacted					
Name	Years of ownership / used	Land area (ha)	Agreement (Yes / No)	Agreement base on FPIC (Yes/No)	Compliance on the agreement terms and conditions
Nil					
<p>Note: PT Socfin Indonesia - Lae Butar has been in operation since the Dutch colonial era, dating back to approximately 1919. Lae Butar currently manages a sprawling 4,731.40 hectares of oil palm plantations. The plantation comprises 4,414.48 hectares acquired through the Dutch colonial-era concession known as "erfpacht right," with an additional 312.92 hectares obtained through the purchase of PT Jaya Bahni Utama's concession in 2004. PT Jaya Bahni Utama is no longer exist therefore it was not possible to conduct interview.</p>					

Previous landowner / user comment	
	Feedback: Nil
	Audit Team verification and response:

3.5 Impartiality and conflict of interest

During this assessment there was no circumstances or pressure that had influenced the independence or confidentiality of the assessment team.

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Formal Signing-off of Assessment Conclusion and Recommendation

The audit objectives have been achieved and the certificate scope is appropriate. Based on the results of this audit, it is concluded that PT Socfin Indonesia – Lae Butar has complied with the Indonesia National Interpretation 2020 of the RSPO P&C 2018 and audit criteria identified within the audit report. It is deemed that the management system has achieved its intended outcomes. Therefore, it is recommended that PT Socfin Indonesia – Lae Butar is remain certified.

Report prepared by	Acceptance of Assessment Conclusion
Name: Eko Purwanto	Name: Andria Zulmanitra
Company Name: BSI Services Malaysia Sdn Bhd	Company Name: PT Socfin Indonesia – Lae Butar
Title: Lead Assessor	Title: Sustainability Coordinator
Signature: 	Signature: <i>(I the undersigned, being the most senior relevant management representative of the operation seeking or holding certification, agree with the contents of this report and accept the liability in execution of the procedure in the report.)</i> 
Date: 1 November 2023	Date: 1 Nov 2023

Appendix A: Summary of Findings

Criterion / Indicator	Assessment Findings	Compliance	
<p>Principle 1: Behave ethically and transparently Drive ethical business behaviour, build trust and transparency with stakeholders to ensure strong and healthy relationships.</p>			
<p>Criteria 1.1: The unit of certification provides adequate information to relevant stakeholders on environmental, social and legal issues relevant to RSPO Criteria, in appropriate languages and forms to allow for effective participation in decision making.</p>			
<p>1.1.1</p>	<p>(C) Management documents that are specified in the RSPO P&C are made publicly available. - Critical (Major) compliance -</p>	<p>PT Socfin Indonesia – Lae Butar has “Prosedur Komunikasi Sosial No.SOC/PSM/9/01 rev.06, dated 1 April 2020. This procedure applies to the handling of information, aspirations, consultation and communication between the company and its stakeholders. In clause 6.2.3.3 written the list of publicly accessible document as follows:</p> <ul style="list-style-type: none"> • Cultivation Rights Certificate • Occupational health and safety plan • Documents of environmental impact assessment (AMDAL) and social impact assessment (SIA) • Pollution prevention and reduction plan • Negotiation procedure • Continual improvement program • Summary of certification assessment report • Human Rights Policy • Company policy • Code of conduct for workers and suppliers <p>The company also has publicly available information that can be accessed on the company's website (https://www.socfindo.co.id). The information available to the public listed on the website, for example:</p>	<p>Complied</p>

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		<p>company policy, Socfindo's sustainability policy, Ethical policy, Quality policy, Sustainability Report, Socfindo Conservation Mission, etc.</p> <p>Based on interviews with the Manpower Agency, Plantation Agency and Environmental Agency of Aceh Singil Regency revealed that the company had conducted socialization to the agency, this was evidenced by the agency knowing the mechanism for requesting information from the company.</p>	
1.1.2	<p>Information is provided in appropriate languages and accessible to relevant stakeholders.</p> <p>- Minor compliance -</p>	<p>All documents that are specified in the RSPO P&C are made publicly available as listed in above indicator are written and available in Bahasa Indonesia. Accessibility to relevant stakeholders is specified in certified unit procedure "Prosedur Komunikasi Sosial No.SOC/PSM/9.01 Rev.6", dated 1 April 2020.</p> <p>Based on interview with local government of Aceh Singkil Regency, the company has provided information as needed and stated in a document the type of information that can be conveyed to the relevant agencies properly and transparently. The agency stated that so far the communication between the institution and the company has not encountered any problems or complaints, so it is easy to monitor company compliance in terms of managing the impact of the company's operational activities.</p>	Complied
1.1.3	<p>(C) Records of requests for information and responses are maintained.</p> <p>- Critical (Major) compliance -</p>	<p>Records of requests for information and responses are maintained by unit of certification in logbook "Catatan Permintaan Informasi & Aspirasi". In period January – September 2023, 23 requests of assistance/donation from local communities. Requests for assistance in the form of clean water supply and stone materials for road maintenance are documented.</p> <p>While, based on incoming letter logbook "Surat Masuk", there are 32 incoming letters from stakeholder in various concern and dominated by request for assistance/donation. Only one letter from PUK FSP.PP SPSI about Bipartite meeting on 16 June 2023.</p>	Complied

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<p>1.1.4</p>	<p>(C) Consultation and communication procedures are documented, disclosed, implemented, made available, and explained to all relevant stakeholders by a nominated management official. - Critical (Major) compliance -</p>	<p>Consultation and communication procedures are documented within "Prosedur Komunikasi Sosial No.SOC/PSM/9.01 Rev.6", dated 1 April 2020. In section 6.2.3.2 stated communication and consultation applicable for procedure socialization, policy socialization, or other activity which may have impact to community; information request/aspiration need to communicate and consulted with community; HCV in company area; CSR programme.</p> <p>Nominated management official as designated by unit of certification to deliver communication and consultation is Administration Officer (KTU) and Administration Clerk.</p> <p>During stakeholder communication to relevant government agency, local communities and contractor, the procedure of communication has been understood by stakeholders.</p>	<p>Complied</p>
<p>1.1.5</p>	<p>An up-to-date list of contacts and detailed stakeholder information is available along with designated representatives. - Minor compliance -</p>	<p>Unit of certification established an up-to-date list of contacts and detailed stakeholder information, completed with designated representatives. The updated list of stakeholders is documented in "Daftar Stakeholder PT Socfin Indonesia – Lae Butar", updated 19 January 2023.</p> <p>Based on document verification, there are two types of stakeholders: internal and external stakeholders. Internal stakeholders consist of labour union, cooperative, gender committee/female worker representative. External stakeholders divided into government agencies, village heads, (Rimo, Lae Butar, Tulaan, Blok 15 Sangga Beru, Blok 31 Sena, Silulusan Sanggaberu, Pandan Sari, Pangi, Blok 18 village), contractors, police stations, military posts, plantation services, environmental services, manpower office, district head, senate member, NGO LSM Garuda, worker union, gender committee, village's midwife, media.</p>	<p>Complied</p>
<p>Criteria 1.2: The unit of certification commits to ethical conduct in all business operations and transactions.</p>			

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<p>1.2.1</p>	<p>A policy for ethical conduct is in place and implemented in all business operations and transactions, including recruitment and contracts.</p> <p>- Minor compliance -</p>	<p>The company has an Employee and Company Code of Ethics document, 2 March 2019 edition, which includes information about:</p> <ul style="list-style-type: none"> • Goals • Code Application for Parties Outside Socfindo Employees • Accounting Records and Documents • Competition issues • Confidential information and intellectual property • Conflict of interest • Illegal drugs, alcohol and firearms: It is prohibited to own or use illegal drugs in the Socfindo work environment • Use of email and internet • Use of company assets • Environment, health and safety • Relations with the government: Socfindo employees must behave in accordance with the highest ethical standards in all their dealings with the government • Human rights and the workplace • Bribery: Every employee must not accept or give any form of bribery on behalf of the company • Money laundering: Socfin Group and Socfindo are committed to fighting money laundering • Business relationship • Political Duties • Securities law and insider trading • Sexual harassment, misconduct and violence in the workplace • Relations with shareholders, media and the public 	<p>Complied</p>
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		<ul style="list-style-type: none"> • Workplace safety • Code compliance • Code scope • Inspection <p>This code of ethics has been disseminated to employees and third parties who collaborate with the company periodically, for example during the period January – September 2023 to employees of divisions I to IV and third party representatives on 5 April 2023 (CV Karya Murni, CV Liang Barat, and PT Amindy Barokah). Results of interviews with employees, for example harvesters, pesticide applicators, division I and III warehouse officers as well as WWTP operators and the Lae Butar POM process. It is known that employees have a good understanding of the outline of the company's code of ethics.</p>	
1.2.2	<p>A system is in place to monitor compliance and the implementation of the policy and overall ethical business practice.</p> <p>- Minor compliance -</p>	<p>To monitor the compliance and implementation of policies including the implementation of the code of ethics, the unit of certification carries out several methods as follows:</p> <ul style="list-style-type: none"> - Contractor evaluation: Checking contractor compliance with policies and commitments that must be fulfilled such as use of PPE, SIO, compliance with employment such as health insurance and employment insurance, minimum age and minimum wage. An example of an evaluation is for CV Karya Murni and CV Liang Barat on 11 September 2023. - RSPO internal audits are carried out every year to ensure that the RSPO principles and criteria are understood by unit management, workers, contractors and other stakeholders. 	Complied

Principle 2: Operate legally and respect rights
 Implement legal requirements as the basic principles of operation in any jurisdiction.

Criteria 2.1: There is compliance with all applicable local, national and ratified international laws and regulations.			
2.1.1	<p>(C) The unit of certification complies to relevant regulations. - Critical (Major) compliance -</p>	<p>The unit of certification has demonstrated proof of compliance with relevant laws, for example demonstrated by several things as follows:</p> <p>Land Legality Aspects</p> <ul style="list-style-type: none"> • Cultivation Rights <p>Has HGU with a total area of 4,731.40 Ha as explained in indicator 4.4.1. The origin of land acquisition is erphact rights because the company has been operating since the Dutch colonial period.</p> <ul style="list-style-type: none"> • Plantation Business License <p>The unit of certification has a plantation business permit document in the SPUP (Plantation Business Registration Letter) document issued by the Regent of West Aceh Regency: SPUP no.HK.350/824/Dj.Bun.5/XI/2001 on 23 November 2001 for PT Socfin Indonesia with an area of 4,414.48 Ha in Simpang Kanan District, South Aceh Regency, DI Province, Aceh. There is information that the processing permit capacity is 32 tons of FFB/hour.</p> <ul style="list-style-type: none"> • Plantation Business Assessment <p>Interim Results of the 2022 Plantation Business Assessment (No.: 525.3/1438/V.1) by the Head of the Aceh Agriculture and Plantation Service on 9 August 2022 which informed that PT Socfin Indonesia was declared a Class II (Good) plantation company, pending the determination process through the Decree of the Governor of Aceh.</p> <p>Aspects of Best Management Practice</p> <p>Using pesticides whose distribution permit period is still valid as stated on the website http://simpl1.pertanian.go.id/.</p> <p>Employment Aspects</p>	Complied

		<p>Has implemented regulations regarding employment such as payment of minimum wages, work agreements, holidays, and participation in the social security system as described in principle 6.</p> <p>Environmental Legality Aspects</p> <p>Have environmental documents for areas of 4,247 ha, 312.92 ha and 167.48 ha as explained in more detail in indicator 3.4.1. The total area of environmental documents owned is 4,731.40 ha in accordance with the HGU owned.</p>	
2.1.2	<p>A documented system for ensuring legal compliance is in place. This system has means to track changes to the law and also includes listing and evidence on evaluation of legal compliance of all contracted third parties, such as: recruitment agencies, service provider and labour contractor.</p> <p>- Minor compliance -</p>	<p>The unit of certification has an Identification and Evaluation Procedure for Regulatory Requirements No.SOC/PSM/4.05” dated 15 May 2017. Person in charge to identify and evaluate legal requirements is legal staff in coordination with unit head (for local level regulations). Identification and evaluation period separated in two periods: January to June and July to December of each year.</p> <p>Have been able to show the PT Socfindo Lae Butar legal register document which was last updated in July 2023 with several of the latest regulations such as:</p> <ul style="list-style-type: none"> • Minister of Agriculture Regulation No. 19 of 2023 concerning Amendments to Minister of Agriculture Regulation Number 03 of 2022 concerning Human Resources Development, Development and Research, Rejuvenation, and Facilities and Infrastructure for Palm Oil Plantations • Aceh Governor's Decree regarding Minimum Wage for Aceh Singkil Regency in 2023 <p>Evaluation of compliance with relevant regulations is carried out in conjunction with the regulatory update period and can also be carried</p>	Complied

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		out in conjunction with an internal audit by the sustainability team. Companies can also show contractor evaluations, for example for CV Berkat Marimar and CV Liang Barat on September 11, 2023, which contain compliance with employment regulations such as minimum wages, employment relations, OHS and participation in the national social security system.	
2.1.3	<p>Legal or authorized boundaries are clearly demarcated and visibly maintained and there is no planting beyond these legal or authorized boundaries.</p> <p>- Minor compliance -</p>	<p>The unit of certification conducted boundary poles monitoring in a monthly basis. PT Socfin Indonesia - Lae Butar concession had 94 poles. Based on the latest report "Patroli LK3 Patok HGU 2023 Division 1, 2, 3 and 4" dated 2 October 2022 noted that all boundary poles are in place and in a good condition. There is no record of broken or lost poles.</p> <p>During the audit, auditor has visited boundary poles, such as:</p> <ul style="list-style-type: none"> - Boundary pole No. BPN SFD 22; geolocation: 2° 23' 50.93" N and 98° 1' 31.33" E; located at Block 24 Division III, bordering Sanggabrusi Lulusan Village. - Boundary pole No. BPN SFD 23; geolocation: 2° 23' 8.76" N and 98° 1' 31.81" E; located at Block 107 Division IV, bordering Sanggabrusi Lulusan Village. - Boundary pole No. BPN SFD 25; geolocation: 2° 21' 55.24" N and 98° 1' 32.66" E; located at Block 107 Division IV, bordering Sanggabrusi Lulusan Village. <p>All boundary poles are available and well maintained.</p>	Complied
Criteria 2.2: All contractors providing operational services and supplying labour, and Fresh Fruit Bunch (FFB) suppliers, comply with legal requirements.			
2.2.1	<p>A list of contracted parties is available.</p> <p>- Minor compliance -</p>	<p>Unit of Certification has a list of third parties with which it has collaboration ties. In this period there were 3 contractors as follows:</p> <ul style="list-style-type: none"> • CV Karya Murni: Transporter CPO, PIC contact number: 0852755615** 	Complied

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		<ul style="list-style-type: none"> • PT Amindy Barokah: Hazardous waste transporter, PIC contact number: 0821636006** • CV Liang Barat Sejahtera: Immature upkeep, PIC Contact No: 0822510169** 	
2.2.2	<p>All contract, including those for FFB supply, contain specific clause on meeting relevant legal requirements and this can be demonstrated by the third party.</p> <p>- Minor compliance -</p>	<p>Based on the list of PT Socfindo Lae Butar stakeholders, it is known that there are 3 contractors who have cooperation ties as follows:</p> <ul style="list-style-type: none"> • CV Karya Murni to transport CPO and PK. • CV Liang Barat for upkeep in immature. • PT Amindy Barokah for hazardous waste transporter. <p>Contract agreement can be shown with third parties, for example:</p> <ul style="list-style-type: none"> - Palm Oil Transport Agreement Letter (MKS) No.PD-GM/X/446/2022 dated 12 October 2022 with CV Karya Murni is valid from 03 September 2022 to 31 December 2023. In article 2 of the agreement the obligations are explained, fulfil laws such as OHS requirements, comply with labor laws, and ensure participation in <i>BPJS</i> (national insurance security system) for the contractor employees. - Work Agreement Letter for Solid and Empty Fruit Bunch Application Number LB/SPK/X/Bi/015/23 with CV Liang Barat valid from 01 to 31 August 2023. In article 1 regarding work conditions there is a clause regarding compliance with legal obligations. <p>The third parties have able to show the implementation of the clauses in the work agreement as shown by CV Karya Murni and CV Liang Barat, for example proof of <i>BPJS</i> membership, payment of wages, and the existence of a work bond between the contractor company and its employees. The company does not have a FFB supplier and only</p>	Complied

		<p>receive the FFB produced by own estate (Lae Butar Estate Division 1 to division IV).</p> <p>The results of interviews with representatives of CV Liang Barat revealed that every month the company will carry out an evaluation of compliance with relevant laws.</p>	
2.2.3	<p>All contracts, including those for FFB supply, contain clauses disallowing child, forced and trafficked labour.</p> <p>- Minor compliance -</p>	<p>As explained in 2.2.1, it is known that the company has been able to show a Letter of Cooperation Agreement with the third party it has contracted with, for example for CV Berkat Karimar and CV Liang Barat. Clauses regarding the prohibition of child labor and employing forced labor as well as labor resulting from human trafficking are contained in the work conditions chapter. The results of interviews with representatives of contractor CV Liang Barat revealed that the company has routinely provided socialization and understanding regarding labor regulations that must be complied with.</p>	Complied
<p>Criteria 2.3: All FFB supplies from outside the unit of certification are from legal sources.</p>			
2.3.1	<p>(C) For all directly sourced FFB, Palm Oil Mill (POM) requires:</p> <ul style="list-style-type: none"> • Information regarding the geolocation of FFB origins; • Proof of ownership status, right/claim of the land by grower/smallholder; • If relevant, valid planting/operational/trading license, or is part of a cooperative which allows the buying and selling of FFB. <p>- Critical (Major) compliance -</p>	<p>Based on the recap of Lae Butar POM FFB received and the results of field visits and interviews with management representatives, it is known that the source of FFB Lae Butar POM is from its own plantation (Lae Butar Estate) division I - division IV. There is no FFB from other sources.</p>	Complied
2.3.2	<p>For all indirectly sourced FFB, the unit of certification obtains from the collection centres, agents or other intermediaries, the evidence as listed in indicator 2.3.1.</p> <p>- Minor compliance -</p>	<p>Based on the recap of Lae Butar POM FFB received and the results of field visits and interviews with management representatives, it is known that the source of FFB Lae Butar POM is from its own plantation (Lae Butar Estate) division I - division IV. There is no FFB from other sources.</p>	Complied

Principle 3: Optimise productivity, efficiency, positive impact and resilience Implement plans, procedures and systems for continuous improvement.																																																		
Criteria 3.1: There is an implemented management plan for the unit of certification that aims to achieve long-term economic and financial viability.																																																		
3.1.1 (C) A business or management plan (minimum of three years) is documented and where applicable, includes a jointly developed business case for Scheme Smallholders. - Critical (Major) compliance -	There is no change to the long-term management plan of PT Socfin Indonesia, which documented in "Rencana Jangka Panjang 2021-2025". This document described the five-year business projection of PT Socfin Indonesia (for whole business unit of palm oil and rubber operated in Indonesia). Long term management plan of PT Socfin Indonesia covered parameter as follows: <ul style="list-style-type: none"> - Hectare statement of mature and immature area - Estimation of production (Tons) - Estimation of FFB purchase (for Mass Balance Mill) - Extraction projected (%) - Cost estimation (IDR/kg) - Estimation of price (IDR/Tons) - Estimation of profit (IDR). Below is projection of production PT Socfin Indonesia – Lae Butar for period 2021 – 2025 based on management plan: <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th>Description</th> <th>2021</th> <th>2022</th> <th>2023</th> <th>2024</th> <th>2025</th> </tr> </thead> <tbody> <tr> <td>Mature (Ha)</td> <td>3,958</td> <td>3,873</td> <td>3,798</td> <td>3,787</td> <td>3,823</td> </tr> <tr> <td>Immature (Ha)</td> <td>230</td> <td>316</td> <td>391</td> <td>402</td> <td>366</td> </tr> <tr> <td>FFB (tons)</td> <td>101,088</td> <td>105,544</td> <td>103,729</td> <td>105,452</td> <td>101,752</td> </tr> <tr> <td>CPO (tons)</td> <td>23,408</td> <td>24,317</td> <td>23,899</td> <td>24,296</td> <td>23,444</td> </tr> <tr> <td>PK (tons)</td> <td>4,267</td> <td>4,433</td> <td>4,357</td> <td>4,429</td> <td>4,274</td> </tr> <tr> <td>OER (%)</td> <td>23.16</td> <td>23.04</td> <td>23.04</td> <td>23.04</td> <td>23.04</td> </tr> <tr> <td>KER (%)</td> <td>4.20</td> <td>4.20</td> <td>4.20</td> <td>4.20</td> <td>4.20</td> </tr> </tbody> </table>	Description	2021	2022	2023	2024	2025	Mature (Ha)	3,958	3,873	3,798	3,787	3,823	Immature (Ha)	230	316	391	402	366	FFB (tons)	101,088	105,544	103,729	105,452	101,752	CPO (tons)	23,408	24,317	23,899	24,296	23,444	PK (tons)	4,267	4,433	4,357	4,429	4,274	OER (%)	23.16	23.04	23.04	23.04	23.04	KER (%)	4.20	4.20	4.20	4.20	4.20	Complied
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		Cost (Rp/kg)	2,100	2,205	2,315	2,431	2,553																		
3.1.2	<p>An annual replanting programme projected for a minimum of five years with yearly review, is available.</p> <p>- Minor compliance -</p>	<p>According to the long-term management plan, PT Socfin Indonesia - Lae Butar has annual replanting program as follows:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Description</th> <th>2023</th> <th>2024</th> <th>2025</th> <th>2026</th> <th>2027</th> </tr> </thead> <tbody> <tr> <td>Hectare</td> <td>90.43 Ha</td> <td>175.58 Ha</td> <td>87.28 Ha</td> <td>177.57 Ha</td> <td>198.60 Ha</td> </tr> <tr> <td>Location</td> <td>Div.IV, Block 86, 87, 88, 99</td> <td>Div.I, Block 26a, 26b, 28 Div.IV, Block 86</td> <td>Div.I, Block 35 Div.IV, Block 81, 95, 105</td> <td>Div.III, Block 25 Div.IV, Block 93, 94, 103, 102</td> <td>Div I, Block 39 Div. IV, Block 82, 89, 91, 92, 100, 101</td> </tr> </tbody> </table> <p>Annual replanting program is reviewed annually during management review.</p> <p>During the audit, auditor has visited the replanting area of 2023 replanting Blok 99, 88 and 87 Division IV that has been successfully replanted. There was no fire used during the land preparation.</p>					Description	2023	2024	2025	2026	2027	Hectare	90.43 Ha	175.58 Ha	87.28 Ha	177.57 Ha	198.60 Ha	Location	Div.IV, Block 86, 87, 88, 99	Div.I, Block 26a, 26b, 28 Div.IV, Block 86	Div.I, Block 35 Div.IV, Block 81, 95, 105	Div.III, Block 25 Div.IV, Block 93, 94, 103, 102	Div I, Block 39 Div. IV, Block 82, 89, 91, 92, 100, 101	Complied
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3.1.3	<p>The unit of certification holds management review at planned term according to the scale and nature of the activities undertaken.</p> <p>- Minor compliance -</p>	<p>PT Socfin Indonesia that managed whole Socfin’s operation of rubber and palm oil plantation in Indonesia regularly set the annual report. Those documents will be brought into annual management review meeting. The result of the management review meeting will be recorded as continuous improvement in operational activities next year.</p> <p>During the audit, auditor team has verified the last management review that held on 28 April 2023 (attended by 13 participants consist of Group Manager, Manager, Mill Chief, Head Assistant, field assistant and sustainability staff).</p> <p>The agenda covered, including:</p> <ul style="list-style-type: none"> - Follow-up actions from previous management reviews. 					Complied																		

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		<ul style="list-style-type: none"> - Progress on addressing internal audit findings. - Achievements related to production targets. - Records of public complaints and their resolution. - Documentation of work-related accidents and subsequent actions. - Assessment of environmental performance. - Evaluation of compliance with regulations. - Updates on the progress of smallholder initiatives. <p>The record of management review is in place, such as minutes of meeting and attendance list.</p>	
<p>Criteria 3.2: The unit of certification regularly monitors and reviews their economic, social and environmental performance and develops and implements action plans that allow demonstrable continuous improvement in key operations.</p>			
<p>3.2.1</p>	<p>(C) The action plan for continuous improvement is implemented, based on consideration of the main social and environmental impact and opportunities of the unit of certification.</p> <p>- Critical (Major) compliance -</p>	<p>The Unit of certification established, maintained and implemented the documented procedures to conduct annual internal audit as per "Prosedur Audit Internal Manajemen System" (SOC/PSM/8.02 rev.09) dated 1 January 2022. Internal audit conducted annually, to determine whether the unit conforms to the requirements of ISO 9001, ISO 14001, ISO 45001, RSPO, ISPO, SCCS, ISO/IEC 17025, SNI 1903 and FSC.</p> <p>Available report of RSPO Internal Audit of PT Socfin Indonesia – Lae Butar issued by Department of IA-Sustainability. Internal audit conducted on 19 – 21 September 2023. The outcomes of the internal audits and all actions taken to correct non-conformities subject to annual management review. The latest internal audit result will be reviewed during the next management review.</p> <p>The latest management review held on 28 April 2023 (attended by 13 participants consist of Group Manager, Manager, Mill Chief, Head Assistant, field assistant and sustainability staff).</p> <p>The agenda covered, including:</p>	<p>Complied</p>

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		<ul style="list-style-type: none"> - Follow-up actions from previous management reviews. - Progress on addressing internal audit findings. - Achievements related to production targets. - Records of public complaints and their resolution. - Documentation of work-related accidents and subsequent actions. - Assessment of environmental performance. - Evaluation of compliance with regulations. - Updates on the progress of smallholder initiatives. <p>Implementation of action plan for continuous improvement considering the main social and environmental impact are based on environmental document and social impact assessment report. Environmental Management and Monitoring Plan (RKL-RPL) is part of SEIA or AMDAL document. Participatory with affected parties was conducted during Environment Impact Assessment (EIA) through Economic and Social Questionnaire with adjacent communities of certification unit.</p> <p>Unit of certification has implemented identification of environmental aspect and impact assessment and reviewed annually. The plan included monitoring adaptive to operational changes and effective of the mitigation measures.</p> <p>PT Socfin Indonesia – Lae Butar has demonstrated the document of implementation of continuous improvement for year 2022, consist of:</p> <ul style="list-style-type: none"> • Environment: <ul style="list-style-type: none"> - Minimize the use of chemical, e.g 2021: 15,404.91 kg to 2022: 7,784.86 kg; - Minimize the use of fossil fuel, e.g. 2021: 257,690 L to 2022: 254,551 L. • Social: <ul style="list-style-type: none"> - Boiler ash management to control air pollution for communities around the plantation, by Optimization of dust 	
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		<p>collector performance and Consistency in boiler kitchen dredging activities.</p> <ul style="list-style-type: none"> - Management of waste ponds and areas around waste ponds to control odors: Activate the bacteria in the aerobic ponds (pools 1 and 2) by circulating waste from pond 4 to pond 1 to reduce the temperature and raise the pH; Increase vegetation in the waste pond area to dispel odors into residential areas. - Management of estate resources as a source of livelihood and food for village residents and employees: mushrooms (20-35 people); Fishing in the estate block (10-30 people); Palm tree sticks (10-35 people); Palm fronds (15-30 people); Grass for livestock (40-60 people); Ferns (20-35 people). - Assistance in procuring materials for handicraft training for PKK and socializing the dangers of drugs. Clean water assistance; Assistance for worship facilities and infrastructure as well as assistance for religious activities. - Partnership program for farmers around the plantation. 	
3.2.2	<p>As part of the monitoring and continuous improvement process, annual reports are submitted to the RSPO Secretariat by Certification Body during Annual Surveillance Assessment by using the RSPO metrics template.</p> <p>- Minor compliance -</p>	<p>The unit of certification has filled the RSPO Metric Template version 2.1 for year January – December 2022 and September 2022 to August 2023. The report has been reviewed by auditor during audit.</p>	Complied
Criteria 3.3: Operating procedures are appropriately documented, consistently implemented and monitored.			
3.3.1	<p>(C) Standard Operating Procedures (SOPs) for the unit of certification are in place.</p> <p>- Critical (Major) compliance -</p>	<p>There is no change to the Standard Operating Procedures (SOPs) and Work Instructions for Estate during this ASA 1-3. The procedures cover key processes, harvesting, transportation, manuring, IPM, GAP, Supply Chain requirements for the mill, land clearing, nursery, preparation before replanting, drainage system, maintenance of immature and mature upkeep.</p>	Complied

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		<p>SOP for Estate:</p> <ul style="list-style-type: none"> - Socfindo Management System Procedure for Oil Palm Plantation No.SOC/PSM/7.10 - Socfindo Management System Procedure Debolling Oil Palm No.SOC/PSM/7.10-03 - Work Instruction Oil Palm Nursery No.SOC/KKS/IK/01 - Work Instruction Land Preparation for Oil Palm No.SOC/KKS/IK/02 - Work Instruction Continuous Terrace No.SOC/KKS/IK/03 - Work Instruction Legume Cover Crop Planting No.SOC/KKS/IK/04 - Work Instruction Oil Palm Planting No.SOC/KKS/IK/05 - Work Instruction Oil Palm Pruning No.SOC/KKS/IK/06 - Work Instruction Oil Palm Harvesting No.SOC/KKS/IK/07 - Work Instruction Operating Fogging Machine No.SOC/KKS/IK/08 - Work Instruction Debolling Oil Palm Infested Ganoderma No.SOC/KKS/IK/09 - Work Instruction Castration and Sanitation No.SOC/KKS/IK/10 - Work Instruction Operating Bore Machine for Oil Palm No.SOC/KKS/IK/11 - Work Instruction EFB Application No.SOC/KKS/IK/12 - Work Instruction Weed Control for Oil Palm No.SOC/KKS/IK/13 - Work Instruction Harvesting Pole No.SOC/KKS/IK/14 - Work Instruction Solid Application No.SOC/KKS/IK/15 - Work Instruction FFB Transport No.SOC/KKS/IK/16 - Work Instruction Compost application No.SOC/KKS/IK/17 - Work Instruction Nurture Beneficial Plant for Oil Palm No.SOC/KKS/IK/18 - Work Instruction Spear Rot Control for Oil Palm No.SOC/KKS/IK/19 - Work Instruction Mechanical Fertilizer Application No.SOC/KKS/IK/20 - Work Instruction Sub seilling and ripping No.SOC/KKS/IK/21 - Work Instruction Ploughing and Harrowing No.SOC/KKS/IK/22 	
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		<ul style="list-style-type: none"> - Work Instruction Water Drainage Construction No.SOC/KKS/IK/23 - Work Instruction Mounding Construction No.SOC/KKS/IK/24 - Work Instruction Terrace and Platform No.SOC/KKS/IK/25 - Work Instruction Bagworm Control with <i>Beauvaria beassina</i> Fungi No.SOC/KKS/IK/27 <p>IPM Procedure:</p> <ul style="list-style-type: none"> - SOC/PSM/7.10-11: Procedure of <i>Oryctes rhinoceros</i> Control - SOC/PSM/7.10-12: Procedure of Integrated <i>Ganoderma</i> Control - SOC/PSM/7.10-13: Procedure of Leaf Eating Caterpillar Control - SOC/PSM/7.10-21: Procedure of Rat Control <p>SOP for Mill:</p> <p>There is no change to the Procedure and Work instructions for supporting Mill activities for all its operations from receiving of FFB, processing CPO and palm kernel, dispatch and also supply chain requirements. The procedure also describes quality control check, sampling methods including its reporting from reception of FFB up to dispatch of CPO and palm kernel.</p> <ul style="list-style-type: none"> - SOC-POM/IK-01 FFB receiving in loading ramp - SOC-POM/IK-02 Operation of sterilizer - SOC-POM/IK-02 Operation of hoisting crane - SOC-POM/IK-04 Operation of stripper - SOC-POM/IK-05 Operation of digester and screw press - SOC-POM/IK-06 Operation of continuous tank - SOC-POM/IK-07 Operation of purifier - SOC-POM/IK-08 Operation of vacuum dryer - SOC-POM/IK-09 Operation of decanter - SOC-POM/IK-10 Operation of sludge separator - SOC-POM/IK-11 Operation of decantation pond and fat pit - SOC-POM/IK-12 Operation of silo nut 	
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		<ul style="list-style-type: none"> - SOC-POM/IK-13 Operation of ripple mill - SOC-POM/IK-14 Operation of separating tank - SOC-POM/IK-15 Operation of silo kernel - SOC-POM/IK-16 Operation of clay bath - SOC-POM/PSM/7.08: Procedure of Receiving - SOC-POM/PSM/7.09: Procedure of Processing - SOC-POM/PSM/7.06: Procedure of Delivery of CPO and PK - SOC/PSM/4.10: Procedure of Waste Control - SOC/PSM/9.09: Procedure of Supply Chain Certification Standard Mass Balance. <p>Those documents are available in Bahasa Indonesia in each unit estate and mill.</p>	
<p>3.3.2</p>	<p>A mechanism to check consistent implementation of procedures is in place.</p> <p>- Minor compliance -</p>	<p>The Unit of certification established, maintained and implemented the documented procedures to conduct annual internal audit as per "Prosedur Audit Internal Manajemen System" (SOC/PSM/8.02 rev.09) dated 1 January 2022. Internal audit conducted annually, to determine whether the unit conforms to the requirements of ISO 9001, ISO 14001, ISO 45001, RSPO, ISPO, SCCS, ISO/IEC 17025, SNI 1903 and FSC.</p> <p>Available report of RSPO Internal Audit of PT Socfin Indonesia – Lae Butar issued by Department of IA-Sustainability. Internal audit conducted on 19 – 21 September 2023. The outcomes of the internal audits and all actions taken to correct non-conformities subject to annual management review. The latest internal audit result will be reviewed during the next management review.</p> <p>Document verified:</p> <p>Internal audit sustainability dated 19-21 September 2023. Internal audit covers all clauses under RSPO P&C, including decent living wage provision, freedom of association, prohibit forced labour, child protection, reproductive rights protection, prohibit violence and</p>	<p>Complied</p>

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		<p>harassment, prohibit discrimination and promote equality, uphold human rights and protection of human rights defender, grievance/complaint resolution, non-deforestation and peat exploitation policy, and supply chain. Findings: there are 7 non-conformities raised during this internal audit, referring to RSPO P&C indicator 6.7.3; 7.12.7; 2.2.1; 6.2.7; 6.7.2; 2.1.1; and 1.1.5. Root cause analysis, correction and corrective action has been determined and implemented.</p>	
<p>3.3.3</p>	<p>Records of monitoring and any actions taken are maintained and available. - Minor compliance -</p>	<p>Unit of certification has recorded and maintained any action taken resulted from operational and sustainability monitoring. Document verified: Internal audit sustainability dated 19-21 September 2023. Internal audit covers all clauses under RSPO P&C, including decent living wage provision, freedom of association, prohibit forced labour, child protection, reproductive rights protection, prohibit violence and harassment, prohibit discrimination and promote equality, uphold human rights and protection of human rights defender, grievance/complaint resolution, non-deforestation and peat exploitation policy, and supply chain. Findings: there are 7 non-conformities raised during this internal audit, referring to RSPO P&C indicator 6.7.3; 7.12.7; 2.2.1; 6.2.7; 6.7.2; 2.1.1; and 1.1.5. Root cause analysis, correction and corrective action has been determined and implemented. Visit from group manager: "Resume Kunjungan Tuan Group Manager I di Kebun Lae Butar" dated 30-31 January 2023; sampled findings:</p> <ul style="list-style-type: none"> - Late harvesting rotation, 10-12 days. - Replanting program 2023 need to be improve. - Pruning rotation is normal, need to be consistent. - Harvesting output need to be improve. - Cleanliness and maintenance in palm oil mill need to be increase. 	<p>Complied</p>

		<p>Action plan implemented:</p> <ul style="list-style-type: none"> - Production: addition of harvester, currently 53 harvesters added; increase security to avoid loss of loose fruit and increase harvesting discipline. - Replanting program: has been monitored; planting of LCC at Block 99 has been completed. - Pruning: normalization of pruning rotation to 9 month intervals at Division III Blok 25/91 and Division I Block 28/93 has been implemented. - Harvesting output: increase supervising and provision of extra feeding to increase output. - Palm oil mill: conducted briefing to mill's worker in maintain cleanliness. <p>The unit of certification has also provided visit report "Laporan Kunjungan Tn. Fridolin Siburian" in terms of progress related renovation of material warehouse at Lae Butar. Current condition and action plan has been available.</p>	
<p>Criteria 3.4: A comprehensive Social and Environmental Impact Assessment (SEIA) is undertaken prior to new plantings or operations, and a social and environmental management and monitoring plan is implemented and regularly updated in ongoing operations.</p>			
<p>3.4.1</p>	<p>(C) SEIA in new planting or operation including mills, is conducted independently and participatively by involving the affected stakeholders, inclusive of impact assessment from the smallholder/outgrower scheme (if any). The assessment is to be documented.</p> <p>- Critical (Major) compliance -</p>	<p>Until ASA-1.3 assessment was carried out there were no changes to the scope of the operational area. The environmental documents shown are still the same, namely:</p> <ul style="list-style-type: none"> • Presentation of Environmental Evaluation (PEL) with the implementation of the 1993 study by the Natural Resources and Environmental Research Center, University of North Sumatra. The scope of the study is a processing factory and oil palm plantation with an area of 4,180 ha (Lae Butar) Simpang Kanan District, South Aceh Regency and has been approved by the Ministry of 	<p>Complied</p>

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		<p>Agriculture in accordance with letter No RC220/475/B/III/1994 dated March 17 1994.</p> <ul style="list-style-type: none"> • Revised Environmental Monitoring Plan document covering an area of 4,247 Ha and approved by BAPEDALDA Aceh Singkil Regency in accordance with letter No 660.1/225/2005 dated 20 December 2005. • In 2008 PT Socfindo – Lae Butar acquired PT Jaya Bahni Utama with an area of 312.92 Ha and has prepared an Environmental Management Document and approved it by the Aceh Singkil Regional Environmental Impact Control Agency in accordance with letter No 660/080/DPLH/11/ 2c dated 21 February 2011. • In 2021 there will be additional environmental monitoring documents for an area of 167.48 ha for Division IV Blocks 89, 90, 91, 100, 101, 102, 102 and block 18 Gunung Meriah District, Aceh Singkil Regency and have been approved by the Environmental Service of Aceh Singkil Regency in accordance with letter No. 103 dated 4 August 2021. <p>The total area covered in the environmental documents is 4,731.40 ha.</p> <p>Social Impact Analysis</p> <p>This was carried out for the first time in 2014 by involving various parties, for example through field visits on 21 March – 30 April 2014 covering the villages of Sangga Beru, Sidorejo, Danggulan, Uruk Timbul, Siatas, Suko Rejo, Sidodadi, Pandan Sari, and Rimo City. The identified aspects include economic, social, cultural, and public health.</p>	
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		<p>The company carries out regular reviews of the Social Impact Analysis and the last time it was carried out was in 2022. It informed the following impact aspects:</p> <ul style="list-style-type: none"> • Estate operations: Replanting and maintenance activities. • Factory operations: Noise, boiler ash, odor of waste pond. • Factory supporting facilities: Decreased air quality. • Infrastructure development: Access for employees and the surrounding community. • Livelihoods: Recruitment is tailored to needs and 100% comes from surrounding villages. • Land type. • Land ownership: Potential claims. • Land use patterns. • Social costs: Increased operational costs to build relationships with stakeholders. • Impact on the livelihoods of communities around the plantation: Recruitment, increase in business units around the plantation, increase in the number of residents utilizing estate resources, increase in the number of cattle breeders, increase in the number of cows grazing in the plantation. • Potential human rights violations: Increase in the number of complaints. • Impact of Food Security. • Activities which increase GHG. 	
3.4.2	For the unit of certification, a SEIA is available and social and environmental management plan and its monitoring have been developed with participation of affected stakeholders.	As explained in 3.4.1, the company has an environmental and social management and monitoring plan stated in several environmental documents as well as a social impact assessment. This activity has included relevant stakeholders, for example, it was confirmed from the	Complied

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	<p>- Minor compliance -</p>	<p>results of interviews with the Head of Siatas Village and the Head of Rimo Village who stated that community aspirations were always considered in preparing the document. The Company can also show the 2022 SIA questionnaire which has involved all surrounding villages and representatives from various types of stakeholders. such as gender, internal stakeholders, external stakeholders, age group, and others. An example of a questionnaire was for 17 respondents from Pangj Village, Block 18 Village, Rimo Village, and Sidodadi Village.</p>	
<p>3.4.3</p>	<p>(C) The social and environmental management and monitoring plan is implemented, reviewed and updated regularly in participatory way. - Critical (Major) compliance -</p>	<p>The company has been able to demonstrate environmental management and monitoring programs including social aspects and this can be demonstrated through documentation of activities as follows:</p> <ul style="list-style-type: none"> • Report on the implementation of the RKL/RPL for the first semester of 2023 for the period January – June 2023 and has been submitted to the Ministry of the Environment as an Electronic Receipt of the Environmental Electronic Reporting Information System (SIMPEL) with ID 1690673049-19. • From the results of the document review, it is known that all environmental management and monitoring parameters have been monitored in accordance with the existing matrix and are still below quality standards such as: <ul style="list-style-type: none"> - Water Monitoring of Lae Cinendang River Upstream and Downstream in the first semester of 2023 carried out by the Sucofindo Institute according to the analysis report No. 1566/CLAQAQ (upstream) and No. 1567/CLAQAQ downstream. - Boiler monitoring in accordance with the Sucofindo Test results report Np.05883/CLAQAQ dated 06 July 2023 with particulate test parameters, SO₂, NO₂, HCl, CL₂, NH₃, HF and Opacity (LH Ministerial Regulation No. 07/2007 attachment I) 	<p>Complied</p>

		<p>which results in all parameters still below the specified quality standards.</p> <ul style="list-style-type: none"> - Ambient air monitoring in accordance with Sucofindo analysis report dated 16 July 2023 No 08031/CLAQAQ for the front area of the POM office, POM employee housing, and outer fence, test parameters SO₂, CO₂, NO₂, NMHC, TSP, PM₁₀, PM_{2.5}, Pb and Noise are all below the quality standard limits. <p>Implementation of Social Impact Management</p> <p>These include several activities as follows:</p> <ul style="list-style-type: none"> • There are no land claims made by residents regarding the legality of the company's land. • Providing employment opportunities and improving welfare for the surrounding community, including collaboration with local contractors (CV Liang Barat). • There are no complaints regarding replanting carried out by the company. • Implementation of CSR to the surrounding community whose impact is felt well. 	
<p>Criteria 3.5: A system for managing human resources is in place.</p>			
<p>3.5.1</p>	<p>Employment procedures for recruitment, selection, hiring, promotion, retirement and termination are documented and made available to the workers and their representatives in accordance with the applicable regulation.</p> <p>- Minor compliance -</p>	<p>The company has procedures for recruitment, selection, hiring, promotion, retirement and termination.</p> <ul style="list-style-type: none"> • Recruitment for staff and worker: "Prosedur Penerimaan Staf dan Pegawai No.SOC/PSM/6.01.02" dated 19 November 2015. • Recruitment, Hiring and Selection: "Prosedur Penerimaan Pekerja KHT No.SOC/PSM/6.01.01 rev.03", dated 20 February 2020. • Promotion, Demotion, Job Transfer: "Prosedur Promosi, Mutasi dan Demosi No.SOC/PSM/6.14 Rev.00", dated 17 October 2016. 	<p>Complied</p>

		<ul style="list-style-type: none"> Collaborative Agreement between Labour Union and the company: "Perjanjian Kerja Bersama 2022 – 2024 antara Perusahaan-perusahaan perkebunan Anggota BKS-PPS dengan Serikat Pekerja Anggota Federasi Serikat Pekerja Pertanian dan Perkebunan Serikat Pekerja Seluruh Indonesia (FSP.PP-SPSI) di provinsi Nangroe Aceh Darussalam, Sumatera Utara, Riau dan Jambi". <p>Based on interview with Worker Union and sample of workers in Mill and Estate, the procedure of recruitment, selection, hiring, promotion, retirement and termination are available in estate office and has been disseminated to the respective employees, in particular during probation period. The clauses in the procedure have complied with the national regulation.</p> <p>From this explanation it can be concluded that the company has demonstrated the document of employment procedures for recruitment, selection, hiring, promotion, retirement, and termination in accordance with the applicable regulation (<i>Peraturan Pemerintah/Government Act No.35/2021</i>).</p>	
3.5.2	<p>Employment procedures are implemented and records are maintained.</p> <p>- Minor compliance -</p>	<p>Based on interview with Worker Union and Gender Committee, and document verification, that the recruitment and selection, promotion are conducted based on qualification, medical check-up, assessment, and without discrimination.</p> <p>Employee credential and medical history were documented and recorded very well and has been reviewed during audit. All company policies reviewed every year by Sustainability Sub Department, PT Socfin Indonesia. Employees' evaluation was conducted every November to decide promotion of employees.</p>	Complied
<p>Criteria 3.6: An Occupational health and safety (H&S) plan is documented, effectively communicated and implemented.</p>			

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<p>3.6.1</p>	<p>(C) All operational activities risks assessed to identify the H&S issues. Mitigation plans and procedures are documented and implemented. - Critical (Major) compliance -</p>	<p>The unit of certification shows the document "List of Environmental Aspects and Occupational Safety and Health" which was last reviewed on 10 January 2023 for both estate and mill.</p> <ul style="list-style-type: none"> • Lae Butar POM – Risk Analysis has discussed all operational aspects and activities starting from security, weighbridge, boiler, engine room, powerhouse, loading ramp, sterilizer, threshing, pressing, WTP, WWTP, CPO dispatch, Clarification, etc. • Lae Butar Estate – Risk Analysis has discussed all operational aspects and activities such as harvesting, fertilizing, replanting, FFB transport, workshops, warehouses, pesticide application, warehouses, polyclinics, etc. <p>Based on the results of interviews with circle and path spraying workers, fertilizer workers, boiler operators and engine room operators as well as WWTP officers, it is known that the risk analysis and controls have been routinely disseminated at least once a week during the morning briefing. Apart from that, in the OHS Committee meeting between worker and management, developments regarding impact aspects, for example if a work accident occurs, are also discussed.</p>	<p>Complied</p>
<p>3.6.2</p>	<p>(C) The effectiveness of the H&S plan to address health and safety risks to people is monitored. - Critical (Major) compliance -</p>	<p>Monitoring of the OHS plan and risk analysis carried out by the unit of certification includes the following:</p> <ul style="list-style-type: none"> • Regular monthly meetings of the OHS Committee team to prepare quarterly OHS Committee reports with report contents in the form of recording work accidents, routine monitoring of completeness of PPE including First aid, monitoring of completeness of emergency response equipment such as APAR and equipment for handling land fires. • OHS training and outreach, for example mandatory SIO, first aid training, MSDS training, and others. 	<p>Complied</p>

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		<ul style="list-style-type: none"> • Installation of OHS warnings or signs such as visitor lines at factories, emergency gathering places, important contact numbers, mandatory PPE warnings. • Evaluation of monitoring results is discussed in the OHS Committee meeting which will then provide recommendations to management for implementing the company OHS. 	
Criteria 3.7: All staff, workers, Scheme Smallholders, outgrowers, and contract workers are appropriately trained.			
3.7.1	<p>(C) A documented programme that provides training is in place, which is accessible to all staff, workers, scheme smallholders, and outgrowers taking into account gender- specific needs, and which covers applicable aspects of P&C Principles, in a form they understand and includes assessment of the training.</p> <p>- Critical (Major) compliance -</p>	<p>The unit of certification has prepared a training program for the 2023 period which is divided into several parts as follows:</p> <ul style="list-style-type: none"> • Best Management Practices training: Harvest, Fertilizer, Spraying, process, maintenance, WWTP, border management, etc. • OHS training: First aid, emergency response, MSDS, operator license. • Training related to RSPO standards: HCV management, company policies and sustainability commitments. <p>For example, the training records shown are as follows:</p> <ul style="list-style-type: none"> • Training and awareness regarding first aid in January – February 2023 to all divisions and mill with company doctors and nurses as resource persons. • Emergency response awareness and training to employees delivered during the morning briefing. • Dissemination and refreshment of river border management training for spray and fertilizer workers in June 2023. • Policy dissemination to CV Liang Barat in August 2023. <p>The results of interviews with representatives of CV Liang Barat, representatives of spraying and harvesting workers, as well as</p>	Complied

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		representatives of Lae Butar POM workers revealed that regular dissemination and training is provided to workers both in morning briefings and other special sessions.	
3.7.2	Records of training are maintained, where appropriate on an individual basis. - Minor compliance -	<p>The unit of certification has training records for workers prepared by the human resources department assisted by the sustainability department, for example as follows:</p> <ul style="list-style-type: none"> • Lae Butar Estate spraying team: In January 2023 they received a refreshment training regarding PT Socfindo sustainability policy, training regarding river border management and refreshment regarding sexual harassment. Apart from that, in July 2023 there will be a refreshment regarding the use of sanitation facility. • In December 2021, the worker on behalf of M. Ikbal received training as a licensed first aid officer with a validity period until December 2024 and in July 2023 received training regarding PT Socfindo sustainability policies. <p>The results of interviews with worker representatives revealed that the company regularly provides training, dissemination, or refreshment to employees. Apart from that, there are also warnings sign which are part of periodic awareness.</p>	Complied
3.7.3	Appropriate training is provided for personnel carrying out the tasks critical to the effective implementation of the Supply Chain Certification Standard (SCCS). Training is specific and relevant to the task(s) performed. - Minor compliance -	According to procedure of supply chain "Prosedur Manajemen Rantai Pasok" (SOC/PSM/9.10), Identification of the person having overall responsibility for RSPO Supply Chain implementation was Mill Chief (Tekniker I), Sustainability Staff, and Sales was responsible to conduct the transaction at Palm Trace. For RSPO SCCS administration, Head of Lae Butar Mill assigned Mill Clerk (Mr. Zulkifli Lubis) as PIC of RSPO Supply Chain administration based on Manager Decree letter No. LB/IX/Bi/03/2021 dated 4 January 2021. During the audit, the person was able to demonstrate awareness of the organization's procedures for the implementation of the RSPO Supply Chain requirements.	Complied

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		Refreshment training of supply chain for year 2023 has been conducted on 8 September 2023, attended by 15 staffs of Lae Butar Mill (Tekniker I, Tekniker II, Analyst, expedition/dispatch clerk, weighbridge operator, laboratory and security). Training record was evident, such as training material, attendance list and training evaluation.	
<p>Criteria 3.8: Supply chain requirements for mills. Procedure note: all requirements are classified as Critical Indicators. However, it will not contribute to suspension if there is more than 5 non-compliance within a principle)</p>			
3.8.1	<p>Identity Preserved Module A mill is deemed to be Identity Preserved (IP) if the FFB processed by the mill are sourced from plantations/estates that are certified against the RSPO Principles and Criteria (RSPO P&C), or against the Group Certification scheme. Certification for CPO mills is necessary to verify the volumes and sources of certified FFB entering the mill, the implementation of any processing controls (for example, if physical separation is used), and volume sales of RSPO certified products. If a mill process certified and uncertified FFB without physically separating them, then only Mass Balance Module is applicable.</p>	PT Socfin Indonesia – Lae Butar POM is only receiving FFB from certified source, which is a company-owned estate, Lae Butar Estate. PT Socfin Indonesia – Lae Butar POM implementing RSPO SCCS model IP since 2017.	Complied
3.8.2	<p>Mass Balance Module A mill is deemed to be Mass Balance (MB) if the mill process FFB from both RSPO certified and uncertified plantations/estates. A mill may be taking delivery of FFB from uncertified growers, in addition to those from its own and 3rd party certified supply base. In that scenario, the mill can claim only the volume of oil palm products produced from processing of the certified FFB as MB.</p>	PT Socfin Indonesia – Lae Butar POM is only receiving FFB from certified source, which is a company-owned estate, Lae Butar Estate. PT Socfin Indonesia – Lae Butar POM is not implementing RSPO SCCS Model Mass Balance	Not Applicable

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3.8.3	<p>The estimated tonnage of CPO and PK products that could potentially be produced by the certified mill shall be recorded by the certification body (CB) in the public summary of the P&C certification report. This figure represents the total volume of certified oil palm product (CPO and PK) that the certified mill is allowed to deliver in a year. The actual tonnage produced shall then be recorded in each subsequent annual surveillance report.</p>	<p>The projection for certified FFB and production of certified CPO and PK in Lae Butar POM has been recorded in BSI's public summary report and registered in RSPO IT Platform.</p> <p>According to public summary report ASA 1-2, the estimated tonnage of CPO and PK that could potentially be produced by PT Socfin Indonesia – Lae Butar POM for period November 2022 – October 2023) are as follow:</p> <ul style="list-style-type: none"> - FFB : 98,659.16 MT - CPO : 22,691.61 MT - PK : 3,966.10 MT 	Complied
3.8.4	<p>The mill shall also meet all registration and reporting requirements for the appropriate supply chain through the RSPO IT platform.</p>	<p>PT Socfin Indonesia – Lae Butar POM is a palm oil mill which takes legal ownership and physically handled RSPO certified sustainable oil palm product, the site registered in RSPO IT Platform RSPO ID RSPO_PO1000001775.</p>	Complied
3.8.5	<p>Documented procedures</p> <p>The mill shall have written procedures and/or work instructions or equivalent to ensure the implementation of all elements of the applicable supply chain model specified. This shall include at minimum the following:</p> <ol style="list-style-type: none"> a) Complete and up to date procedures covering the implementation of all the elements of the supply chain model requirements. b) Complete and up to date records and reports that demonstrate compliance with the supply chain model requirements (including training records). c) Identification of the role of the person having overall responsibility for and authority over the implementation of these requirements and compliance with all applicable requirements. This person shall be able to demonstrate awareness of the mill's procedures for the implementation of this standard. 	<p>PT Socfin Indonesia – Lae Butar POM has established complete and up to date procedures to implement RSPO supply chain requirements in Lae Butar POM:</p> <ul style="list-style-type: none"> - "Prosedur Manajemen Rantai Pasok" document No.SOC/PSM/9.10 2nd edition, rev.01" dated 15 April 2022. The procedure covers FFB receiving and its records, FFB process and its records, production records, delivery of product, daily production report, contract and Delivery Order issuance, record keeping, abnormal conditions, report of projected over production to CB, Shipping Announcement in PalmTrace, PIC of RSPO SCCS, processing on the day change from MB to IP, and outsourcing process. - "Prosedur Internal Audit Sistem Management No.SOC/PSM/8.02, 3rd edition, Rev.09" dated 1 January 2022; described planning and preparation of internal audit that conducted once a year at minimum; audit implementation, findings; corrective action and 	Complied

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	<p>d) The mill shall have documented procedures for receiving and processing certified and non-certified FFBS including ensuring no contamination in the IP mill.</p>	<p>audit report.</p> <ul style="list-style-type: none"> - "Prosedur Penanganan Keluhan Sosial No.SOC/PSM/9.02 Rev.05" dated 3 October 2019 described mechanism of complaint handling mechanism. - "Prosedur Pengiriman MKS/IKS dan Cangkang dengan Transportasi Darat No.SOC-POM/PSM/7.06 Rev.04" dated 1 January 2017, described delivery of CPO and PK from Palm Oil Mill to buyer or storage tank (outsourcing). <p>Lae Butar POM has maintained complete and up to date records and reports that demonstrated compliance with the RSPO Supply Chain model Identity Preserved, among others: "Buku Collection Trip" (FFB Delivery Note); Weighbridge ticket; "Laporan Jumlah Janjang per Blok" (total bunches); "Laporan Pemeriksaan Bahan Baku Per Blok" (supply base verification); Daily Production Report; Monthly production report; CPO/PK Delivery Note.</p> <p>According to procedure Identification of the person having overall responsibility for RSPO Supply Chain implementation was Mill chief I (Head of Mill) and Sustainability staff and sales was responsible to conduct the transaction at Palm Trace. For RSPO SCCS administration, Head of Lae Butar Mill assigned Mill clerk; Mr. Zulkifli Lubis as PIC of SCCS administration based on Manager Decree letter No. LB/IX/Bi/03/2021 dated 4 January 2021. During the audit, the person was able to demonstrate awareness of the organization's procedures for the implementation of the RSPO Supply Chain requirements.</p> <p>PT Socfin Indonesia – Lae Butar POM was implementing Identity Preserved for supply chain, all FFB receive by mill was from certified estate which is own estate (Lae Butar Estate). There is no non-certified FFB receive by mill.</p>	
3.8.6	Internal Audit	According to "Prosedur Internal Audit Sistem Management (SOC/PSM/8.02, 3 rd edition, Rev.09)" dated 1 January 2022, described	Complied

	<p>i) The mill shall have a written procedure to conduct annual internal audit to determine whether the mill;</p> <ul style="list-style-type: none"> a) Conforms to the requirements in the RSPO Supply Chain Certification Standard and the RSPO Market Communications and Claims Documents. b) Effectively implements and maintains the standard requirements within its organisation. <p>ii) Any non-conformities found as part of the internal audit shall be issued corrective action. The outcomes of the internal audits and all actions taken to correct non-conformities shall be subject to management review at least annually. The mill shall maintain the internal audit records and reports.</p>	<p>Sustainability Manager or Team conducted internal audit and management review to ensure all operational and documentation activities are comply to the requirement in RSPO Supply Chain Certification Standard and RSPO Market Communications and Claims Documents. The internal audit and management review is planned once a year at minimum.</p> <ul style="list-style-type: none"> - The site has effectively implemented and maintains the standard requirement by performing internal audit. - Latest internal audit RSPO conducted on 19-21 September 2023 by internal auditor from Sustainability department Mrs. Andria Zulmanitra (RSPO SCCS Lead Auditor Training Checkmark dated 8 April 2020) against RSPO P&C 2018 Indonesia NI 2020 which include supply chain requirements. - Audit record can be demonstrated per "Program Audit", Rencana Audit", "Laporan Audit", "Checklist Audit". Based on audit report, there was no nonconformity issued against RSPO supply chain requirements. The latest internal audit result will be reviewed during next management review. <p>The latest management review held on 28 April 2023 (attended by 13 participants consist of Group Manager, Manager, Mill Chief, Head Assistant, field assistant and sustainability staff). The agenda covered, including:</p> <ul style="list-style-type: none"> o Follow-up actions from previous management reviews. o Progress on addressing internal audit findings. o Achievements related to production targets. o Records of public complaints and their resolution. o Documentation of work-related accidents and subsequent actions. o Assessment of environmental performance. 	
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		<ul style="list-style-type: none"> ○ Evaluation of compliance with regulations. ○ Updates on the progress of smallholder initiatives. 	
3.8.7	<p>Purchasing and Goods In</p> <p>i) The mill shall verify and document the tonnage and sources of certified and the tonnage of non-certified FFBs received.</p> <p>ii) The mill shall inform the CB immediately if there is a projected overproduction of certified tonnage.</p> <p>iii) The mill shall have a mechanism in place for handling non-conforming FFB and/or documents.</p>	<p>PT Socfin Indonesia – Lae Butar POM only received FFB from certified source, which is Lae Butar Estate. Transportation from field to palm oil mill are using company trucks. From the process observed, there is no FFB contamination found. Document of FFB delivery note (Trip Collection) and Weighbridge Card described identity and location of FFB source and other item required, e.g. vehicle number, product delivered, date of delivery, trip number, division, weighbridge card number, truck number, gross weight, tare weigh and nett weigh, signed by driver, weighbridge clerk and administration staff.</p> <p>Sample FFB receiving document seen:</p> <ul style="list-style-type: none"> - Ticket Collection dated 10 August 2023; vehicle number BL 8180 BY; FFB origin: Division III, Block 24; Planting year 2001; Driver name Sukatno; amount 572 bunches. - Weighbridge Ticket No. WT/GST600/2023/013640, dated 10 August 2023; vehicle number BL 8180 BY; FFB origin: Division III, Block 24 and 37; Netto 8,690 kg; Driver name: Sukatno. - Ticket Collection dated 11 August 2023; vehicle number BL 8342 RB; FFB origin: Division IV, Block 102; Planting year 1992; Driver name Panut; amount 250 bunches. - Weighbridge Ticket No. WT/GST600/2023/013720, dated 11 August 2023; vehicle number BL 8342 RB; FFB origin: Division IV, Block 102; Netto 7,130 kg; Driver name: Panut. <p>Movement of FFB from Lae Butar Estate to Lae Butar POM are not sales transaction, it is under the control of same entity, PT Socfin Indonesia. The certification of the supply base is fall under the palm oil mill, PT Socfin Indonesia – Lae Butar POM, therefore a check of the validity of the Supply Chain Certificate is not necessary.</p>	Complied

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		<p>Mechanism for handling non-conforming material and/or documents described in "Prosedur Manajemen Rantai Pasok" (SOC/PSM/9.10, 2nd edition, rev.01)" dated 15 April 2022, and "Tata Laksana Teknis Rantai Pasok" (SOC/DP/9.10-01 Rev.01) dated 15 April 2022, Section XI – "Penanganan Ketidaksesuaian", stated that verification shall be conducted by the Sustainability Staff for every transaction announced in the PalmTrace.</p>	
<p>3.8.8</p>	<p>Sales and Goods Out</p> <p>The supplying mill shall ensure that the following minimum information for RSPO certified products is made available in document form. The information shall be complete and can be presented either on a single document or across a range of documents issued for RSPO certified oil palm products (for example, delivery notes, shipping documents and specification documentation):</p> <ol style="list-style-type: none"> a) The name and address of the buyer; b) The name and address of the seller; c) The loading or shipment / delivery date; d) The date on which the documents were issued; e) RSPO certificate number; f) A description of the product, including the applicable supply chain model (Identity Preserved or Mass Balance or the approved abbreviations); g) The quantity of the products delivered; h) Any related transport documentation; i) A unique identification number. 	<p>PT Socfin Indonesia – Lae Butar POM demonstrated records that include minimum information required for RSPO certified product. During ASA1_3, the site sold RSPO certified CPO and PK to PT Musim Mas and PT SMART Tbk.</p> <p>Record seen:</p> <p>CPO sales:</p> <ul style="list-style-type: none"> - "Kontrak Penjualan Lokal" No.40012675 dated 8 September 2023; described the seller's name is PT Socfin Indonesia, address: Jl. K.L. Yos Sudarso No.105 Medan Barat, Medan Sumatera Utara; the buyer's name is PT Musim Mas, address: Jl. K. Yos Sudarso KM 7.8 Tanjung Mulia, Medan Deli, Medan Sumatera Utara; product Crude Palm Oil (CPO); quality is RSPO IP Certified; FFA Max 3%, Moist max. 0.5%, DOBI min. 2.1; quantity is 3,000 tons. - Delivery Order No.300030200 described the name of the buyer is PT Musim Mas, address Kawasan Berikat Belawan; the name of the Seller is PT Socfin Indonesia – Lae Butar; date of document issued is 8 September 2023; description of product Crude Palm Oil (CPO); quality RSPO Certified IP; quantity is 300 tons; related Contract/Unique Identification No.40012611; transporter CV Karya Murni. - "Surat Pengantar" and Weighbridge docket No. WT/GST 600/2023/014102; RSPO Certified IP; Certificate No. RSPO 734167; dated 19 August 2023; Seller PT Socfin Indonesia-Lae Butar; Buyer 	<p>Complied</p>

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		<p>is PT Musim Mas Belawan; product CPO; Weight 18,130 kg; Contract reference No.40012649; D/O reference No.300030023; transporter CV Karya Murni; Truck BK 8548 FU.</p> <ul style="list-style-type: none"> - Transaction ID TR-e16fff90-0ab3 dated 09-08-2023; Seller is PT Socfin Indonesia – Lae Butar POM, RSPO_PO1000001775; Buyer is PT Musim Mas – Belawan, RSPO_PO1000000076; Product CSPO; Supply Cahin model: IP; Volume 300 MT; Transaction Type: Shipping; BL date: 05-08-2023; Status: Confirmed on 30-09-2023; Based on Delivery Order No. 300029915, Contract No. 40012611. <p>PK sales:</p> <ul style="list-style-type: none"> - “Kontrak Penjualan Lokal” No.40012526 dated 8 June 2023; described the seller’s name is PT Socfin Indonesia, address: Jl. K.L. Yos Sudarso No.105 Medan Barat, Medan Sumatera Utara; the buyer name is PT. Musim Mas, address: Jl. K. Yos Sudarso KM 7.8 Tanjung Mulia, Medan Deli, Medan Sumatera Utara; product Palm Kernel (PK); quality is RSPO IP Certified; dirt max 8%, moist max 8%; quantity is 500 ton. - Delivery Order No.300029649 described the name of the buyer is PT, Musim Mas at Kawasan Berikat KIM I, Belawan; the name of the Seller is PT Socfin Indonesia – Lae Butar POM; date of document issued is 8 June 2023; description of product is Palm Kernel (PK); quality RSPO Certified IP; quantity is 157 tons; related Contract No.40012526; Unique Identification Number is 40012526; transporter CV Karya Murni. - “Surat Pengantar” and Weighbridge docket No. WT/GST 600/2023/010583; RSPO Certified IP; Certificate No. RSPO734167; dated 21 June 2023; Seller PT Socfin Indonesia-Lae Butar; Buyer PT. Musim Mas Kawasan Berikat KIM I; product Palm Kernel; Weight 19,780 kg; Contract reference No. 40012526; D/O reference No. 	
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		<p>300029649; transporter CV Karya Murni; Truck BK 8478 FW.</p> <p>- Transaction ID TR-56ed2f45-1158 dated 30-06-2023; Seller is PT Socfin Indonesia – Lae Butar POM, RSPO_PO1000001775; Buyer is PT Musim Mas – KIM 1, RSPO_PO1000000730; Product CSPK; Supply Chain model: IP; Volume 157 MT; Transaction Type: Shipping; BL date: 24-06-2023; Status: Confirmed on 03-07-2023; Based on Delivery Order No. 300029649, Contract No. 40012526.</p> <p>Information is presented on across a range of documents, such as sales contract, Delivery Order, Weighbridge Card and Delivery Note. PT Socfin Indonesia – Lae Butar POM has registered all their transaction through Shipping Announcement in RSPO IT Platform (PalmTrace).</p>	
<p>3.8.9</p>	<p>Outsourcing Activities</p> <p>i) The mill shall not outsource its milling activities. In cases where he mill outsources activities to independent third parties (e.g. subcontractors for storage, transport or other outsourced activities), the mill holding the certificate shall ensure that the independent third party complies with relevant requirements of this RSPO Supply Chain Certification.</p> <p>ii) The mill shall ensure the following:</p> <p>a) The mill has legal ownership of all input material to be included in outsourced processes</p> <p>b) The mill has an agreement or contract covering the outsourced process with each contractor through a signed and enforceable agreement with the contractor. The onus is on the mill to ensure that certification body (CB) has access to the outsourcing contractor or operation if an audit is deemed necessary.</p>	<p>Lae Butar POM does not outsource its milling activities; however, the organization uses third party contractor for transportation of certified product, that is CSPO and CSPK. PT Socfin Indonesia Lae Butar POM outsourced the transportation of CSPO and CSPK to CV Karya Murni. Valid contract was available, e.g.:</p> <ul style="list-style-type: none"> - “Surat Perjanjian Pengangkutan Minyak Kelapa Sawit PT Socfin Indonesia and CV Karya Murni No. PD-GM/X/446/2022”, dated 12 December 2022. The contract covers work for CPO transport, valid up to 31 December 2023. - “Surat Perjanjian Pengangkutan Inti Kelapa Sawit PT Socfin Indonesia and CV Karya Murni No. PD-GM/X/451/2022”, dated 12 December 2022. The contract covers work for PK transport, valid up to 31 December 2023. <p>PT Socfin Indonesia – Lae Butar POM has ensured that the independent third party complies with the requirements of the RSPO Supply Chain Certification Standard. The requirement to comply with RSPO Supply Chain was explained and include in the contract</p>	<p>Complied</p>

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	<p>c) The mill has a documented control system with explicit procedures for the outsourced process which is communicated to the relevant contractor.</p> <p>d) The mill shall furthermore ensure (e.g. through contractual arrangements) that independent third parties engaged provide relevant access for duly accredited CBs to their respective operations, systems, and all information, when this is announced in advance.</p>	<p>agreement. In Article II (term and conditions) of the contract mentioned that:</p> <ul style="list-style-type: none"> - Section 5.a. Contractor shall abide RSPO SCCS requirement, the supply chain IP means contractor shall ensure certified product does not physically commingling with non-certified product; including storage and transport. - Section 5.b. Transport officer shall understand the supply chain module by looking into the "Surat Pengantar" whereby stating "RSPO Certified (IP)". <p>The contract has been signed by both parties and means that the outsourcing party understood the RSPO Supply Chain requirements.</p> <p>PT Socfin Indonesia – Lae Butar POM controls the certified CPO and PK transporter before shipping by:</p> <ul style="list-style-type: none"> - Checking completeness of vehicle including legality and safety, tank condition, faucet out, main hole tank, hinge/key lock faucet out, seal number and seal condition. - The quantity of CPO monitored by Weighbridge Card and SPK (with 0.20% tolerance). <p>During field audit and document verification can be demonstrated that the transporter is under control of PT Socfin Indonesia – Lae Butar POM.</p>	
3.8.10	<p>The mill shall record the names and contact details of all contractors used for the physical handling of RSPO certified oil palm products.</p>	<p>Up to this moment, PT Socfin Indoneisa – Lae Butar POM only use one contractor for transport of certified CPO and PK, which is CV Karya Murni. The contact detail is Mr. H. Safriadi Manik as the Director according to Akta Notaris Noni Wanti, SH, MKn No. 01 dated 7 October 2008. Contractor address: Taman Setia Budi Indah SS No. 53 Kecamatan Medan Sunggal, Medan, Sumatera Utara Province, Indonesia.</p>	Complied

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		The contact detail was provided in the Contract Agreement and List of Stakeholder Kebun Lae Butar, dated 20 September 2023.	
3.8.11	The mill shall inform its CB in advance prior to conduct of its next audit of the names and contact details of any new contractor used for the physical handling of RSPO certified oil palm products.	The documented procedure of supply chain, "Prosedur Manajemen Rantai Pasok" (SOC/PSM/9.10 Version 2 Rev.01) dated 15 April 2022, in section 6.13.5 has explicitly described that the site will inform CB if there is addition or change in contractor used.	Complied
3.8.12	<p>Record keeping</p> <p>i) The mill shall maintain accurate, complete, up-to-date and accessible records and reports covering all aspects of this RSPO Supply Chain Certification Standard requirements.</p> <p>ii) Retention times for all records and reports shall be a minimum of two (2) years and shall comply with relevant legal and regulatory requirements and be able to confirm the certified status of raw materials or products held in stock.</p> <p>iii) For Identity Preserved Module, the mill shall record and balance all receipts of RSPO certified FFB and deliveries of RSPO certified CPO and PK on a real-time basis.</p> <p>iv) For Mass Balance Module, the mill:</p> <p>a) Shall record and balance all receipts of RSPO certified FFB and deliveries of RSPO certified CPO and PK on a real-time basis and / or three-monthly basis.</p> <p>b) All volumes of certified CPO and PK that are delivered are deducted from the material accounting system according to conversion ratios stated by RSPO.</p> <p>c) The mill can only deliver Mass Balance sales from a positive stock. Positive stock can include product ordered for delivery within three (3) months. However, a mill is allowed to sell short (i.e. product can be sold before it is in stock).</p>	<p>Up to this moment, Lae Butar POM always maintained accurate, complete, up-to-date and accessible records and reports covering all aspects of RSPO Supply Chain requirements, as evident in "Laporan Produksi Harian", "Berita Acara Pengukuran dan Perhitungan Stok CPO", "Kontrak Penjualan Lokal", Delivery Order, Weighbridge Card and Delivery Note. Records and report verified are as follows:</p> <ul style="list-style-type: none"> - Laporan Harian Pabrik (Mill Daily Report); dated 31 December 2022 and 18 October 2022. - Production Statement – Monthly production report of January to December 2022 and January to September. - Kartu Timbangan (Weighbridge Ticket/Docket) FFB; - Kartu Timbangan (Weighbridge Ticket/Docket) CPO; - Kartu Timbangan (Weighbridge Ticket/Docket) PK. <p>The procedure "Prosedur Manajemen Rantai Pasok" (SOC/PSM/9.10 Version 2 Rev.01) dated 15 April 2022, section 6.7 requires that all related records and reports, e.g. FFB receiving, Laporan Produksi Harian, Contract, Delivery Order, Weighbridge Card and Delivery Note must be keep for a period of minimum five (5) years. Record verification confirms records as early as 2018 are still maintained.</p> <p>Lae Butar POM uses Identity Preserved Module for supply chain. Lae Butar POM has recorded and balances all receipts of RSPO certified FFB and deliveries of RSPO certified CPO and PK on a real-time basis, as shown in Production Statement. Monthly production report was</p>	Complied

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		<p>generated from weighbridge card, summarized daily in mill daily report, summarized monthly in Mill Operation Summary, then summarized in Production Statement.</p> <p>For period January to December 2022, FFB processed is 94,241.98 MT; CPO produced is 21,102.45 MT; PK produced is 3,806.44 MT; OER is 22.39%; KER is 4.04%; CPO dispatched is 21,165.07 MT; PK dispatched is 3,800.59 MT.</p> <p>For the period January to September 2023, FFB processed is 79,301.66 MT; CPO produced is 18,084.21 MT; PK produced is 3,165.26 MT; OER is 22.80%; KER is 3.99%; CPO dispatched is 18,225.05 MT; PK dispatched is 3,209.46 MT.</p> <p>Conversion rate is only applied to provide reliable estimation of CPO and PK produced for annual budget. All volumes of palm oil and palm kernel oil that are delivered are deducted from the material accounting system (Production Statement).</p>	
3.8.13	<p>Extraction Rate</p> <p>The oil extraction rate (OER) and the kernel extraction rate(KER) shall be applied to provide a reliable estimate of the amount of certified CPO and PK from the associated inputs. Mill shall determine and set their own extraction rates based upon past experience, documented and applied it consistently.</p>	<p>The oil extraction rate (OER) and Kernel extraction Rate (KER) are applied to provide reliable estimation of CPO and PK produced for annual budget. OER and KER are set based upon past experience, documented and applied it consistently. For year 2023, the estimated extraction rate from FFB into CPO is 22.65%, whilst from FFB to PK is 4.00%.</p>	Complied
3.8.14	<p>Extraction rates shall be updated periodically to ensure accuracy against actual performance or industry average if appropriate.</p>	<p>The actual Oil Extraction Rates (OER) and Kernel Extraction Rates (KER) are monitored on daily basis through sounding result and documented in Daily Production Report and Production Statement. Based on Daily Production Report dated 30 September 2023 for period January to September 2023, actual OER is 22.80% and actual KER is 3.99%.</p>	Complied

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<p>3.8.15</p>	<p>Processing</p> <p>For Identity Preserved Module, the mill shall assure and verify through documented procedures and record keeping that the RSPO certified oil palm product is kept separated from non-certified oil palm products, including during transport and storage to strive for 100% separation.</p>	<p>PT Socfin Indonesia - Lae Butar POM implement Identity Preserved Module of RSPO Supply Chain requirement. Lae Butar POM only received certified FFB from its own estate and no other FFB source.</p> <p>PT Socfin Indonesia has a documented procedure "Prosedur Manajemen Rantai Pasok" (SOC/PSM/9.10 Version 2 Rev.01) dated 15 April 2022. The procedure covers FFB receiving and its records, FFB process and its records, production records, delivery of product, daily production report, contract and DO issuance, record keeping, handling non-conformance FFB and document, report of projected over production to CB, Shipping Announcement in PalmTrace, PIC of RSPO SCCS, processing on the day change from MB to IP, and outsourcing process.</p> <p>Lae Butar POM has 1-unit GSC Type GST-9600 weighbridge with a capacity of 40 tons, it has been re-calibrated by "Balai Standardisasi Metrologi Legal Regional I. Certificate of Test Results Number: 0098/PKTN.4.1/KHP/11/2022 dated 17 November 2022, valid until 10 November 2023.</p> <p>Lae Butar POM has 2 storage tanks, with capacity @ 700 MT. Storage Tank has been conducted refer to "Berita Acara Pengosongan dan Pencucian Tanki" dated 12 September 2023 for Storage Tank No. 1 and on 30 December 2022 for Storage Tank No.2.</p> <p>Because all FFB processed at Lae Butar POM is from Lae Butar Estate, it can be ascertained that all products produced are 100% certified. Transport and storage are 100% IP products only.</p>	<p>Complied</p>
<p>3.8.16</p>	<p>Registration of Transactions</p> <p>i) Shipping Announcement in the RSPO IT platform shall be carried out by the mills when RSPO certified products are sold as certified to refineries, crushers, and traders not more than three months after</p>	<p>PT Socfin Indonesia – Lae Butar POM has made Shipping Announcement for certified CPO and PK sold. During this one-year period, all certified CPO and PK are sold under RSPO scheme, there are no sales of certified CPO and PK under other scheme, therefore "Remove" is not applicable.</p>	<p>Complied</p>

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	<p>dispatch with the dispatch date being the Bill of Lading or the dispatch documentation date.</p> <p>ii) Remove: RSPO certified volumes sold under different scheme or as conventional, or in case of underproduction, loss or damage shall be removed in the RSPO IT platform.</p>	<p>Sample verified of Shipping Announcement:</p> <ul style="list-style-type: none"> - Transaction ID TR-e16fff90-0ab3 dated 09-08-2023; Seller is PT Socfin Indonesia – Lae Butar POM, RSPO_PO1000001775; Buyer is PT Musim Mas – Belawan, RSPO_PO1000000076; Product CSPO; Supply Cahin model: IP; Volume 300 MT; Transaction Type: Shipping; BL date: 05-08-2023; Status: Confirmed on 30-09-2023; Based on Delivery Order No. 300029915, Contract No. 40012611. - Transaction ID TR-56ed2f45-1158 dated 30-06-2023; Seller is PT Socfin Indonesia – Lae Butar POM, RSPO_PO1000001775; Buyer is PT Musim Mas – KIM 1, RSPO_PO1000000730; Product CSPK; Supply Chain model: IP; Volume 157 MT; Transaction Type: Shipping; BL date: 24-06-2023; Status: Confirmed on 03-07-2023; Based on Delivery Order No. 300029649, Contract No. 40012526. 	
<p>3.8.17</p>	<p>Claims</p> <p>The mill shall only make claims regarding the production of RSPO certified oil that are in compliance with the RSPO Rules on Market Communications and Claims.</p>	<p>Lae Butar POM does not make any claims outside of the RSPO Rules on Market Communications and Claims. The company has prepared a procedure "Prosedur Komunikasi dan Klaim Minyak Sawit Bersertifikat RSPO" No.SOC/PSM/9.12" dated 1 September 2015. The procedure clearly stated that PT Socfin Indonesia did not make any claims regarding the use of RSPO trademark.</p> <p>Lae Butar POM delivered RSPO certified CPO and PK in bulk, the mill has made no claim regarding the use of or support of RSPO certified oil palm products. Description of RSPO certified product only made in shipping documentation, e.g. Delivery Order and Weighbridge Ticket.</p>	<p>Complied</p>
General corporate communications			
<p>4.1</p>	<p>A corporate communication is one made by any RSPO member that highlights its RSPO membership and/or its commitment to the objectives and principles of RSPO. Corporate communication is a "non-product related" claim.</p>	<p>Previously PT Socfin Indonesia was a registered RSPO member with membership number 1-0017-04-000-00, since 7 February 2004. Since 2019, PT Socfin Indonesia registered as a member of Socfin SA, with RSPO membership number 1-0269-19-000-00, since 6 December 2004 as seen in website address:</p>	<p>Complied</p>

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		<p>https://www.rspo.org/members/8070/Socfin-SA.</p> <p>The reason of the change is because the commitment of Socfin's commissioner to certify all their oil palm plantation under the RSPO scheme. The corporate communication of Socfin Group can be found in website address http://www.socfin.com.</p>	
4.2	<p>In corporate communications, a member is allowed to:</p> <ul style="list-style-type: none"> A. display its RSPO membership status B. display the RSPO web address (www.rspo.org) C. state that the member supports the work of RSPO D. state the member's history with regard to RSPO E. use the RSPO Trademark (as shown below) with a valid trademark licence number to promote its RSPO membership 	<p>In corporate communication, Socfin Group:</p> <ul style="list-style-type: none"> A. Did not display its RSPO membership status, however Socfin Group display that the company has 22 units of RSPO certified oil mills. B. The website has a link to www.rspo.org in certification tab>connections>RSPO, at address: https://www.socfin.com/fr/certifications. C. State that the member supports the work of the RSPO: not in direct manner, however on the website Socfin Group stated: "The Socfin Group, from its beginnings in 1909, has always been attentive to its social and environmental impact in the countries where it operates. Aware of the development challenges that are evolving, the Socfin Group is constantly working to improve its activities in order to be the leader in responsible tropical agriculture". D. State the member's history with regard to the RSPO: the website display link to RSPO certificate of each unit certified. E. Use the RSPO trademark to promote its membership of the RSPO: the website does not display RSPO trademark. 	Complied
4.3	<p>Members are not allowed to use the RSPO corporate logo as shown below. This is for the sole use of the RSPO Secretariat.</p>	<p>In corporate communications, Socfin Group does not use the RSPO corporate logo as shown in the RSPO Rules on Market Communications & Claims document.</p>	Complied

4.4	In corporate communications, RSPO members must not make any statement that may lead consumers to believe that RSPO membership by itself implies the selling of RSPO certified palm oil products.	In corporate communications, Socfin Group does not make any statement that may lead consumers to believe that RSPO membership by itself implies the selling of RSPO-certified oil palm products.	Complied
4.5	<p>Additionally, RSPO certified members are allowed to make statements that highlight their RSPO certification status and product-related claims in their corporate communication tools. Some permitted examples include:</p> <ul style="list-style-type: none"> • “We have been sourcing RSPO certified palm oil since (YEAR).” • “We have used (X) tonnes of RSPO certified palm oil for our products manufactured in the last year.” • “We have been RSPO certified since (YEAR).” • “We have (X NUMBER OF PRODUCTS) produced using RSPO certified MB/SG palm oil.” • “In (YEAR), our company sourced (X%) of palm oil derivative volumes that was used across our products manufactured as MB certified.” • “Our company covered (X%) of palm oil derivative volumes used across all our products manufactured in (YEAR) with smallholder credits.” • “We are RSPO certified. Ask us for our RSPO certified products.” 	In corporate communications, Socfin Group make statements that highlight their RSPO certification status and product-related claims in their corporate communication tools, e.g. have 22 RSPO certified oil mills and 96% of RSPO certified palm oil mills.	Complied
4.6	<p>RSPO non-certified members are allowed to make product-related claims in their corporate communication tools by doing the following:</p> <p>A. Use the RSPO Trademark with a valid trademark licence number to promote its membership of RSPO. It is sufficient for non-certified members to indicate this only once in their communication.</p> <p>B. Claim statements are limited to the following examples:</p>	Socfin Group is RSPO certified member.	Not Applicable

	<ul style="list-style-type: none"> i. "The rate of RSPO-certified palm oil procurement was (X%). We aim to achieve (X%) sustainable procurement by fiscal year (YEAR)." ii. X% of palm oil sourced by our organisation are certified through the Roundtable on Sustainable Palm Oil (RSPO) supply chains as (a) Identity Preserved, (b) Segregated, (c) Mass Balance, or (d) Book and Claim. C. In cases wherein an organisation/member would like to indicate their commitment to sourcing RSPO certified volumes, the statement shall be accompanied with a disclaimer: "This reported figure is not audited through RSPO Certification". 		
Product-specific communications			
5.1 General			
5.1.1	Product-specific communications refer to any public statement about an individual product that contains RSPO certified sustainable palm oil. These product-specific communications can be made on pack and/or off pack such as shipping documents, advertisements, flyers, brochures, posters, displays, newsletters, websites, emails, letters, offerings, invoices, (annual) reports, or media interviews.	PT Socfin Indonesia – Lae Butar POM stated in shipping documents, e.g. "Kontrak Penjualan Lokal" and Delivery Order that the product sold is RSPO Certified CPO or RSPO Certified PK model IP.	Complied
5.1.2	Product-specific communications are voluntary.	PT Socfin Indonesia – Lae Butar POM stated in shipping documents, e.g. "Kontrak Penjualan Lokal" and Delivery Order that the product sold is RSPO Certified CPO or RSPO Certified PK model IP.	Complied
5.1.3	Wherever the RSPO Label is displayed for product-specific communications, the applicable trademark licence number must be shown immediately under or next to the RSPO Label or the statement itself.	RSPO label is not displayed on product-specific communications.	Complied
5.1.4	Use of any other trademark or label to highlight the presence of RSPO certified sustainable palm oil products is an unauthorised product-specific communication.	PT Socfin Indonesia – Lae Butar POM does not use any other trademark or label to highlight the presence of RSPO certified sustainable palm oil products	Complied

5.1.5	<p>If any organisation, which does not further modify end products or does not need to undergo Supply Chain Certification such as retailers, traders or distributors, enters into any agreement whereby the RSPO certified supplier labels products with the retailers/traders/distributors RSPO Trademark licence number, the following conditions shall be met as shown below:</p> <ul style="list-style-type: none"> • RSPO members should be registered on the RSPO IT Platform and complete the information under 'description of products' field. • Both parties shall inform their certification body in writing about the agreement. • The RSPO certified supplier is responsible for ensuring that the buyer's RSPO Trademark licence number is used only on products that are supplied to that buyer. 	The unit of certification is a palm oil mill.	Not Applicable
5.1.6	<p>Organisations that do not further modify end products or that do not need to undergo Supply Chain Certification such as retailers, traders, or distributors who intend to use the RSPO Label with their own RSPO Trademark licence number in any of their product-specific communications, can do so by undergoing a remote audit. A remote audit shall be conducted by an RSPO-accredited certification body (CB) prior to the use of the RSPO Label whereby the organisation will need to demonstrate that the use is in compliance with the rules contained within this document and that the claim itself can be supported through a certified supply chain.</p>	The unit of certification is a palm oil mill.	Not Applicable
5.2 Off pack claims			
5.2.1	<p>Off pack claims are product-specific communications regarding the certified sustainable palm oil contained within the product(s) made on any communication materials such as shipping documents, advertisements, flyers, brochures, posters, displays, newsletters, websites, emails, letters, offerings, invoices, (annual) reports, or media interviews. The RSPO Label</p>	<p>PT Socfin Indonesia – Lae Butar POM stated in shipping documents, e.g. "Kontrak Penjualan Lokal" and Delivery Order that the product sold is RSPO Certified CPO or RSPO Certified PK model IP.</p> <p>There is no RSPO Label used.</p>	Complied

	should be used together with the valid trademark licence number wherever an off pack claim is made.		
5.2.2	When confirming the sale of certified palm oil products, members must adhere to the requirements of the RSPO SCCS. This includes stating the supply chain model and certificate number under which the claim is being made.	PT Socfin Indonesia – Lae Butar POM stated in shipping documents, e.g. “Kontrak Penjualan Lokal” and Delivery Order that the product sold is RSPO Certified CPO or RSPO Certified PK model IP.	Complied
5.2.3	Where a distributor or wholesaler takes title to products containing certified sustainable palm oil products, the requirements of the RSPO SCCS can follow either one of these two options: <ul style="list-style-type: none"> • If the distributor or wholesaler holds only a distributor licence, it may only communicate RSPO certified palm oil products by linking the product to the manufacturer using the manufacturer’s SCCS certificate number. This covers both brand and own brand products. However, in the case of own brand products, it is essential that customers are aware that the products have been made on behalf of the distributor or wholesaler, with specific evidence either through on pack claims or documentation. • If the distributor or wholesaler is supply chain certified, they should follow the requirements outlined in section 5.2.2. 	The unit of certification is a palm oil mill.	Not Applicable
5.3 On pack claims			
5.3.1	Only RSPO members who have supply chain certification and members who have undergone remote audit as per 5.1.6 are allowed to make on pack claims about the certified sustainable palm oil contained within the product(s) as per Module A, B, C and E. RSPO members who have purchased RSPO Credits are entitled to claim their support for the production of certified sustainable palm oil as per Module D.	PT Socfin Indonesia – Lae Butar POM is an RSPO certificate holder with certificate number RSPO issued by BSI Services Malaysia Sdn Bhd on 25 February 2019. The certificate holder sold its oil palm product in bulk, there is no on pack claims attached.	Not Applicable
5.3.2	In case of limited space, RSPO allows flexibility to use a one-line arrangement claim together with a valid trademark licence number for on pack communications as below without having to use any RSPO Label.	PT Socfin Indonesia – Lae Butar POM is an RSPO certificate holder with certificate number RSPO issued by BSI Services Malaysia Sdn Bhd on	Not Applicable

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	<p>The licence number has to measure at least 4pt (1.4mm) in size and the font must be Calibri. The following options shall be considered:</p> <p>A) For Identity Preserved (IP)/ Segregated (SG) Certified Products:</p> <ul style="list-style-type: none"> • RSPO IP/SG CERTIFIED* • Contains RSPO IP/SG palm oil* • Contains RSPO certified palm oil (IP/SG)* <p>*Add RSPO TM Licence Number below or next to the claim.</p>	<p>25 February 2019. The certificate holder sold its oil palm product in bulk, there is no on pack claims attached.</p>	
	<p>B) or Mass Balance (MB) Certified Products:</p> <ul style="list-style-type: none"> • RSPO MIXED* • Contributes to the production of RSPO certified palm oil* • Contains RSPO certified palm oil (MB)* <p>*Add RSPO TM Licence Number below or next to the claim.</p>	<p>PT Socfin Indonesia – Lae Butar POM is an RSPO certificate holder with certificate number RSPO issued by BSI Services Malaysia Sdn Bhd on 25 February 2019. The certificate holder sold its oil palm product in bulk, there is no on pack claims attached.</p>	Not Applicable
	<p>C) For Partially Certified Products:</p> <ul style="list-style-type: none"> • RSPO 50% MIXED* • Contains at least 50% RSPO certified palm oil* <p>*Add RSPO TM Licence Number below or next to the claim.</p>	<p>PT Socfin Indonesia – Lae Butar POM is an RSPO certificate holder with certificate number RSPO issued by BSI Services Malaysia Sdn Bhd on 25 February 2019. The certificate holder sold its oil palm product in bulk, there is no on pack claims attached.</p>	Not Applicable
	<p>D) For Products covered with Book and Claim (B&C):</p> <ul style="list-style-type: none"> • RSPO CREDITS* • Supports the production of RSPO certified palm oil* • Contains palm oil covered by the purchase of RSPO Credits* <p>*Add RSPO TM Licence Number below or next to the claim.</p>	<p>PT Socfin Indonesia – Lae Butar POM is an RSPO certificate holder with certificate number RSPO issued by BSI Services Malaysia Sdn Bhd on 25 February 2019. The certificate holder sold its oil palm product in bulk, there is no on pack claims attached.</p>	Not Applicable
5.3.3	<p>On pack claims shall not include information about the claimant’s RSPO membership status.</p>	<p>PT Socfin Indonesia – Lae Butar POM communication has not stated information about the claimant’s RSPO membership status.</p>	Complied
5.3.4	<p>Members shall not communicate to consumers information about their suppliers’ RSPO membership status.</p>	<p>PT Socfin Indonesia – Lae Butar POM and the parent company – Socfin SA did not make any communication about their supplier’s RSPO membership status.</p>	Complied

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5.3.5	Use of the RSPO Label is restricted to claims about RSPO certified sustainable palm oil contained in products and is not authorised for use in relation to any other ingredient.	There is no RSPO Label used.	Complied
5.3.6	All members making on pack claims are encouraged to submit the information and images of their end products that carry the RSPO Label via the MyRSPO portal.	There is no on pack claim used.	Complied
MODULE A – IDENTITY PRESERVED			
	95% of the palm oil content must be RSPO IP certified.	PT Socfin Indonesia – Lae Butar POM implemented Identity Preserved Module, with 100% oil palm content RSPO-certified.	Complied
	If 100% of RSPO IP certified palm oil content cannot be sourced, a maximum of 5% volume from other non-certified sources is allowed and the reason for this must be fully justified. The volume of the non-certified palm oil content shall be covered by the purchase of RSPO Credits of equivalent volume.	PT Socfin Indonesia – Lae Butar POM implemented Identity Preserved Module, with 100% oil palm content RSPO-certified.	Complied
Messaging			
	<p>Messaging ALLOWED for storytelling in product-specific communications may include any of the following elements:</p> <ul style="list-style-type: none"> • The palm oil products contained in this product have been certified to come from RSPO sources. www.rspo.org • By choosing this product, you are sure that it contains RSPO certified palm oil. For more information: www.rspo.org • RSPO certified sustainable palm oil products were kept apart from other palm oil products throughout the supply chain. www.rspo.org • Certified sustainable palm oil products can be traced back to RSPO certified mills and plantations. www.rspo.org 	PT Socfin Indonesia – Lae Butar POM is certified RSPO P&C, selling CSPO and CSPK in bulk, there is no product packaging.	Not Applicable

	<ul style="list-style-type: none"> The entire supply chain is monitored by independent, RSPO-accredited auditors. www.rspo.org RSPO certified sustainable palm oil has been produced according to stringent environmental and social criteria. www.rspo.org 		
Product-Specific Communications Labelling			
	<p>Members are allowed to use the RSPO Label in one of the following ways:</p> <ul style="list-style-type: none"> RSPO Trademark that includes the tag "CERTIFIED"; or RSPO Trademark that includes the tag "This product contains certified sustainable palm oil". 	<p>PT Socfin Indonesia – Lae Butar POM is certified RSPO P&C, selling CSPO and CSPK in bulk, there is no product packaging.</p>	<p>Not Applicable</p>
<p>Principle 4: Respect community and human rights and deliver benefit Respect community rights, provide equal opportunities, maximise benefits from engagement and ensure remediation where needed.</p>			
<p>Criteria 4.1: The unit of certification respects human rights, which includes respecting the rights of Human Rights Defenders.</p>			
<p>4.1.1</p>	<p>(C) A policy to respect human rights, including prohibiting retaliation against Human Rights Defenders (HRD), is documented and communicated to all levels of the workforce, operations, supply chain and local communities and prohibits intimidation and harassment by the unit of certification and contracted services, including contracted security forces.</p> <p>- Critical (Major) compliance -</p>	<p>There are no changes of company’s SOP or policy compared to the previous audit. PT Socfin Indonesia – Lae Butar as a part of Socfin SA has human rights policy, adopted by PT Socfin Indonesia in June 2019 and updated in October 2021, signed by the Principal Director.</p> <p>PT Socfin Indonesia recognizes and is committed to respecting international human rights standards. These shall include at a minimum the human rights standards as set out and defined in:</p> <ul style="list-style-type: none"> The United Nations declaration on Human Rights Defenders, The Universal Declaration of Human Rights and the UN Guiding Principles on Business and Human Rights, The International Covenant on Civil and Political Rights (explicitly the protection of whistle-blowing as an aspect of freedom of expression under Article 19), The International Covenant on Economic, Social and Cultural Rights, 	<p>Complied</p>

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		<ul style="list-style-type: none"> • The Convention on the Elimination of All Forms of Discrimination against Women (CEDAW), • The International Labour Organisation’s (ILO) Declaration on Fundamental Principles and rights to work, • Any other guidelines or human rights standards as set out in the laws of the Republic of Indonesia. <p>PT Socfin Indonesia expressly grants protection for any report documents or information in any form made with a reasonable belief that the information is true at the time it is disclosed.</p> <p>PT Socfin Indonesia shall protect individuals against violence, threats, all forms of retaliation, direct or indirect, pressure or any other arbitrary action as a consequence of the individual’s legitimate exercise of their fundamental human rights in the course of their engagement with Socfin Indonesia.</p> <p>PT Socfin Indonesia shall protect individuals from all forms of retaliation, disadvantage or discrimination in the workplace linked or resulting from HRD activities. Socfin Indonesia shall preserve the individual’s confidentiality and the identity of the individual may not be disclosed without the individual’s explicit informed consent.</p> <p>The policy has been disseminated annually to all employees through notice boards of company and by direct dissemination. The recent dissemination has conducted for all worker in Mill on 14 April 2023 and Estate in the 05 & 18 April 2023.</p> <p>Apart from that, the policy was also conveyed to stakeholders, for example the government office of Aceh Singkil Regency, contractors and villages officials around the company on 05, 6, 12, 19, 21, 25 and 30 September 2023.</p>	
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		Based on the interview with sample of workers during audit, in Lae Butar POM and Estate, they stated that there were no incidents or issues of human rights violations occurring in the operational area of the unit of certification. The company also had respected the rights of human right defenders and prohibiting the retaliation towards human right defenders.	
4.1.2	The unit of certification does not instigate violence or use any form of harassment, including the use of mercenaries and paramilitaries in their operations. - Minor compliance -	Based on interview with sample of workers, worker union, local communities and relevant stakeholders surrounding the company, PT Socfin Indonesia – Lae Butar does not intimidate or conducted violence (including any form of harassment or paramilitary usage) to solve any issue, complaint, or grievance. Until this surveillance, there were no conflicts and land disputes within the company. This is also in line with the results of interviews with surrounding communities and related agencies during a public consultation, which stating that there were no land conflicts in the company's operational area.	Complied
Criteria 4.2: There is a mutually agreed and documented system for dealing with complaints and grievances, which is implemented and accepted by all affected parties.			
4.2.1	(C) The mutually agreed system, open to all affected parties, resolves disputes in an effective, timely and appropriate manner, ensuring anonymity of complainants, HRD, community spokespersons and whistleblowers, where requested, without risk of reprisal or intimidation and follows the RSPO policy on respect for HRD. - Critical (Major) compliance -	The company has established a system for handling complaints and grievance for all affected parties which is documented in the "Prosedur Penanganan Keluhan Sosial" (SOC/PSM/9.02 Rev.07) dated 15 April 2022. The person in charge of complaints and complaints has been appointed by the organization, namely the Estate Manager. It is also explained in the Job Description of the Estate Manager and the letter of appointment from the Head of the General Department. The company has a system in place to ensure that complaints or grievances are handled or resolved in an effective, timely and appropriate manner. Evidence that the procedure has been carried out is the complaint logbook. Records are monitored regularly every month.	Complied

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		<p>Mechanisms and procedures provide a way for workers to report complaints against supervisors to someone other than the supervisor. The system enables effective and appropriate dispute resolution by classifying complaints into internal and external, appointing a person who is responsible for handling complaints, including the level of officials who make decisions for complaint resolution.</p> <p>Policy that protects complainants or whistle-blowers was described in the ethical policy of PT Socfin Indonesia (Kebijakan Pengaduan, adopted by PT Socfin Indonesia in June 2019 and updated in October 2021, signed by the Principal Director). Complaints and grievance can also be submitted via the https://www.socfindo.co.id/contact-us/grievance.</p> <p>Non-retaliation or non-reprisal policy that protects complainants or whistle-blowers was described in the ethical policy of PT Socfin Indonesia. Privacy of parties who submitted the complaint and aspiration were protected if necessary. If no resolution is found jointly through deliberation between the two parties, then the issue can be resolved through mediation/third party authority, resolved through applicable law or through the RSPO Complaints System.</p> <p>Based on field observations and interviews with workers and worker union, it is known that all workers have also understood the complaint and grievance mechanism. The company also has a Communication Procedure which explains that the company also facilitates the delivery of information to affected parties, including those who cannot read/write by conveying it through pictures, videos and posters.</p>	
4.2.2	<p>Procedures are in place to ensure that the system is understood by the affected parties, including by illiterate parties.</p> <p>- Minor compliance -</p>	<p>Based on interview with workers and stakeholders, is obtained information that the procedure is understood by stakeholders. Moreover, Socfin Indonesia does not intimidating or use violence (including any form of harassment or paramilitary usage) to solve any problem. No illiterate parties founded in surrounding community.</p>	Complied

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<p>4.2.3</p>	<p>The unit of certification keeps parties to a grievance informed of its progress, including against agreed timeframe and the outcome is available and communicated to relevant stakeholders.</p> <p>- Minor compliance -</p>	<p>According to the complaint handling procedures “Prosedur Penanganan Keluhan Sosial” (SOC/PSM/9.02 Rev.07) dated 15 April 2022, Unit of Certification ensure that all complaint/grievance are handled and can be accepted by all parties including Human Right Defenders. It shows that it has been arranged regarding the time period for responding to complaints. For example:</p> <ul style="list-style-type: none"> • Incoming complaints from internal stakeholders shall responded by top management unit’s maximum 1 month after the complaint accepted. • Incoming complaints from external stakeholders also shall responded by top management unit’s maximum 1 month after the complaint accepted. If the complaints still not met with any settlements, general affair shall inform the complainants regarding the complaint status, progress and any other things needed to reach a settlement. If the settlement of a complaint is not approved by both parties, the complaint can involve a technical or independent mediator or a legitimate third party. Head clerk monitored all the settlement process and progress. • Resolution for sexual harassment complaint, intimidation and violence will be held by Gender Committee. The meeting for complaints and investigation is conducted maximum 1 month after the complaint submitted and the status will be updated on a monthly basis by Gender Committee. • Resolution of human rights defenders’ complaints and reporting violations will be carried out by the Estate Manager and may involve the General Affair Department. The duration of handling this complaint is not specifically regulated and depends on the size of the case. <p>Based on review to complaint logbook “Catatan Keluhan Internal & Eksternal” for period of January to September 2023, it was found that</p>	<p>Complied</p>
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		there were no grievance, complaints and conflict from external and internal parties.	
4.2.4	The conflict resolution mechanism includes the option of access to independent legal and technical advice, the ability for complainants to choose individuals or groups to support them and/or act as observers, as well as the option of a third-party mediator. - Minor compliance -	PT Socfin Indonesia – Lae Butar referring to “Prosedur Pengelolaan Isu Sosial No.SOC/PSM/9.14 rev.01” dated 1 April 2022. The procedure covers resolution for dispute, illegal activities, including FPIC in all process. Section 6.4.1.7 stated meeting for resolution can involve facilitator or mediator from community representative/cultural leader/village head or other party appointed by the community, taking into consideration local norm. Section 6.4.18 stated the conflict resolution process will be recorded in form “Catatan Perselisihan”. Based on stakeholder interview with community of village and agency of Aceh Singkil Regency, there was no dispute with company	Complied
Criteria 4.3: The unit of certification contributes to local sustainable development as agreed by local communities.			
4.3.1	Contributions to community development that are based on the results of consultation with local community are demonstrated. - Minor compliance -	The company have own procedure for CSR and it is explained within “Prosedur Corporate Social Responsibility No.SOC/PSM/9.08, Rev.00” dated 1 January 2011. This procedure aims to serve as a guideline in carrying out CSR programs starting from budget determination, stakeholder consultation, program preparation/formulation to reporting and implementing CSR programs. The program to improve the welfare of the surrounding community is realized through CSR. The company is showing its CSR program 2023 for villages around the company, for example Siatas Village, Block 18 Village, Sanggabaruru Village and Rimo Village. The preparation of the CSR Program 2023 was carried out in a participatory manner using the Forum Group Discussion (FGD) method involving the surrounding village community which was carried out on 10 December 2022, this is in line with company procedures where the relevant stakeholder consultation aims to explore the needs of the village community and potential developed from the aspects of human resources, religion, health, education, sports, infrastructure and economic development.	Complied

		<p>The following are several CSR programs that have been established by the company based on these participatory activities:</p> <ul style="list-style-type: none"> • Village infrastructure assistance. • Assistance with early childhood education programs learning facilities. • Assistance with worship facilities and equipment. • Road construction and maintenance. • Health coaching and education. • Blood donors. <p>The company shows a report on the implementation of the social responsibility program contained in the Corporate Social Responsibility Report 2022 which was prepared on 17 January 2023. This document informs the realization of CSR for the community in PT Socfin Indonesia - Lae Butar. CSR realization includes economic, human resources, religious, health, education & sports, infrastructure and also economic development aspects.</p> <p>Until September 2023, the company has carried out CSR activities, including:</p> <ul style="list-style-type: none"> • Compensation assistance for orphans in Rimo Village. • Assistance for the construction of the MPTTI Study Hall, Gunung Meriah District. • Assistance to the Yayasan Petuah Aceh to socialize the dangers of drugs for youth in Tulaan Village. • Assistance with operational costs for accompanying Posyandu program in Lae Butar Village, Gunung Meriah District. • Assistance with musical instruments for Rimo Village. 	
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		<ul style="list-style-type: none"> • Assistance in making lamp posts for the cemetery of Sangga Beru Village. • Road maintenance assistance in Gunung Lagan Village. • Road maintenance assistance in Handel Village. 	
Criteria 4.4: Use of the land for oil palm does not diminish the legal, customary or user rights of other users without their free, prior and informed consent.			
4.4.1	<p>(C) Documents showing legal ownership or lease, or authorised use of customary land authorised by customary landowners through a Free, Prior and Informed (FPIC) process. Documents related to the history of land tenure and the actual legal or customary use of the land are available.</p> <p>- Critical (Major) compliance -</p>	<p>PT. Socfin Indonesia – Lae Butar oil palm plantation covers an area of 4,727.40 Ha. The area was obtained from the Dutch concession (erpacht) covering an area of 4,414.48 Ha and 312.92 Ha (from the purchase the concession of PT. Jaya Bahni Utama in 2004).</p> <p>The Dutch concession area in the name of NV Cultuur Maatschappij Lipoet has been operating since Dutch colonial era, year 1919 according to Verponding No. 31 (Lae Butar), 34 (Rimau) and 35 (Lae Butar Pabrik).</p> <p>In 1965 this plantation was controlled by the Indonesian government based on Presidential Decree No. 6 of 1965 and subsequently based on an Agreement between the Government of Indonesia and Plantations Noord Sumatra SA Brussels on April 29, 1968, a joint venture was formed between the Government of Indonesia and the original owner with new provisions to the new company HGU granted for 30 years as stated in article 4 letter a of the agreement.</p> <ul style="list-style-type: none"> • Land certificate “Sertipikat Hak Guna Usaha No.5 Propinsi Daerah Istimewa Aceh, Kabupaten Aceh Selatan, Kecamatan Simpang Kanan, Desa Lipat Kajang” for PT. Socfin Indonesai of 4,414.48 Ha until 31 December 2023. Based on “Surat Ukur No.24/1998” dated 14 January 1998. • During the audit, the audit team tried to interview the previous landowners for the area purchased from PT. Jaya Bahni Utama in 2004. However, the company no longer exists. 	Complied

		<p>Based on interviews with village head of Siatas Village and Rimo Village, the company has been operating since the Dutch Era around 1919. The last expansion only from PT. Jaya Bahni Utama on 2004 (there is no issues regarding to the transfer of concession). There is no land dispute case until this audit finish.</p> <p>The legal ownership of the land:</p> <ul style="list-style-type: none"> • Decree for HGU "Keputusan Kepala Badan Pertanahan Nasional No.29/HGU/BPN.2004 tentang Pemberian Hak Guna Usaha atas Tanah Terletak di Kabupaten Aceh Singkil, Propinsi Nanggroe Aceh Darussalam" dated 17 May 2004. The decree granting land 319.92 Ha for 35 years. • Subsequently issued with land certificate "Sertipikat Hak Guna Usaha No.01 Propinsi Nanggroe Aceh Darussalam, Kabupaten Aceh SIngkil, Kecamatan Danau Paris" for PT. Jaya Bahni Utama of 312.92 Ha until 17 May 2039. Based on "Surat Ukur No.01/2003" dated 12 June 2004. <p>OFI ASA-1.2 (Verification during ASA1 3 – October 2023)</p> <p>The company has been proactive in processing the extension (renewal) of HGU Certificate, as follows:</p> <p>"Surat Keterangan Pendaftaran Tanah" File No. 25/2023 NTPN 820230104879016 04/01/2023 issued by "Kementerian Agraria dan Tata Ruang / Badan Pertanahan Nasiomal" dated 4 Januari 2023. In the letter it is stated as follows:</p> <p>Sertipikat HGU 01120000200008 area 4,414.48 Ha NIB 01120000.00008 Status Buku Tanah: Active Note:</p>	
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		<ul style="list-style-type: none"> - This plot of land is not being covered. - This plot of land has no blocks. - This certificate has no confiscation. - This land parcel has no case history. <p>For purposes: Information</p> <p>This <i>Surat Keterangan Pendaftaran Tanah</i> is not proof of land rights. Based on <i>Peraturan Daerah</i> Number 2 of 2013, this land parcel is in a plantation area.</p> <p>Letter Number UM/X/Bi/959/23 dated 3 May 2023 addressed to the Land Office of Aceh Singkil Regency regarding the Application for a Certificate regarding the Non-Overlapping Certification of Land Rights at the HGU location of PT Socfin Indonesia located in Aceh Singkil Regency.</p> <p>Letter Number 260/11.10.300/V/2023 dated 24 May 2023 issued by the Land Office of Aceh Singkil Regency regarding the response letter to the Application for a Certificate regarding the Absence of Overlapping Land Rights Certification at the PT Socfin Indonesia HGU location in Aceh Singkil Regency. In the letter it is stated that, regarding the application submitted by the company, it can be processed by the Land Office of Aceh Singkil Regency through two alternative solutions or applications as follows:</p> <ol style="list-style-type: none"> 1. Request for certificate checking, or 2. Application for the issuance of a <i>Surat Keterangan Pendaftaran Tanah</i>. <p>Letter Number UM/X/Bi/967/23 dated 3 May 2023 addressed to Gunung Meriah District and Simpang Kanan District regarding Application for Certificate of Non-Physical Development Activities funded by APBD/APBN at the location of HGU Renewal No. 5 Lae Butar.</p>	
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		<p>Letter Number 489/525/2023 dated 8 May 2023 issued by Gunung Meriah District. In the letter explained that the location of plantation on HGU land parcel Number 5 Lae Butar, Gunung Meriah District, there was no overlap and physical development activities financed by the APBD/APBK.</p> <p>Letter Number 140/195/2023 dated 31 May 2023 regarding the request for a reference letter issued by the Head of Simpang Kanan District. The letter explains that above HGU Number 5 Lae Butar Plantation, Simpang Kanan District, there are government physical buildings built from government funds, including:</p> <ol style="list-style-type: none"> a. Kampong Pandan Sari: - Simpang Kanan Police Office b. Pangi Village: Pangi Village Head's Office, BUMK warehouse, kindergarten building, BUMK office, toilet and <i>wudhu</i> place of mosque and prayer room, fence of village office, security post Dusun I and II, drainage of Dusun II Napagaluh. <p>Letter Number UM/X/Bi/93/23 dated 3 May 2023 addressed to the Public Works and Public Housing Agency of Aceh Singkil Regency regarding the application for a Letter of Consideration for the Conformity of Spatial Patterns in Lae Butar Plantation.</p> <p>Letter Number 600/178/2023 dated 15 May 2023 issued by the Public Works and Public Housing Agency of Aceh Singkil Regency regarding follow-up to the Application Letter for Suitability of Spatial Patterns in PT Socfindo's Lae Butar Plantation. In the letter, it is stated that the agency suggests making a request for <i>Persetujuan Kesesuaian Kegiatan Pemanfaatan Ruang (PKKPR)</i> via Online Single Submission (OSS) which will later be verified by the Ministry of Land.</p> <p>Letter Number UM/X/Bi/970/23 dated 3 May 2023 addressed to the <i>Dinas Penanaman Modal dan Pelayanan Terpadu Satu Pintu</i> of Aceh Province regarding the Application for a Certificate of HGU with No Overlapping Permits for Lae Butar Plantation.</p>	
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		<p>Letter Number 525/DPMPTSP/11011/2023 dated 15 May 2023 issued by the <i>Dinas Penanaman Modal dan Pelayanan Terpadu Satu Pintu</i> of Aceh Province regarding the reference letter. The letter explains that the area does not overlap with other permits, whether for mining or other transmigration areas, if in the future it turns out to be different, it will be corrected accordingly.</p> <p>Letter Number UM/X/Bi/957/23 dated 03 May 2023 addressed to the Environmental Agency of Aceh Singkil Regency regarding Application for reference letter of HGU area based on the status of forestry area, peat area (PIPPBB), Kawasan Ekosistem Leuser (KEL) and non-land area Burnt (Hotspot) at Lae Butar Plantation.</p> <p>Letter Number 522.12/1171 dated 19 May 2023 from the Environmental Agency of Aceh Singkil Regency regarding Information on status of HGU Number 5. The letter explains as follows:</p> <ol style="list-style-type: none"> 1. Based on the overlay of the HGU location map with the attached map SK.6616/MENLHK-PKTL/KUH/PLA.2/10/2021 concerning the <i>Peta Perkembangan Pengukuhan Kawasan Hutan</i> of Aceh Province Forest Areas up to 2020, the area is outside the forest area with the function of <i>Area Penggunaan Lain</i> (APL) 2. Based on the overlay of the HGU location map with the attached map SK.7594/MENLHK-PKTL/IPSDH/PLA.1/9/2022 concerning the determination of an indicative map for termination of granting business permits, approval for use of forest areas or approval for changes to the designation of new forest areas in natural forests primary and peat land in 2022 period II, the area is outside the Indicative Map. 3. Based on the results of overlaying the HGU location map with the attached map to Decree Number 190/Kpts-II/2001 dated 29 June 2001 concerning the determination of the <i>Kawasan Ekosistem Leuser</i> (KEL), the area is outside the KEL. 	
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		<p>4. Based on analysis of the HGU Location Map using the SIGAP Information System of the Ministry of Environment and Forestry on forest and land fire area data for 2022, the area is outside the fire data.</p> <p>Letter Number HP.01/1246-11/X/2023 dated 3 October 2023 from Land Agency of Aceh Province regarding Invitation to the Preparatory Meeting for Field Inspection of Land Inspection Committee B (Committee B). In the letter it was stated that the meeting would be held on Friday 06 October 2023.</p>	
<p>4.4.2</p>	<p>Copies documents evidencing agreement- making processes and negotiated agreements detailing the FPIC process are available and include:</p> <ul style="list-style-type: none"> - Minor compliance - <p>4.4.2a Evidence that a plan has been developed through consultation and discussion held in good faith with all affected groups within the community, with specific assurances that vulnerable, minority and gender groups are asked for their opinions, and that information has been provided to all affected groups, including in it information about the steps taken to involve them in decision making.</p>	<p>PT Socfin Indonesia – Lae Butar referring to land acquisition procedure “Prosedur Pembebasan Lahan No.SOC/PSM/9.04 rev.1” dated 1 January 2010. The procedures describe the process of land acquisition starting from conducting the survey, obtaining directives from the government, land suitability survey, follow-up of land suitability survey, submission of plantation business permit, land acquisition plan and submission of land use title (HGU).</p> <p>At the point of the 5.5.3 Land Acquisition Plan, the Free, Prior and Informed Consent mechanism has been explained including providing information to the surrounding community, meeting with the community, posting announcements to the public and the mass media. Community approval is done through a voluntary process, without coercion and openness. The local the community can be represented by lawyers that appointed by the community itself during the negotiation process. The process of land acquisition is owned by the community or customary rights based on the procedure of giving compensation where in the process of land acquisition.</p> <p>Based on information obtained from historical documents of the company, information in Land Use Title and clarification from management representative, Lae Butar unit of PT Socfin Indonesia has been operating since the Dutch colonial era, year 1919. Based on</p>	<p>Complied</p>

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		interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, known that there was no customary land in the PT Socfin Indonesia Lae Butar area. The plantation is an area of former Erfpacht rights and has been around since 1919. So that the acquisition of land is not through the compensation against individuals or to customary land. The last expansion only from PT. Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of concession). In addition, there was no record of land disputes between the company and other parties.	
	4.4.2b Evidence that the unit of certification has respected communities to give or withhold their consent to the operations at the time that these decisions were taken;	Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of concession). In addition, there was no record of land disputes between the company and other parties.	
	4.4.2c Evidence that the legal, economic, environmental and social implications of permitting operations on their land have been understood and accepted by affected communities. Including the implications for the legal status of their land at the expiry of the unit of certification’s title, concession or lease on the land.	Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of concession). In addition, there was no record of land disputes between the company and other parties.	
4.4.3	(C) Maps of an appropriate scale showing the extent of recognised legal, customary or user rights are developed through participatory mapping involving affected parties (including neighbouring communities where applicable, and relevant authorities). - Critical (Major) compliance -	PT Socfin Indonesia – Lae Butar has land acquisition procedure “Prosedur Pembebasan Lahan No.SOC/PSM/9.04 rev.1” dated 1 January 2010. The procedures describe the process of land acquisition starting from conducting the survey, obtaining directives from the government, land suitability survey, follow-up of land suitability	Complied

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		<p>survey, submission of plantation business permit, land acquisition plan and submission of land use title (HGU).</p> <p>At the point of the 5.5.3 Land Acquisition Plan, the Free, Prior and Informed Consent mechanism has been explained including providing information to the surrounding community, meeting with the community, posting announcements to the public and the mass media. Community approval is done through a voluntary process, without coercion and openness. The local the community can be represented by lawyers that appointed by the community itself during the negotiation process. The process of land acquisition is owned by the community or customary rights based on the procedure of giving compensation where in the process of land acquisition.</p> <p>Based on document verification, public consultation, and field observation there is no indications land ownership outside the legal land title of PT. Socfin Indonesia – Lae Butar:</p> <ul style="list-style-type: none"> • Land certificate “Sertipikat Hak Guna Usaha No.5 Propinsi Daerah Istimewa Aceh, Kabupaten Aceh Selatan, Kecamatan Simpang Kanan, Desa Lipat Kajang” for PT. Socfin Indonesai of 4,414.48 Ha until 31 December 2023. Map of concessionn based on “Surat Ukur No.24/1998” dated 14 January 1998. <p>The company has been proactive in processing the extension (renewal) of HGU Certificate, by showed Letter Number HP.01/1246-11/X/2023 dated 3 October 2023 from the BPN of Aceh Province regarding the Invitation to the Preparatory Meeting for the Land Inspection Committee B, in the letter it was stated that the meeting would be held on Friday, 06 October 2023.</p> <ul style="list-style-type: none"> • Land certificate from transfer concession of PT Jaya Bahni Utama “Sertipikat Hak Guna Usaha No.01 Propinsi Nanggroe Aceh Darussalam, Kabupaten Aceh Singkil, Kecamatan Danau Paris” for PT Jaya Bahni Utama of 312.92 Ha until 17 May 2039. Map of 	
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		concession based on "Surat Ukur No.01/2003" dated 12 June 2004.	
4.4.4	All relevant information is available in appropriate forms and languages, including assessment of impact, proposed benefit sharing, and legal arrangements. - Minor compliance -	Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of concession). In addition, there was no record of land disputes between the company and other parties.	Complied
4.4.5	(C) Evidence is available to show that communities are represented through institutions or representatives of their own choosing, including by legal counsel if they so choose. - Critical (Major) compliance -	Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of concession). In addition, there was no record of land disputes between the company and other parties.	Complied
4.4.6	There is evidence that implementation of agreement negotiated through FPIC is annually reviewed in consultation with affected parties. - Minor compliance -	Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of concession). In addition, there was no record of land disputes between the company and other parties.	Complied
Criteria 4.5: No new plantings are established on local peoples' land where it can be demonstrated that there are legal, customary or user rights, without their FPIC. This is dealt with through a documented system that enables these and other stakeholders to express their views through their own representative institutions.			
4.5.1	(C) Documents showing identification and assessment of demonstrable legal, customary and user rights are available. - Critical (Major) compliance -	Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni	Complied

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		<p>Utama on 2004 (there was no issues regarding to the transfer of concession).</p> <p>PT Socfin Indonesia – Lae Butar did not perform land acquisition or purchase additional land bank.</p> <p>Through the SEIA, SIA and HCV assessment that has been conducted conclude that there were no customary land or indigenous people in their concession areas.</p> <p>However, the company has established Procedure of Land Identification and Compensation No.SOC/PSM/9.05 rev.01, dated 1 April 2015, and Procedure of Land Acquisition No.SOC/PSM/9.04 rev.01, dated 1 January 2010, as a FPIC guidance if there any new land expansion in the future.</p>	
<p>4.5.2</p>	<p>(C) FPIC is obtained for all oil palm development through a comprehensive process, including in particular, full respect for their legal and customary rights to the territories, lands and resources via local communities’ own representative institutions. All the relevant information and documents are made available, and community have option of resourced access to independent third-party advice through a documented, long-term and two- way process of consultation and negotiation.</p> <p>- Critical (Major) compliance -</p>	<p>Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of concession).</p> <p>PT Socfin Indonesia – Lae Butar did not perform land acquisition or purchase additional land bank.</p> <p>Through the SEIA, SIA and HCV assessment that has been conducted conclude that there were no customary land or indigenous people in their concession areas.</p> <p>However, the company has established Procedure of Land Identification and Compensation No.SOC/PSM/9.05 rev.01, dated 1 April 2015, and Procedure of Land Acquisition No.SOC/PSM/9.04 rev.01, dated 1 January 2010, as a FPIC guidance if there any new land expansion in the future.</p>	<p>Complied</p>

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4.5.3	<p>Evidence is available that affected local peoples understand they have the right to say 'agree' or 'not agree' to operations planned on their lands before and during initial discussions, during the stage of information gathering and associated consultations, during negotiations, and up until an agreement with the unit of certification is signed and ratified by these local peoples. Negotiated agreements are non-coercive and entered into voluntarily and carried out prior to new operations.</p> <p>- Minor compliance -</p>	<p>Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of concession).</p> <p>PT Socfin Indonesia – Lae Butar did not perform land acquisition or purchase additional land bank.</p> <p>As described in previous indicator (4.5.1), there was no new land clearing/expansion since November 2005.</p>	Complied
4.5.4	<p>To ensure local food and water security and as part of the FPIC process, SEIA participation and participatory land-use planning with local peoples, the full range of food and water provisioning options are considered. There is transparency of land allocations process.</p> <p>- Minor compliance -</p>	<p>Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of concession).</p> <p>PT Socfin Indonesia – Lae Butar did not perform land acquisition or purchase additional land bank.</p> <p>As described in previous indicator (4.5.1), there was no new land clearing/expansion since November 2005.</p>	Complied
4.5.5	<p>Evidence is available that the affected communities and rights holders have had the option to access information and advice, that is independent of the project proponent, concerning the legal, economic, environmental and social implications of the proposed operations on their lands.</p> <p>- Minor compliance -</p>	<p>Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of concession).</p> <p>PT Socfin Indonesia – Lae Butar did not perform land acquisition or purchase additional land bank.</p>	Complied

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		As described in previous indicator (4.5.1), there was no new land clearing/expansion since November 2005.	
4.5.6	<p>Evidence is available that the communities or their representatives gave consent to the initial planning phases of the operations prior to the issuance of a new concession or land title to the operator.</p> <p>- Minor compliance -</p>	<p>PT Socfin Indonesia – Lae Butar has land acquisition procedure “Prosedur Pembebasan Lahan No.SOC/PSM/9.04 rev.1” dated 1 January 2010. The procedures describe the process of land acquisition starting from conducting the survey, obtaining directives from the government, land suitability survey, follow-up of land suitability survey, submission of plantation business permit, land acquisition plan and submission of land use title (HGU).</p> <p>At the point of the 5.5.3 Land Acquisition Plan, the Free, Prior and Informed Consent mechanism has been explained including providing information to the surrounding community, meeting with the community, posting announcements to the public and the mass media. The local the community can be represented by lawyers that appointed by the community itself during the negotiation process.</p> <p>Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of concession).</p> <p>PT Socfin Indonesia – Lae Butar did not perform land acquisition or purchase additional land bank.</p> <p>As described in previous indicator (4.5.1), there was no new land clearing/expansion since November 2005.</p>	Complied
4.5.7	After 15 November 2018, new lands will not be acquired for plantations and mills as a result of recent (after November 2005) expropriations in the national interest (eminent domain) without FPIC process, except in	The unit of certification did not conduct land clearing after 15 November 2018. The company itself has been operating since the Dutch colonial era back in 1919.	Complied

	cases of smallholders benefitting from agrarian reform or anti-drug programmes. - Minor compliance -		
4.5.8	(C) New lands are not acquired in areas inhabited by communities in voluntary isolation. - Critical (Major) compliance -	The unit of certification did not carry out land clearing after 15 November 2018. The company itself has been operating since the Dutch colonial era back in 1919. Based on interviews with representatives of Rimo Village, it was discovered that the company existed before the village existed so that no community isolated itself in the company's area.	Complied
Criteria 4.6: Any negotiations concerning compensation for loss of legal, customary or user rights are dealt with through a documented system that enables indigenous peoples, local communities and other stakeholders to express their views through their own representative institutions.			
4.6.1	(C) A mutually agreed procedure for identifying legal, customary or user rights, and a procedure for identifying people entitled to compensation, is in place. - Critical (Major) compliance -	PT Socfin Indonesia – Lae Butar has land acquisition procedure “Prosedur Pembebasan Lahan No.SOC/PSM/9.04 rev.1” dated 1 January 2010. The procedures describe the process of land acquisition starting from conducting the survey, obtaining directives from the government, land suitability survey, follow-up of land suitability survey, submission of plantation business permit, land acquisition plan and submission of land use title (HGU). The process of land acquisition is owned by the community or customary rights based on the procedure of giving compensation where in the process of land acquisition. Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of concession). PT Socfin Indonesia – Lae Butar did not perform land acquisition or purchase additional land bank.	Complied

4.6.2	<p>(C) A mutually agreed procedure for calculating and distributing fair and gender-equal compensation (monetary or otherwise) is established and implemented, monitored and evaluated in a participatory way, and corrective actions taken as a result of this evaluation.</p> <p>- Critical (Major) compliance -</p>	<p>PT Socfin Indonesia – Lae Butar has land acquisition procedure “Prosedur Pembebasan Lahan No.SOC/PSM/9.04 rev.1” dated 1 January 2010. The procedures describe the process of land acquisition starting from conducting the survey, obtaining directives from the government, land suitability survey, follow-up of land suitability survey, submission of plantation business permit, land acquisition plan and submission of land use title (HGU). The process of land acquisition is owned by the community or customary rights based on the procedure of giving compensation where in the process of land acquisition.</p> <p>Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of concession). PT Socfin Indonesia – Lae Butar did not perform land acquisition or purchase additional land bank.</p>	Complied
4.6.3	<p>Evidence is available that equal opportunities are provided to both men and women to hold land titles for smallholdings (if possible based on local law, customs and/or agreement).</p> <p>- Minor compliance -</p>	<p>As described in previous indicator (4.6.1), there is no new land clearing/expansion since November 2005.</p>	Complied
4.6.4	<p>The process and outcomes of any negotiated agreements, compensation and payments are documented with evidence of the participation of affected parties and made available to them.</p> <p>- Minor compliance -</p>	<p>As described in previous indicator (4.6.1), there is no new land clearing/expansion since November 2005.</p>	Complied
<p>Criteria 4.7: Where it can be demonstrated that local peoples have legal, customary or user rights, they are compensated for any agreed land acquisitions and relinquishment of rights, subject to their FPIC and negotiated agreements.</p>			

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4.7.1	<p>(C) A mutually agreed procedure for identifying people entitled to compensation is in place. - Critical (Major) compliance -</p>	<p>PT. Socfin Indonesia – Lae Butar has “Prosedur Pembebasan Lahan No.SOC/PSM/9.04” dated 1 January 2010. The process will comprise of appointment of survey team, inquiry and guidance from local government, land suitability survey, follow up land suitability survey, apply for plantation business permit, applying for land title. Under the said procedure Section 5.3.1 Land Suitability Survey, should company plan for land acquisition continues, company will perform identification of land ownership, land use by community; land quality and land suitability; social condition (community acceptance and potential conflict may arise); from land survey, report is made including social economy aspect.</p> <p>Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of concession). PT Socfin Indonesia – Lae Butar did not perform land acquisition or purchase additional land bank. There is no record of land disputes between the company and other parties.</p> <p>Through the SEIA, SIA and HCV assessment that has been conducted conclude that there were no customary land or indigenous people in their concession areas.</p>	Complied
4.7.2	<p>(C) A mutually agreed procedure for calculating and distributing fair compensation (monetary or otherwise) is in place and documented and made available to affected parties. - Critical (Major) compliance -</p>	<p>As described in previous indicator (4.7.1), there was no new land clearing/expansion since November 2005.</p>	Complied
4.7.3	<p>Communities that have lost access and rights to land for plantation expansion are given opportunities to benefit from plantation development.</p>	<p>As described in previous indicator (4.7.1), there was no new land clearing/expansion since November 2005.</p>	Complied

	- Minor compliance -		
Criteria 4.8: The right to use the land is demonstrated and is not legitimately contested by local people who can demonstrated that they have legal customary, or user rights.			
4.8.1	<p>Where there are or have been disputes, proof of legal acquisition of title and evidence that mutually agreed compensation has been made to all people who held legal, customary, or user rights at the time of acquisition is available and provided to parties to a dispute, and that any compensation was accepted following a documented process of FPIC.</p> <p>- Minor compliance -</p>	<p>Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of concession). PT Socfin Indonesia – Lae Butar did not perform land acquisition or purchase additional land bank. There is no record of land disputes between the company and other parties.</p> <p>Through the SEIA, SIA and HCV assessment that has been conducted conclude that there were no customary land or indigenous people in their concession areas.</p>	Complied
4.8.2	<p>(C) Land conflict is not present in the area of the unit of certification. Where land conflict exists, acceptable conflict resolution processes (see Criteria 4.2 and 4.6) are implemented and accepted by the parties involved. In the case of newly acquired plantations, the unit of certification addresses any unresolved conflict through appropriate conflict resolution mechanisms.</p> <p>- Critical (Major) compliance -</p>	<p>Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of concession). PT Socfin Indonesia – Lae Butar did not perform land acquisition or purchase additional land bank. There is no record of land disputes between the company and other parties.</p> <p>Through the SEIA, SIA and HCV assessment that has been conducted conclude that there were no customary land or indigenous people in their concession areas.</p>	Complied
4.8.3	<p>Where there is evidence of acquisition through dispossession or forced abandonment of customary and user rights prior to the current operations and there remain parties with demonstrable customary and land use rights, these claims will be settled using the relevant requirements (Indicators 4.4.2, 4.4.3 and 4.4.4).</p>	<p>Based on interview with Head of Rimo Village and Siatas Village and public consultation with government agencies in Aceh Singkil Regency, PT Socfin Indonesia – Lae Butar has been operating since the Dutch colonial time around 1919. The last expansion only from PT Jaya Bahni Utama on 2004 (there was no issues regarding to the transfer of</p>	Complied

	- Minor compliance -	concession). PT Socfin Indonesia – Lae Butar did not perform land acquisition or purchase additional land bank. There is no record of land disputes between the company and other parties. Through the SEIA, SIA and HCV assessment that has been conducted conclude that there were no customary land or indigenous people in their concession areas.	
4.8.4	For any conflict or dispute over the land, the extent of the disputed area is mapped out in a participatory way with involvement of affected parties (including neighbouring communities where applicable). - Minor compliance -	PT Socfin Indonesia – Lae Butar did not perform land acquisition or purchase additional land bank. In addition, there is no record of land disputes between the company and other parties.	Complied
<p>Principle 5: Support smallholder inclusion Include smallholders in RSPO supply chains and improve their livelihoods through fair and transparent partnerships.</p>			
<p>Criteria 5.1: The unit of certification deals fairly and transparently with all smallholders (Independent and Scheme) and other local businesses.</p>			
5.1.1	Current and previous period prices for FFB are publicly available and accessible by smallholders. - Minor compliance -	PT Socfin Indonesia – Lae Butar POM does not receive FFB from another sources/supplier. All FFB receive by Lae Butar POM are from own Estate (Lae Butar Estate) which has been RSPO certified. Therefore, FFB pricing for FFB supplier or smallholder were not applicable.	Not Applicable
5.1.2	(C) Evidence is available that the unit of certification regularly explains the FFB pricing to smallholders. - Critical (Major) compliance -	PT Socfin Indonesia – Lae Butar POM does not receive FFB from another sources/supplier. All FFB receive by Lae Butar POM are from own Estate (Lae Butar Estate) which has been RSPO certified. Therefore, FFB pricing for FFB supplier or smallholder were not applicable.	Not Applicable
5.1.3	(C) Fair pricing, including premium pricing, when applicable, is agreed with smallholders in the supply base and documented. - Critical (Major) compliance -	PT Socfin Indonesia – Lae Butar POM does not receive FFB from another sources/supplier. All FFB receive by Lae Butar POM are from own Estate (Lae Butar Estate) which has been RSPO certified.	Not Applicable

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		Therefore, FFB pricing for FFB supplier or smallholder were not applicable.	
5.1.4	<p>(C) Evidences is available that all parties, including women and independent representative organization assisting smallholders where requested, are involved in the decision making processes and understand the contracts. These include involving finance, loans/credits, and repayments through FFB price reductions for replanting and/or, other support mechanisms where applicable.</p> <p>- Critical (Major) compliance -</p>	PT Socfin Indonesia – Lae Butar POM does not receive FFB from another sources/supplier. All FFB receive by Lae Butar POM are from own Estate (Lae Butar Estate) which has been RSPO certified. Therefore, FFB pricing for FFB supplier or smallholder were not applicable.	Not Applicable
5.1.5	<p>Contracts are fair, legal and transparent and have an agreed timeframe.</p> <p>- Minor compliance -</p>	<p>Sighted contract between PT Socfin Indonesia – Lae Butar POM and subcontractor were fair, legal and transparent and have an agreed timeframe. Sample verified:</p> <ul style="list-style-type: none"> - “Surat Perjanjian Pengangkutan Minyak Kelapa Sawit PT Socfin Indonesia and CV Karya Murni No. PD-GM/X/446/2022”, dated 12 December 2022. The contract covers work for CPO transport, valid up to 31 December 2023. - “Surat Perjanjian Pengangkutan Inti Kelapa Sawit PT Socfin Indonesia and CV Karya Murni No. PD-GM/X/451/2022”, dated 12 December 2022. The contract covers work for PK transport, valid up to 31 December 2023. - “Surat Perjanjian Pekerjaan Memupuk Solid dan Janjang Kosong” No.LB/SPK/X/Bi/015/23, dated 31 July 2023. The contract covers work for Solid and EFB applications. <p>The contract is agreed by both parties and signed without enforcement. Term and condition including price and time frame has been stated and agreed.</p>	Complied
5.1.6	<p>(C) Agreed payments are made in a timely manner and receipts specifying price, weigh, deductions and amount paid are given.</p>	Based document review of payment records to contractor CV Liang Barat Sejahtera, payment from PT Socfin Indonesia is made on time. Available document of “Berita Acara Kemajuan Pekerjaan Borongan”	Complied

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	- Critical (Major) compliance -	<p>dated 31 August 2023 for activity EFB and solids application, amount IDR 18,788,952. Available Clearing (ID SKN/LLG) Transfer – Transaction Execution Notification Single Transfer to Other Bank, dated 12 September 2023, for above mentioned amount, from PT Socfin Indonesia to CV Liang Barat Sejahtera.</p> <p>Available document of transporter payment CV Karya Murni dated 27 September 2023, made via bank transfer; Transaction ID 1400001709; BRI Bank Transfer; from account 0053.01.000309.30.0 – PT Socfin Indonesia; to account 0053.01.004216305 – CV Karya Murni.</p>	
5.1.7	<p>Weighing equipment is verified by an independent third party on a regular basis.</p> <p>- Minor compliance -</p>	<p>Lae Butar POM has 1-unit GSC Type GST-9600 weighbridge with a capacity of 40 tons, it has been re-calibrated by "Balai Standardisasi Metrologi Legal Regional I. Certificate of Test Results Number: 0098/PKTN.4.1/KHP/11/2022 dated 17 November 2022, valid until 10 November 2023.</p>	Complied
5.1.8	<p>The unit of certification supports Independent smallholders with certification, where applicable, ensuring mutual agreements between the unit of certification and the smallholder on who runs the Internal Controlling System (ICS), who holds the certificate, and who owns and sells certified materials.</p> <p>- Minor compliance -</p>	<p>PT Socfin Indonesia – Lae Butar is consisting of one Mill and one own Estate, there is no smallholders program is developed.</p> <p>However, management of PT Socfin Indonesia – Lae Butar has particular attention to surrounding independent smallholders by coaching, counselling and training related to Best Management Practices to enhance their productivity.</p> <p>The company shown the Decree of the Regent of Aceh Singkil Number 188.45/203/2023 concerning Prospective Farmers and Prospective Land Participants in the Facilitation of Smallholder Development around PT Socfindo Lae Butar in Gunung Meriah District and Simpang Kanan District, Aceh Singkil Regency, stipulated on 28 August 2023.</p> <p>In the Decree, it is explained that the Prospective Farmers and Prospective Partnership Lands are 443 partnership farmer families with a land area of 859.3 Ha located in Gunung Meriah District and Simpang</p>	Complied

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		Kanan District which are PT Socfindo Lae Butar partnership plantations with HGU Number 8 certificates, which consist of:			
No	Group Farmer	Chairman	Number of Farmer (Kepala Keluarga)	Area (Ha)	
Gunung Meriah District					
1	Mulana	Adi Tumangger	53	106	
2	Tunas Muda	Misrok	39	70.8	
3	Karya Sawita	Suparno K	24	40.2	
4	Sumber Rezeki	Slamet A	36	66.4	
5	Mitra Sejahtera	H. Abdul Rahman	18	32.5	
6	Maju Bersama	Slamet S	19	38	
7	Kaum Mekhsada	Indra Karno	12	20.7	
8	Kartini	Panggung Karsono	19	38	
9	Jasa Bukit	Legiman	12	24	
Simpang Kanan District					
1	Lae Paku Muda Karya	Teguh Erixson Gajah	30	59.5	
2	Sumber Rezeki	Aman Raharjo	20	40	
3	Lubang Tunggung	Misni Paringotan T	14	19	
4	Lae Oncim	Paingot Manik	29	64.5	
5	Lae Mbara I	Demer Boang Manalu	32	60.5	
6	Lae Mbara II	Lambok Situmorang	26	61	
7	Ingin Maju	Sutarman	21	40	

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		8	Mekar Mandiri	Kasni Bancin	25	52
		9	Tunas Muda	Nungsi Berutu	14	26.2
		Total			443	859.3
		<p>The facilitation development of smallholders PT Socfindo Lae Butar in Gunung Meriah District and Simpang Kanan District, Aceh Singkil Regency is not a condition for extending or renewing and processing HGU permits but is an obligation of companies as mandated by Article 2 letter (d) of the Republic of Indonesia Minister of Agriculture Regulation Number 18 of 2021 regarding Facilitation of Development of Smallholders around the Company.</p> <p>The Agreement of <i>Fasilitasi Pembangunan Kebun Masyarakat Pola Kemitraan Berkelanjutan</i> between PT Socfin Indonesia – Lae Butar and each Farmer Group (18 Farmer Groups) dated 26 September 2023 with an agreement term of 5 years.</p> <p>The agreement explains, for example:</p> <ul style="list-style-type: none"> • The company provides assistance in terms of oil palm cultivation training and guidance regarding good oil palm cultivation practices. • Providing organic fertilizer assistance (such as empty bunch) to members of farmer groups according to their abilities and meeting the requirements determined by the government. • Providing assistance for the construction, repair and maintenance of production roads on a regular basis for the management of oil palm plantations to increase productivity in accordance with the needs of farmer groups. • Facilitate the processing of Ownership Certificates with fees charged to farmers as long as they comply with applicable regulations. 				

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		<ul style="list-style-type: none"> Support and facilitate farmers to obtain superior oil palm seeds from oil palm seed producers. <p>The agreement was signed by the Management of Lae Butar Plantation and the Head of the Farmer's Group, and was acknowledged by the Head of Plantation Agency the Aceh Singkil Regency.</p> <p>Based on the description above, the company is known to have supported farmer groups around the company's area and has implemented partnership agreements with farmers in coaching and training assistance to improve the competence of farmer groups to become independent farmers. It is intended that these farmer groups can run the Internal Control System and participate in RSPO certification in the future.</p>	
5.1.9	<p>(C) The unit of certification has a grievance mechanism for smallholders, and all grievances raised are dealt with in a timely manner.</p> <p>- Critical (Major) compliance -</p>	<p>PT Socfin Indonesia – Lae Butar does not receive FFB from another FFB sources/supplier/smallholder. All FFB receive by POM was from company-own Estate (Lae Butar Estate) which RSPO certified. This indicator is Not Applicable</p>	Not Applicable
<p>Criteria 5.2: The unit of certification supports improved livelihoods of smallholders and their inclusion in sustainable palm oil value chains.</p>			
5.2.1	<p>The unit of certification consults with interested smallholders (irrespective of type) including women or other partners in their supply base to assess their needs for support to improve their livelihoods and their interest in RSPO certification.</p> <p>- Minor compliance -</p>	<p>As explained on Criteria 5.1, PT Socfin Indonesia – Lae Butar does not receive FFB from another FFB sources/supplier/smallholder. All FFB receive by POM was from company-own Estate (Lae Butar Estate) which RSPO certified. There were only agreements with 18 farmer groups dated 26 September 2023, among others, as follows:</p> <ul style="list-style-type: none"> The company provides assistance in terms of oil palm cultivation training and guidance regarding good oil palm cultivation practices. Providing organic fertilizer assistance (such as empty bunch) to members of farmer groups according to their abilities and meeting the requirements determined by the government. 	Complied

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		<ul style="list-style-type: none"> • Providing assistance for the construction, repair and maintenance of production roads on a regular basis for the management of oil palm plantations to increase productivity in accordance with the needs of farmer groups. • Facilitate the processing of Ownership Certificates with fees charged to farmers as long as they comply with applicable regulations. • Support and facilitate farmers to obtain superior oil palm seeds from oil palm seed producers. <p>The agreement was signed by the Management of Lae Butar Plantation and the Head of the Farmer's Group, and was acknowledged by the Head of Plantation Agency the Aceh Singkil Regency.</p> <p>It is known that there are 443 members independent smallholders, including female member listed on the member list in the agreement.</p> <p>Based on this explanation, although there was no FFB supplier from outside parties, The company still provide some support for 18 farmer group that are around company's operational area, and not limited only to male member but also included female member of farmer group.</p>	
5.2.2	<p>The unit of certification develops and implements livelihood improvement programmes, including at least capacity building to enhance productivity, quality, organisational and managerial competencies, and specific elements of RSPO certification (including the RSPO Standard for Independent Smallholder).</p> <p>- Minor compliance -</p>	<p>The company has conducted socialization related to RSPO that aims to encourage the community to participate in obtaining RSPO certificate, and also provide some trainings related to best practice's management to 18 smallholder's group as mentioned on Criteria 5.1 also to local community around Company's operational area.</p> <p>The company demonstrated independent smallholder's livelihood improvement programmes "Realisasi Program Kemitraan Tahun 2022/2023 PT Socfin Indonesia – Lae Butar".</p> <p>The socialization and trainings include:</p>	Complied

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		<ul style="list-style-type: none"> Fertilization training and socialization of empty bunch application on 08 April 2023. Training on Palm Oil Production, aspects of harvesting, harvesting systems and techniques for using harvesting tools and fruit cutting Work Instruction on 13 August 2022. 	
5.2.3	<p>Where applicable, the unit of certification provides support to smallholders to promote legality of FFB production.</p> <p>- Minor compliance -</p>	<p>PT Socfin Indonesia – Lae Butar has no smallholders program (scheme nor independent).</p> <p>Available the agreement of <i>Fasilitas Pembangunan Kebun Masyarakat Pola Kemitraan Berkelanjutan</i> between PT Socfin Indonesia – Lae Butar with and each Farmer Group (18 Farmer Groups) dated 26 September 2023 with an agreement term of 5 years. The agreement explains the company facilitate the processing of Ownership Certificates with fees charged to farmers as long as they comply with applicable regulations.</p>	Complied
5.2.4	<p>(C) Evidence exists that the unit of certification trains Scheme Smallholders on pesticide handling.</p> <p>- Critical (Major) compliance -</p>	<p>PT Socfin Indonesia – Lae Butar has no smallholders program (scheme nor independent).</p> <p>However, the company have particular attention to surrounding independent smallholders in form of assisting in best practice guidance, including provide several relevant trainings.</p>	Complied
5.2.5	<p>The unit of certification regularly reviews and publicly reports on the progress of the smallholder support programme.</p> <p>- Minor compliance -</p>	<p>PT Socfin Indonesia – Lae Butar has regularly reviewed and publicly reports the progress of the smallholder support programme as documented in “Realisasi Kemitraan Kebun Lae Butar”, updated October 2022. Total area of smallholder support program is 887.97 Ha, covers village of Blok 18, Tunas Harapan, Pandan Sari, Sanggaberu Silulusan, Kuta Kerangan, Suka Makmur, Sidodadi, and Siatas. MoU are validated by Village Head and District Head.</p> <p>Monitoring of partnership program implemented in 2022 recorded under “Evaluasi Kinerja Kebun Lae Butar tahun 2022”, dated 12 January 2023.</p>	Complied

Principle 6: respect workers' rights and conditions Protect workers' rights and ensure safe and decent working conditions.			
Criteria 6.1: Any form of discrimination is prohibited.			
6.1.1	<p>(C) A publicly available non-discrimination and equal opportunity policy is implemented in such way to prevent discrimination based on ethnic origin, caste, national origin, religion, disability, gender, sexual orientation, gender identity, union membership, political affiliation or age.</p> <p>- Critical (Major) compliance -</p>	<p>PT Socfin Indonesia – Lae Butar referring to "<i>Kebijakan Non Diskriminasi dan Kesetaraan Kesempatan</i>" non-discrimination and equal opportunity policy, adopted by PT Socfin Indonesia in June 2019 and updated in October 2021, signed by the Principal Director.</p> <p>The policy has been disseminated annually to all employees through notice boards of company and by direct dissemination. The recent dissemination has conducted for all worker in Mill on 14 April 2023 and Estate in the 05 & 18 April 2023.</p> <p>Apart from that, the policy was also conveyed to stakeholders, for example the government office of Aceh Singkil Regency, contractors and villages officials around the company on 05, 6, 12, 19, 21, 25 and 30 September 2023.</p> <p>This policy is publicly available, and stakeholders can access it upon request. It was document verified during audit to workers data list, that there was no indication of discrimination at PT Socfin Indonesia – Lae Butar.</p> <p>Based on interviews with worker union and workers in the mill and estate, it is known that so far there have been no cases of discrimination committed by the company. In recruiting employees, the company recruits openly and transparently.</p>	Complied
6.1.2	<p>(C) Evidence is provided that workers and groups including local communities, women, and migrant workers have not been discriminated against. Evidence includes migrant workers non-payment of recruitment fees.</p> <p>- Critical (Major) compliance -</p>	<p>Based on interviews with Manpower Agency of Aceh Singkil Regency as well as worker union and workers at the Estate and Mill, it was stated that so far there have been no incidents of discrimination in the company's work environment. In addition, based on a review of the labor list documents of September 2023, it is known that workers come from various genders, ethnicities and religions, so it can be concluded</p>	Complied

		<p>that there is no discriminatory treatment in the company's operational activities.</p>	
<p>6.1.3</p>	<p>The unit of certification demonstrates that the recruitment selection, hiring access to training and promotion are based on skills, capabilities, qualities and medical fitness necessary for the jobs available.</p> <p>- Minor compliance -</p>	<p>The company has procedure, which regulated that hiring and promotion of workers are based on skill, work experiences, and job evaluation.</p> <p>Recruitment process was documented in procedure "<i>Penerimaan Pekerja KHT Kebun</i>" No Doc: SOC/PSM/6.01.01, 3rd revision dated 20 February 2020. Based on that procedure can be seen that the selection, recruitment, and promotion of workers based on qualification standard for the job. Employee credential and medical history were documented and recorded very well and has been reviewed during audit. All company policy reviewed every year by Sustainability Sub Department, PT Socfin Indonesia. Employees' evaluation was conducted every November to decide promotion of employees.</p> <p>The company has also prepared the procedure for promotion, retirement, and termination as per "<i>Sistem Manajemen Socfindo Prosedur Promosi, Mutasi dan Demosi</i>", document number: SOC/PSM/6.14, edition 01 dated 17th October 2016. Promotion and upgrade are based on employee appraisal conducted annually as outlined in the Employee Assessment Form signed by the employee concerned, approved by the line manager, and checked by the General Manager. The form indicates that there is no indication of employment discrimination in terms of ethnicity, caste, religion, disability, gender, sexual orientation, union membership, political affiliation and/or age.</p> <p>From the recruitment process in 2023, it is found that the recruitment selection, hiring access to training and promotion are based on skills, capabilities, qualities and medical fitness. The documentation consists of:</p>	<p>Complied</p>

		<ul style="list-style-type: none"> • Letter of employee request in PT Socfin Indonesia – Lae Butar, to General Department related. • Approval of request from General Department • Management of PT Socfin Indonesia – Lae has informed to head of local communities/villages and Manpower Agency of Singkil Regency related to open recruitments. • Document of recruitment: application letter, selection result records (administration, competition and Medical Check Up) and the final recommendation of recruitment process. 	
6.1.4	<p>Pregnancy testing is not conducted as a discriminatory measure and is only permissible when it is legally mandated. Alternative equivalent employment is offered for pregnant women.</p> <p>- Minor compliance -</p>	<p>The company does not conduct pregnancy tests to be a discriminatory measure. Pregnancy testing is only carried out for employees who apply pesticides to prevent employees who are pregnant from being exposed to pesticides. Based on interviews with the gender committee and female worker Lae Butar Estate, it was found that at the time of recruitment there were no requirements given by the company to take a pregnancy test and women who were pregnant could also be accepted to work at the time of employee recruitment</p>	Complied
6.1.5	<p>(C) A gender committee is in place specifically to raise awareness, identify and address issues of concern, as well as opportunities and improvements for women.</p> <p>- Critical (Major) compliance -</p>	<p>The company has a gender committee to address gender issues. The company shows the composition of the gender committee, which was set on April 9, 2022.</p> <ul style="list-style-type: none"> • Chairman: Pipi Yanti • Vice Chairman: Septi • Secretary: Hafnawiyah • Vice Secretary: Fitri Rian Rahadi • Member: 18 workers <p>Daily Duties of Gender Committee:</p> <ul style="list-style-type: none"> • Prepare an annual work program. 	Complied

		<ul style="list-style-type: none"> • Monitor reports and complaint processes. • Hold management meetings at least once a year and report to the General Division regarding committee activities. • Carry out outreach to members about related topics/materials at least three time a year. • Reporting. • Identify the needs of new mothers (who have just given birth) up to the age of 2 years. <p>Gender committee work program for 2023:</p> <ul style="list-style-type: none"> • Give workers an understanding of what a gender committee. • Reminded about Menstrual Leave. • Inform female workers who are not exposed to chemicals during pregnancy and breastfeeding. • There is no distinction between female and male workers. • Remind again to always wear PPE when working. • Tell workers not to bring children while working and entrust children to the daycare. <p>The stages of handling complaints about gender issues are as follows:</p> <ul style="list-style-type: none"> • Receive complaints regarding gender issues including complaints regarding sexual harassment and protection of reproductive rights. • Conduct investigations/clarifications on gender-related complaints received. • Submitting the complaint to the head of the company. 	
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		<ul style="list-style-type: none"> Together with the company's leadership to resolve complaints regarding gender. <p>Based on the verification of the Gender Committee evaluation documents in 2022/2023, it was found that there were no cases of sexual harassment and acts of violence at PT Socfin Indonesia. The results of interviews with the gender committee revealed that the gender committee was formed as a forum for both male and female employees to address gender issues such as sexual violence or sexual harassment, domestic violence, etc. The gender committee routinely carries out socialization to employees related to the protection of women against acts of violence, for example the socialization conducted on 06 September 2023 to workers mill and estate.</p>	
6.1.6	<p>There is evidence of equal pay for the same work scope.</p> <p>- Minor compliance -</p>	<p>There is no indication of discrimination against wage payments. The company has paid equal wages for the same scope of work. As for the difference is the group of workers based on work experience, position, work performance and others.</p> <p>Based on document verification on payslip period of August 2023, the minimum wage of men and women worker area equal according to the minimum wage stipulated by the local government. Through the interview with worker and union worker during public consultation obtained information that there is no salary discrimination against men and women workers, or within the same scope of work.</p>	Complied
<p>Criteria 6.2: Pay and conditions for staff and workers and for contract workers always meet at least legal or industry minimum standards and are sufficient to provide decent living wages (DLW).</p>			
6.2.1	<p>(C) Documentation of pay and working conditions in accordance with applicable labour laws are available to the workers in national languages and explained to them in language they understand.</p> <p>- Critical (Major) compliance -</p>	<p>The company shows documentation of the implementation of wages, such as:</p> <ul style="list-style-type: none"> Aceh Governor Decree Number 560/1539/2022 dated 24 November 2022 regarding the Determination of the Minimum 	Complied

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		<p>Wage for Aceh Province in 2023. The minimum wage in 2023 is IDR 3,413,666.</p> <ul style="list-style-type: none"> • Memo Number UM/PB/R/042/23 dated 20 January 2023 concerning the Non-Staff Salary Scale in 2023. Based on the memo, the highest is class VIII/10 with a wage of IDR 5,380,400. • Letter Number UM/KK/Bi/268/2023 dated 23 January 2023 regarding the Determination of the 2023 Daily Permanent Worker Wage. Based on the letter, the worker wage is set at IDR 3,413,666 consisting of IDR 3,259,166 and the supply value of 15 kg of rice is IDR 154,500. The determination of wage provisions refers to Perjanjian Kerja Bersama 2022 – 2024 between Badan Kerja Sama Perusahaan Perkebunan Sumatera (BKS – PPS) with Pengurus Pusat Federasi Serikat Pekerja Pertanian dan Perkebunan SPSI (PP FSP.PP-SPSI), Clause 5 stated daily permanent workers and monthly workers are given wages in the form of money which is determined based on a Joint Agreement between BKS-PPS and PD.FSP.PP-SPSI of the related Province in accordance with the applicable Regional Autonomy laws and regulations, which is cash wages plus rice allowance to 15 kg (BKS-PPS wages). <p>Based on verification the Salary Slip for July, August and September 2023 period, for example worker with Employee Code 12086** and 27013**, it is known that the payment of workers' wages for that month is in accordance with the minimum wage set by the government. Apart from the provision of the minimum wage, the company also provides other wages in the form of allowances, <i>premi</i> and other deductions.</p> <p>Based on interviews with mill and estate workers, it is known that the company has provided wages to workers in accordance with the</p>	
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		<p>minimum wage set by the government and the wages received by workers are not below the minimum wage.</p>	
<p>6.2.2</p>	<p>(C) Employment contracts and related documents detailing payments and conditions of employment (e.g. regular working hours, deductions, overtime, sick leave, holiday entitlement, maternity leave, reasons for dismissal, period of notice, etc. in compliance with national legal requirements) and payroll documents give accurate information on compensation for all work performed.</p> <p>- Critical (Major) compliance -</p>	<p>The company shown Collective Labour Agreement – "<i>Perjanjian Kerja Bersama (PKB)</i>" 2022 to 2024 between labour Union in Plantation Sectoral (Pengurus Pusat Federasi Serikat Pekerja Pertanian dan Perkebunan SPSI - PP FSP.PP-SPSI) with Representative of Plantation Management in Sumatera (Badan Kerjasama Perusahaan Perkebunan Sumatera - BKS PPS), valid since 26 December 2022. The document is written in Bahasa Indonesia.</p> <p>PKB consists of 24 articles which include, among others:</p> <ul style="list-style-type: none"> • Working hours, leave including maternity leave and sick leave • Wages (basic wage, incentives, allowances, dependents) • Tariff per unit: determination of price level wages • Pain relief • Absent • Payment for extra food (food costs), travel expenses • Overtime and overtime incentives • Determination of incentives • Provision of tools and work equipment by the company • Allowances and bonuses for religious holidays • Social security and assistance • Health insurance • Vacation right • Notification period • Pension plans and severance pay • Others related to labour rights and obligations. 	<p>Complied</p>

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		PKB has been explained to all workers at Lae Butar POM & Estate (PKB socialization conduct to 17 March 2023). It was confirmed during interview with workers.	
6.2.3	<p>(C) There is evidence of legal compliance for regular working hours, deductions, overtime, sickness, holiday entitlement, maternity leave, reasons for dismissal, period of notice, and other legal labour requirements.</p> <p>- Critical (Major) compliance -</p>	<p>The company has demonstrated is evidence of legal compliance for regular working hours, deductions, overtime, sickness, holiday entitlement, maternity leave, reasons for dismissal, period of notice, and other legal labour requirements.</p> <ul style="list-style-type: none"> Working hours has been determined in PKB as 7 hour/day with working day 6 days or 40 hour/weeks. Overtime has determined according to KepMenakertrans RI No. KEP-102/MEN/VI/2004 with formula (monthly wages + rice intensive incentive monthly)/173. Overtime will adjust for workday, holiday, number of hour overtime according to regulation. Sickness, holiday entitlement, maternity leave, reasons for dismissal, period of notice are stipulated in Article VIII CLA-PKB and has refer to UU No. 13 Tahun 2003. <p>Based on verification the Salary Slip for July, August and September 2023 period, for example worker with Employee Code 12086** and 27013**, attendants registered and employee documentation, can be demonstrated that legal compliance for regular working hours, deductions, overtime, sickness, holiday entitlement, maternity leave, reasons for dismissal, period of notice are complied.</p>	Complied
6.2.4	<p>(C) The unit of certification provides adequate housing. Sanitation facilities, water supplies, medical, educational and welfare amenities to national standards or above, where no such public facilities are available or accessible. In the case of acquisitions of non-certified units, a plan is</p>	<p>PT Socfin Indonesia – Lae Butar has provided the housing sanitation facilities, water supplies, medical, educational and welfare amenities to national standards. This unit of certification is located in the middle of Rimo Village, therefore the workers is not difficult to seek their staple food and other daily needs.</p>	Complied

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	<p>developed detailing the upgrade of infrastructure. A reasonable time (5 years) is allowed to upgrade the infrastructure.</p> <p>- Critical (Major) compliance -</p>	<p>Based on field visits to housing divisions I and III, it was discovered that the workers' houses visited had damage to the ceiling, doors and bathrooms. During audit in October 2023, repairs of the houses are still in progress. In addition, it is known that worker housing units keep poultry, so companies need to pay attention to ensuring environmental health in worker housing.</p> <p>The Lae Butar Estate has carried out patrols to monitor the condition of workers' housing every month, and the workers' houses visited (being the auditor's sample) have been identified as being in a damaged condition, and the house has been proposed to be repaired, with plans to be repaired in October 2023.</p> <p>The company has made plans for a program to repair workers' homes in 2023 and it has been realized that houses that have been repaired and those that have not been repaired are still in the process of being repaired until the end of 2023.</p>	
<p>6.2.5</p>	<p>The unit of certification makes efforts to improve workers' access to adequate, sufficient and affordable food.</p> <p>- Minor compliance -</p>	<p>Based on interviews with workers state that workers will be given an additional 15 kg of rice (if the worker is not married) and if the worker has a family they will be given an additional 15 kg of rice, 9 kg of rice for his wife and 7.5 kg of rice for each child, maximum 3 children. Besides that, extra food given for the workers such as milk and mung bean porridge.</p> <p>The evidence has been reviewed such as payroll for July and August 2023 (payroll contains of salaries, extra wage and other benefits) and based on interviews with the workers.</p> <p>Worker's housing provides by company also located near to the traditional market which provide the basic needs including staple foods, vegetables, meat, fish, etc.</p>	<p>Complied</p>
<p>6.2.6</p>	<p>A DLW is paid to all workers in accordance with applicable regulations, including workers who work on a piece rate/quota basis, the calculation</p>	<p>The company paid to all workers following Provincial Minimum Wage that provided by Aceh Governor decree. All the workers in PT. Socfin Indonesia – Lae Butar for September 2023 period are permanent</p>	<p>Complied</p>

	<p>of which is based on a quota that can be achieved during regular working hours.</p> <p>- Minor compliance -</p>	<p>status. For 2023, Minimum Wage which implement in Lae Butar is referring to Aceh Governor Decree Number 560/1539/2022 dated 24 November 2022. The minimum wage in 2023 is IDR 3,413,666.</p> <p>Based on interview with sample of workers and verify their payslip, it was clearly that the company paid the workers following the Provincial Minimum Wage. PT. Socfin Indonesia – Lae Butar has determined details of in-kind benefit received by employee’s year 2023 with 13 parameters which refer to “RSPO Guidance on Calculating Prevailing Wages, 11 November 2019”.</p> <p>PT Socfin Indonesia – Lae Butar made calculation for in-kind benefits and supporting documents with the highest monthly expenses per family in Socfin Indonesia at Tanah Gambus area (Sumatra Utara Province) and Sungai Liput area (Aceh Province).</p> <p>Sample for in-kind benefit calculation representing five level employee status: Single, married no children, married one child, married two children and married three children.</p> <p>The calculation will review annually and updated along with changes of minimum wage and cost expenses per family.</p> <p>PT. Socfin Indonesia – Lae Butar has prepared the prevailing wage calculation. The company referred to RSPO Guidance for Implementing Decent Living Wage. Company have taken into calculation the component:</p> <ul style="list-style-type: none"> • Reference family size (incl. Total Fertility Rate/TFR and Child Mortality Rate/CMR – Indonesia year 2022). • Full time equivalent per Family (incl. Labor Force Participation Rate/LFPR, Unemployment rate, People Working Part Time – Indonesia year 2022). 	
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		<ul style="list-style-type: none"> • Food cost (with 2100 calory, footnote 19 RSPO Guidance on Implementing DLW) and noted company provided in kind benefit, rice. • Housing cost (provided by company). • Non-Food Non Housing cost (electricity, clean water, medical treatment, education, school children transportation cost – provided by company). • Mandatory deduction (from tax and BPJS levy); Gross Living Wage at IDR 3,970,000. <p>Prevailing wage at IDR 6,287,178 up to IDR 6,408,472 Gap at IDR 2,317,178 up to IDR 2,438,472 (positive).</p>	
<p>PROCEDURAL NOTE:</p> <p>The RSPO has published guidelines on the calculation of Decent Living Wage (DLW) in June 2019. Since Indonesia does not have DLW benchmark yet, the RSPO Secretariat will conduct a DLW benchmark study in accordance with the Global Living Wage Coalition (GLWC) and Indonesian laws and regulations.</p> <p>In the meantime, until DLW benchmark for Indonesia is endorsed by the RSPO, the unit of certification carries out interim measures that was published by RSPO (dated 11 November 2019), including:</p> <ol style="list-style-type: none"> 1. Payment of minimum wages in accordance with applicable regulations 2. Assessment of wages paid (prevailing wages) and in-kind benefits. <p>Once the DLW benchmark is available, this procedural note is no longer applicable.</p>			
6.2.7	<p>Permanent fulltime employment is used for all core work performed by the unit of certification. Casual, temporary and day labour is limited to jobs that are temporary or seasonal.</p> <p>- Minor compliance -</p>	<p>Based on the document review, it is known that there are 40 harvesters with status contract workers (<i>Perjanjian Kerja Waktu Tertentu</i>) with work period for three months. For example, contract number LB/X/PKWT/Bi/187/2023 on behalf of RYW (initial) which is work period from 01 March 2023 to 31 May 2023, and contract number LB/X/PKWT/Bi/627/2023 on behalf of DH (initial) which is work period from 01 June 2023 to 31 August 2023.</p> <p>Regarding this matter the company provides an explanation according to manpower analysis. Analysis of the use of contract workers during</p>	Complied

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		<p>the peak season harvest made by the management on 20 February 2023, with the following analysis i.e the needs of harvesters under normal conditions, FFB census results, actual production in January – February 2023 and prediction of FFB maturity in the next month period. Based on this analysis, it is estimated that FFB production will increase between March 2023 to the beginning of the second semester. So, with the conditions, for that period additional harvester is needed. The management unit plans to add harvester with status contract (PKWT) during the peak season.</p> <p>Based on the verification of the list of workers in September 2023, no more contract workers (PKWT) were found for the core work in the Mill and Estate. All workers have permanent status. This was also confirmed through field visits and interviews of workers in Divisions III and IV of Lae Butar Estate that no contract harvester was found.</p>	
<p>Criteria 6.3: The unit of certification respects the rights of all personnel to form and join trade unions of their choice and to bargain collectively. Where the right to freedom of association and collective bargaining are restricted under law, the employer facilitates parallel means of independent and free association and bargaining for all such personnel.</p>			
<p>6.3.1</p>	<p>(C) A published statement recognizing freedom of association and right to collective bargaining in national languages is available and is explained to all workers in languages that they understand, and is demonstrably implemented.</p> <p>- Critical (Major) compliance -</p>	<p>There are no changes of company’s SOP or policy compared to the previous audit. PT Socfin Indonesia – Lae Butar as a part of Socfin SA has establish “Kebijakan Kebebasan Berserikat” adopted by PT Socfin Indonesia in June 2019 and updated in October 2021, signed by the Principal Director.</p> <p>PT Socfin Indonesia has adopted the following Sustainability Policies which encapsulate Socfindo’s current commitments and strategic priorities in relation to Sustainability. These policies are available in their website: https://www.socfindo.co.id/sustainability#a3. Freedom of Association Policy is available on https://www.socfindo.co.id/documents/eng/Policy-Freedom-of-Association.pdf</p>	<p>Complied</p>

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		<p>Based on interview with labour union leader, Unit of Certification has accommodated employee rights to argued, associate and organize in a labour union. Employees, including migrant workers and contract workers were allowed to form associations and bargain collectively with their employer.</p> <p>The policy has been disseminated annually to all employees through notice boards of company and by direct dissemination. The recent dissemination has conducted for all worker in Mill on 14 April 2023 and Estate in the 05 & 18 April 2023.</p> <p>Apart from that, the policy was also dissemination to stakeholders, for example the government office of Aceh Singkil Regency, contractors and villages officials around the company on 5, 6, 12, 19, 21, 25 and 30 September 2023.</p> <p>There were union workers represent estate and mill workers incorporated in the SPSI Serikat Pekerja Perkebunan PT Socfin Indonesia Lae Butar Plantation and registered in Manpower Agency as per Decree Number 116/KEP-SK/PC-FSPP-SPSI/ASK/I/2023 from PP Federasi Serikat Pekerja Pertanian dan Perkebunan Serikat Pekerja Seluruh Indonesia (PP.FSP.PP-SPSI) regarding the <i>"Pengesahan/Perubahan PUK PT SOcfindo Lae Butar Federasi Serikat Pekerja Pertanian dan Perkebunan Serikat Pekerja Seluruh Indonesia Masa Bakti 2019 – 2024"</i> dated 01 January 2023 with Chairman Zaisal Lingga.</p> <p>Based on interviews with union worker leader, it is known that the company supports freedom of association for its workers. In addition, the company does not intervene to the union in terms of the election of the chairman or the decisions of the union.</p>	
6.3.2	Minutes of meetings between the unit of certification with trade unions or worker representatives who are freely elected, are documented in the national languages and available upon request.	The company can show the minutes of the meeting between the unit management and the union worker for the 16 June 2023. With the discussion and results of the meeting as follows:	Complied

	<p>- Minor compliance -</p>	<ul style="list-style-type: none"> • Providing PPE to workers is carried out according to procedures, damaged and not feasible PPE is replaced with new ones. • Repairs to damaged and leaking houses will be carried out immediately, workers whose houses are damaged can report directly to the assistant so that follow-up can be done. • Workers can contact the nurse or the clinic at any time for Health assistance. • <i>Premi</i> (incentive) of harvesting, harvesting basis, <i>premi</i> transport have been regulated in the <i>premi</i> system established by the Department of <i>Bahagian Tanaman</i>. 	
<p>6.3.3</p>	<p>Management does not interfere with the formation or operation of registered labour organizations/unions, or other freely elected representatives for all workers, including migrant and contract workers.</p> <p>- Minor compliance -</p>	<p>Pimpinan Unit Kerja Federasi Serikat Pekerja Pertanian dan Perkebunan Serikat Pekerja Seluruh Indonesia (PUK FSPP SPSI) PT Socfin Indonesia Lae Butar Plantation was formed and registered is freely elected by the workers. The union worker has been registered in Manpower Agency as per Decree Number 116/KEP-SK/PC-FSPP-SPSI/ASK/I/2023 from PP Federasi Serikat Pekerja Pertanian dan Perkebunan Serikat Pekerja Seluruh Indonesia (PP.FSP.PP-SPSI) regarding the “<i>Pengesahan/Perubahan PUK PT SOcfindo Lae Butar Federasi Serikat Pekerja Pertanian dan Perkebunan Serikat Pekerja Seluruh Indonesia Masa Bakti 2019 – 2024</i>” dated 01 January 2023 with Chairman Zaisal Lingga. Member list available 779 members, as of September 2023.</p> <p>Based on document verification and interviews with the worker Unions and company representatives, it is known that the chairman of the worker union and the management do not have a conflict of interest with the company. The company does not have the authority and does not intervene in the determination of the management of the worker union.</p>	<p>Complied</p>
<p>Criteria 6.4: Children are not employed or exploited.</p>			

<p>6.4.1</p>	<p>A formal policy for the protection of children, including prohibition of child labour and remediation is in place, and included into service contracts and supplier agreements.</p> <p>- Minor compliance -</p>	<p>There are no changes of company’s SOP or policy compared to the previous audit. PT Socfin Indonesia – Lae Butar as a part of Socfin SA has establish “Kebijakan Perlindungan Anak” adopted by PT Socfin Indonesia in June 2019 and updated in October 2021, signed by the Principal Director. This document written in Bahasa Indonesia – stipulating not allowing any work to be performed by someone under 18 years old.</p> <p>Company has adopted the following Sustainability Policies which encapsulate Socfindo’s current commitments and strategic priorities in relation to Sustainability. These policies are available in their website: https://www.socfindo.co.id/sustainability#a3. Child Protection Policy is available on https://www.socfindo.co.id/documents/eng/Policy-Child-Protection.pdf</p> <p>The policy has been disseminated annually to all employees through notice boards of company and by direct dissemination. The recent dissemination has conducted for all worker in Mill on 14 April 2023 and Estate in the 05 & 18 April 2023.</p> <p>Apart from that, the policy was also dissemination to stakeholders, for example the government office of Aceh Singkil Regency, contractors and villages officials around the company on 05, 6, 12, 19, 21, 25 and 30 September 2023.</p>	<p>Complied</p>
<p>6.4.2</p>	<p>(C) Documented evidence on the fulfilment of worker’s minimum age requirements in accordance with applicable regulation and verification procedures for age requirements, are available.</p> <p>- Critical (Major) compliance -</p>	<p>Based on workers list verification in Lae Butar Estate and Mill updated August and September 2023 can be demonstrated that there is no workers with age under 18 years old at the time they were recruited. It is also has been verified during field observation operations activity in Lae Butar Estate and Mill and interview with stakeholders, it was found that there were no child workers or workers under the age of 18 working at the company.</p>	<p>Complied</p>

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6.4.3	<p>(C) Young person maybe employed only for non-hazardous work with protective restrictions in place for that work.</p> <p>- Critical (Major) compliance -</p>	<p>Based on the verification of the Worker's List document in August and September 2023, there are no students who are carrying out work practices in company operations.</p>	Complied
6.4.4	<p>The unit of certification demonstrates communication about its 'no child labour' policy and the negative effects of child labour, and promotes child protection to supervisors and other key staff, smallholders, FFB suppliers and communities where workers live.</p> <p>- Minor compliance -</p>	<p>The policy "<i>Kebijakan Perlindungan Anak</i>" has been disseminated annually to all employees through notice boards of company and by direct dissemination. The recent dissemination has conducted for all worker in Mill on 14 April 2023 and Estate in the 05 & 18 April 2023.</p> <p>Apart from that, the policy was also dissemination to stakeholders, for example the government office of Aceh Singkil Regency, contractors and villages officials around the company on 05, 6, 12, 19, 21, 25 and 30 September 2023.</p> <p>Based on verified during field observation operations activity in Lae Butar Estate and Mill and interview with stakeholders, it was found that there were no child workers or workers under the age of 18 working at the company.</p> <p>In addition, based on the results of interviews with contractors, it was conveyed that the parties were aware of the prohibition on work practices involving child labor, forced labor, and workers from human trafficking.</p>	Complied
Criteria 6.5: There is no harassment or abuse in the workplace, and reproductive rights are protected.			
6.5.1	<p>(C) A policy to prevent sexual and all other form of harassment and violence is documented, implemented and communicated to all levels of the workforce.</p> <p>- Critical (Major) compliance -</p>	<p>PT Socfin Indonesia – Lae Butar as a part of Socfin SA has establish "<i>Kebijakan Pencegahan Kekerasan dan Pelecehan</i>" adopted by PT Socfin Indonesia in June 2019 and updated in October 2021, signed by the Principal Director.</p> <p>The policy has been disseminated annually to all employees through notice boards of company and by direct dissemination. The recent dissemination has conducted for all worker in Mill on 14 April 2023 and Estate in the 05 & 18 April 2023.</p>	Complied

		<p>Apart from that, the policy was also dissemination to stakeholders, for example the government office of Aceh Singkil Regency, contractors and villages officials around the company on 5, 6, 12, 19, 21, 25 and 30 September 2023.</p> <p>The company has formed a Gender Committee as a place to submit grievance related sexual or other form of harassment, or violation of reproductive rights. The company shows the composition of the gender committee, which was set on April 9, 2022.</p> <ul style="list-style-type: none"> • Chairman: Pipi Yanti • Vice Chairman: Septi • Secretary: Hafnawiyah • Vice Secretary: Fitri Rian Rahadi • Member: 18 workers <p>Daily Duties of Gender Committee:</p> <ul style="list-style-type: none"> • Prepare an annual work program. • Monitor reports and complaint processes. • Hold management meetings at least once a year and report to the General Division regarding committee activities. • Carry out outreach to members about related topics/materials at least three time a year. • Reporting. • Identify the needs of new mothers (who have just given birth) up to the age of 2 years. <p>Gender committee work program for 2023.</p> <ul style="list-style-type: none"> • Give workers an understanding of what a gender committee. 	
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		<ul style="list-style-type: none"> • Reminded about Menstrual Leave. • Inform female workers who are not exposed to chemicals during pregnancy and breastfeeding. • There is no distinction between female and male workers. • Remind again to always wear PPE when working. • Tell workers not to bring children while working and entrust children to the daycare. <p>The stages of handling complaints about gender issues are as follows:</p> <ul style="list-style-type: none"> • Receive complaints regarding gender issues including complaints regarding sexual harassment and protection of reproductive rights. • Conduct investigations/clarifications on gender-related complaints received. • Submitting the complaint to the head of the company. • Together with the company's leadership to resolve complaints regarding gender. <p>Based on interview with chairman of Gender Committee and women workers, it is known that there is no negative issue related to sexual harassment.</p>	
6.5.2	<p>(C) A policy to protect the reproductive rights of all, especially of women, is documented, implemented and communicated to all levels of the workforce.</p> <p>- Critical (Major) compliance -</p>	<p>PT Socfin Indonesia – Lae Butar as a part of Socfin SA has establish "<i>Kebijakan Hak Reproduksi</i>" adopted by PT Socfin Indonesia in June 2019 and updated in October 2021, signed by the Principal Director. This document is written in Bahasa Indonesia. The policy including presence of committee gender, including identification of new mother needs; education program cannot discriminate female or any group; presence of children day- care; pregnant female worker cannot work with chemical; breast- feeding female worker cannot work with</p>	Complied

		<p>chemical up until 9 months and provided with ample time to breastfeed the baby.</p> <p>The policy has been disseminated annually to all employees through notice boards of company and by direct dissemination. The recent dissemination has conducted for all worker in Mill on 14 April 2023 and Estate in the 05 & 18 April 2023.</p> <p>Apart from that, the policy was also dissemination to stakeholders, for example the government office of Aceh Singkil Regency, contractors and villages officials around the company on 5, 6, 12, 19, 21, 25 and 30 September 2023.</p> <p>Based on interview with chairman of Gender Committee and women workers, it is known that there is no negative issue related to violation of reproductive rights</p>	
6.5.3	<p>Management has assessed the needs of new mothers, in consultation with the new mothers, and actions are taken to address the needs that have been identified in accordance with applicable regulations in Indonesia.</p> <p>- Minor compliance -</p>	<p>The company showed the Report of Identification the Needs of New Mothers prepared on 20 September 2023. On the report explained that the company has identified the need for new mothers coordinated by the Gender Committee. The criteria for new mothers are female workers who have just given birth or who have children < 2 years old. Media interviews use questionnaires to make it easier to collect information. The company conducted interviews with 4 mothers with the following results:</p> <ul style="list-style-type: none"> • Provision of breastfeeding corners in each division. • Provision of <i>Tempat Penitipan Anak/TPA</i> as a means of daycare. • The arrangement of work assignments for “young mothers” is prioritized around the <i>TPA/ Pojok ASI</i>. • Improved understanding of young mothers regarding: child health, family health, breastfeeding, parenting patterns, and complementary foods (<i>MPASI</i>). 	Complied

		<ul style="list-style-type: none"> • Supporting posyandu activities in the form of supplementary feeding for infants and pregnant women. • Pre-School facilities for employees' children. <p>Based on field visit in the housing area, there is children care facilities (TPA) – daycare. And based on interview with Chairman of Gender Committee has stated that the company has provided the facilities for new mother in workplace.</p>	
6.5.4	<p>A grievance mechanism, which respects anonymity and protects complainants where requested, as long as the complaint is supported with adequate information, is documented, implemented and communicated to all levels of the workforce.</p> <p>- Minor compliance -</p>	<p>PT. Socfin Indonesia – Lae Butar referring to “Prosedur Pengelolaan Isu Sosial No.SOC/PSM/9.14 rev.01” dated 1 April 2022. The procedure covers resolution for dispute, illegal activities, including FPIC in all process. Section 6.4.1.7 stated meeting for resolution can involve facilitator or mediator from community representative/cultural leader/village head or other party appointed by the community, taking into consideration local norm. Section 6.4.18 stated the conflict resolution process will be recorded in form “Catatan Perselisihan”.</p> <p>PT Socfin Indonesia – Lae Butar referring to grievance handling policy “Kebijakan Pengaduan” dated 1 June 2019, signed by Principal Director. This policy is written in Bahasa Indonesia. The policy stipulates all complaint will be catered with appropriate complaint handling procedures, impartial, independent, fast and transparent manner.</p> <p>PT Socfin Indonesia has adopted the following Sustainability Policies which encapsulate Socfindo’s current commitments and strategic priorities in relation to Sustainability. These policies are available in their website: https://www.socfindo.co.id/sustainability#a3. Specific related HRD and complaints are available in Grievance Policy.</p> <p>According to information in indicator 4.2.3, resolution for sexual harassment complaint, intimidation and violence will be held by Gender Committee. The meeting for complaints and investigation</p>	Complied

		<p>conducted maximum 1 month after the complaint submitted and the status will be updated on a monthly basis by Gender Committee.</p> <p>The policy has been disseminated annually to all employees through notice boards of company and by direct dissemination. The recent dissemination has conducted for all worker in Mill on 14 April 2023 and Estate in the 05 & 18 April 2023.</p> <p>Apart from that, the policy was also dissemination to stakeholders, for example the government office of Aceh Singkil Regency, contractors and villages officials around the company on 5, 6, 12, 19, 21, 25 and 30 September 2023.</p> <p>Based on interviews with worker union and gender committee, they confirmed that understand of grievance mechanism, which respects anonymity and protects complainants where requested – until September 2023 there was no complaints reported.</p>	
Criteria 6.6: No forms of forced or trafficked labour are used.			
6.6.1	<p>(C) All work is voluntary and the following are prohibited:</p> <ul style="list-style-type: none"> • Retention of identity documents or passports; • Payment of recruitment fees; • Contract substitution without worker’s consent • Involuntary overtime; • Lack of freedom of workers to resign • Penalty for termination of employment, unless the unit of certification and the workers agree to the penalty and it is stated in the employment agreement • Debt bondage • Withholding of wages <p>- Critical (Major) compliance -</p>	<p>PT Socfin Indonesia – Lae Butar as a part of Socfin SA has establish "<i>Kebijakan Tidak Ada Kerja Paksa</i>" adopted by PT Socfin Indonesia in June 2019 and updated in October 2021, signed by the Principal Director.</p> <p>During the audit, based on document review, interview with workers, labor union, and external stakeholder, it is known that there was no indication the company practices in:</p> <ul style="list-style-type: none"> • Retention of identity documents or passports • Payment of recruitment fees • Contract substitution • Involuntary overtime • Lack of freedom of workers to resign • Penalty for termination of employment 	Complied

		<ul style="list-style-type: none"> • Debt bondage • Withholding of wages <p>During interview with sampled worker and union worker confirmed that there was no use of migrant workers and no substitution of an employment agreement/contract.</p>	
6.6.2	<p>(C) Where temporary (Specified Time Work Agreement/PKWT) or migrant workers are employed, a specific labour policy and procedures are established and evidence of implementation is available.</p> <p>- Critical (Major) compliance -</p>	<p>Based on interviews with worker union and external stakeholder, information was obtained that there were no migrant workers working at Lae Butar Mill and Estate. The status of workers working include permanent workers and PKWT workers.</p> <p>Based on list workers documents and interviews with management, information was obtained that there were PKWT workers working in March to May 2023 and June to August 2023. These PKWT workers were employed for harvesting activities that were the peak season harvest. The company can justify the use of PKWT employees for harvest work based on manpower analysis report was prepared on 20 February 2023.</p> <p>The work relationship between the company and PKWT workers takes the form of a work agreement, for example: contract number LB/X/PKWT/Bi/187/2023 on behalf of RYW (initial) which is work period from 01 March 2023 to 31 May 2023, and contract number LB/X/PKWT/Bi/627/2023 on behalf of DH (initial) which is work period from 01 June 2023 to 31 August 2023. The work agreement has explained the duties and responsibilities of workers, work locations, wages, premiums, <i>BPJS Ketenagakerjaan</i> and <i>BPJS Kesehatan</i>, working hours, weekly breaks and more. The work agreement was also known by the Manpower Agency of Aceh Singkil Regency.</p> <p>Based on document verification, it is known that for PKWT workers the wage provisions refer to the Minimum Wage of Aceh Province, workers are registered with the <i>BPJS Ketenagakerjaan</i> (accident insurance) and <i>BPJS Kesehatan</i> (medical insurance) program and receive</p>	Complied

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		<p>compensation if their work period has expired as determined. This is in accordance with labor regulations set by the government.</p>	
<p>Criteria 6.7: The unit of certification ensures that the working environment under its control is safe and without undue risk to health.</p>			
<p>6.7.1</p>	<p>(C) The responsible person(s) for H&S is identified. There are records of regular meetings between the responsible person(s) and workers. Concerns of all parties about health, safety and welfare are discussed at these meetings, and any issues raised are recorded.</p> <p>- Critical (Major) compliance -</p>	<p>The company has an Occupational Safety and Health Advisory Committee in accordance with the Decree of the Head of the Aceh Singkil Regency, No. 560/33/2017 dated 25 October 2017. In the third part of the decree, it is stated that the decree is valid as long as the company and its management structure do not change. The OHS Committee management structure is as follows:</p> <ul style="list-style-type: none"> • Chairman: Manager • Deputy Chairman: Askep • Secretary: Tekniker I (Mill Head) • Deputy secretary: Document controller I • Member: KTU; Division I – IV assistant; Tekniker II; Mill Clerk I; Head of Warehouse; Division I – IV Foreman; Head of Polyclinic. <p>The OHS Committee Secretary in the management is Mohd. Novry Rahadian and has been appointed as an OHS safety expert in accordance with the Decree of the Minister of Manpower of the Republic of Indonesia No. 5/5758/AS.01.03/V/2022 dated 31 May 2022. The company can also show a certificate for a Candidate for Occupational Safety and Health Expert in the name of the P2K3 secretary Number 5/860/AS.02.04/VII/2019 dated 30 July 2019 and has an OHS authority expert identification card No.Reg 7.2005/PK3/AJ/12/2022/P1 dated 31 May 2022 valid until 31 May 2025.</p> <p>Records of regular meetings</p>	<p>Complied</p>

		<p>The unit of certification has a quarterly OHS Committee report which contains information about regular monthly meetings discussing the company OHS and Environment aspects. Some of these recordings include the OHS Committee meeting for the July 2023 period discussing the condition of the housing environment and work accidents during that period, in which there was 1 case for a harvester, namely that the eye was hit by oil palm powder. Follow-up on the condition of the housing environment is to hold a clean week for all sub-division areas, while for work accidents by providing first aid training if a similar case occurs (it is preferred to immediately take it to the clinic).</p>	
<p>6.7.2</p>	<p>Accident and emergency procedures in Indonesian language are in place and clearly understood by all workers. Assigned operatives trained in first aid are present in both field and other operations. First aid equipment is available at worksites. Records of all accidents are kept and periodically reviewed.</p> <p>- Minor compliance -</p>	<p>Emergency Response System</p> <p>It can be seen in several procedures, policies or work instructions owned by the company, for example:</p> <ul style="list-style-type: none"> • SOC/PSM/4.08 (Emergency Preparedness and Response). These include information about a list of potential emergencies, a list of important telephone numbers, a list of emergency equipment, emergency investigation reports, guidelines for handling emergencies, guidelines for handling explosions, fire extinguisher guidelines, etc. • Occupational Safety and Health Policy: Commitment to providing a safe working environment for all employees, developing a safety culture that promotes individual awareness and responsibility. <p>Based on the results of interviews with worker representatives, for example the Lae Butar POM boiling station and machine room station operators as well as representatives of harvest employees and Lae Butar Plantation warehouse workers, it is known that workers have received sufficient information regarding handling emergency situations such as natural disasters, fires, chemical spills, or other types of emergencies.</p>	<p>Complied</p>

<p>6.7.3</p>	<p>(C) Workers use appropriate personal protective equipment (PPE) which is provided free of charge to all workers in the workplace, to cover all potentially hazardous operations, such as pesticides application, machine operations, land preparation, and harvesting. Sanitation facilities for those applying pesticides are available, so that workers can change out of PPE, wash and put on their personal clothing.</p> <p>- Critical (Major) compliance -</p>	<p>The unit of certification has procedures and policies related to PPE which include stating that will provide PPE in accordance with the risk analysis and for free. It was shown examples of documentation for handing over PPE to employees, for example leather gloves and helmets on 8 March 2023 as well as boots for 10 harvesters from Division I in the same period. The types of PPE used based on the matrix are as follows:</p> <ul style="list-style-type: none"> - Head protection - Eye protection - Hearing protection - Face protection - Body protection - Foot Protection - Safety shoes. <p>The company can also show PPE stock as of 24 May 2023, such as gum boots (146 pcs), masks (252 pcs) and face shield (265 pcs). Based on interviews with worker representatives, for example during harvesting activities at Block 40 Division I, pesticide application activities at Block 43 and fertilizer activities at Block 64 Division II as well as the processing area in the Palm Oil Mill, shown that PPE had been used in accordance with the matrix and procedures.</p> <p>Examples of PPE used include:</p> <ul style="list-style-type: none"> • Boiler station: Goggles, apron, mask, leather gloves, safety shoes, helmet and ear plugs. • Pesticide applicator: Boots, glasses, apron and mask. 	<p>Complied</p>
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		<p>Sanitation Facilities</p> <p>The unit of certification has sanitation facilities for pesticide applicators which are equipped with a place to wash PPE and work tools, a place to wash clothes, an employee bathroom, a place to store PPE, and a locker to store clean clothes. The verification results also found that the number of lockers and facilities was in accordance with the number of pesticide applicators owned.</p>	
<p>6.7.4</p>	<p>All workers are provided medical care and covered by accident insurance. Costs incurred from work related incidents, leading to injury or illness, are covered in accordance with applicable regulations or by the unit of certification if applicable regulations do not provide protection.</p> <p>- Minor compliance -</p>	<p>All workers were covered by accident and medical care insurance including contract workers. Evidence was sighted and documented in <i>BPJS Ketenagakerjaan</i> (social insurance) and <i>BPJS Kesehatan</i> (medical insurance) payment description and bank slip payment.</p> <p>The company can show proof of payment of <i>BPJS Ketenagakerjaan</i> and <i>BPJS Kesehatan</i> for workers, for example:</p> <ul style="list-style-type: none"> • <i>BPJS Ketenagakerjaan</i> with <i>Kode Iuran</i> 400000049094 for the period of August 2023 with the total of workers registered 851 workers and has been paid on 08 September 2023. • <i>BPJS Kesehatan</i> for the period of August 2023 with the total of workers registered 851 workers and has been paid on 08 September 2023. <p>Based on the interview with mill and estate workers, concluded that all employees were aware of the medical service procedures in the event of an accident or illness. All employees interviewed also claimed to have a health BPJS card, if they went to a company clinic there was no fee at all. Based on interview with contractor representative, it is known that the accident insurance for contractor's workers is covered by the head of contractor itself.</p>	<p>Complied</p>

6.7.5	Occupational injuries are recorded using Lost Time Accident (LTA) metrics. - Minor compliance -	The unit of certification has recorded work accidents and has been summarized in LTA Metric. The calculation results are for each current year so that the final calculation is for the 2022 period as follows: <ul style="list-style-type: none"> • Total lost time injuries: 3 cases • Total hour worked: 1,591,456 hours. • Total lost day: 46 days • LTA: FR → 1.88 SR → 28.90 	Complied
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Principle 7: Protect the environment, conserve biodiversity and ensure sustainable management of natural resources.

Criteria 7.1: Pests, diseases, weeds and invasive introduced species are effectively managed using appropriate Integrated Pest Management (IPM) techniques.

7.1.1	(C) IPM plans are implemented and monitored to ensure effective pest control. - Critical (Major) compliance -	As written in indicator 3.3.1, PT Socfin Indonesia – Lae Butar has had procedure of Integrated Pest Management (including disease). The unit of certification has documented IPM for 2023 in “Rencana Pengelolaan Pestisida dan Hama Terpadu” dated 1 March 2023. This plan outlines the use and implementation of the Integrated Pest Management plan for Socfindo's operations and forms the basis on which Socfindo implements pesticide reduction plans to minimize and/or mitigate their impacts as part of Socfindo's overall commitment to environmental management. The certificate holder through Socfin Research has trained pest monitoring officer (3 person each division). Each block has an observational row (multiples of five, starting from the fifth row). Observations are made every month. The outermost tree in the line of observation has been red marked to facilitate the pest control officer. The company has conducted pest and disease census on a daily basis. Item to check was leaf eater caterpillar, rat infestation and Ganoderma. The record of monitoring reported to pest and disease	Complied
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		<p>officer and Division Assistant as decided to control or not. Evidence available such as "Rekapitulasi sensus Orycthes", "Rencana Sensus Normal Serangan Ulat Kebun Lae Butar", "Rencana dan Realisasi Tanam Beneficial Plant 2023 Kebun Lae Butar". Based on document review, there is no pest infestation which exceeds the threshold.</p> <p>During the field observation in Division III and IV, obtain information that the observation conducted to monitor the infestation of leaf eater caterpillar, bag worm, Ganoderma, rat, termite, and disease. Barn-owl box observed at Block 116 Division IV. Record of observation written in daily pest infestation report. Observed beneficial plants along the main road and collection road. To maintain soil moisture, the company has maintained ground cover using legumes and Nephrolepis ferns. Based on field observation, there is no pest infestation occur.</p>	
7.1.2	<p>Invasive species are not to be used according to applicable regulation in managed areas, unless plans to prevent and monitor their spread are implemented.</p> <p>- Minor compliance -</p>	<p>The unit of certification has regularly evaluated invasive species according to Minister of Environment and Forestry Regulation No. P. 94/MENLHK/SEKJEN/KUM.1/12/2016 concerning Invasive Species. Latest evaluation conducted on 3 June 2023. Some species naturally existed in the area and the spreading are controlled by manually and pesticides spraying, e.g. <i>Casia tora</i>, <i>Urea lobasta</i>, <i>Imperata cylindrica</i>, <i>Turnera ulmifolia</i>, <i>Clidemia hirta</i>, <i>Mimosa invisa</i>.</p> <p>Based on document verification, interview with estate manager and field visit obtain information that there is no invasive species used in managed area.</p>	Complied
7.1.3	<p>There is no use of fire for pest control unless in exceptional circumstances, i.e. where no other effective methods exist, and with prior approval of government authorities.</p> <p>- Minor compliance -</p>	<p>Based on field visit, document verification, interview with field assistant and worker obtain information that there is no fire used for pest control in Lae Butar Estate.</p>	Complied
<p>Criteria 7.2: Pesticides are used in ways that do not endanger health of workers, families, communities or the environment.</p>			

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<p>7.2.1</p>	<p>(C) Justification of all pesticides used is demonstrated. Selective products and application methods that are specific to the target pest, weed or disease are prioritised.</p> <p>- Critical (Major) compliance -</p>	<p>The unit of certification has established No Deforestation, Development on Peat & Environmental Policy that was signed by Principle Director of Socfin Indonesia on 1 June 2019, Socfin Indonesia has specific commitment related to pesticide usage as follows:</p> <ul style="list-style-type: none"> - Specific attention is given to the use of pesticides. Integrated Pest Management (IPM) plans are developed for all operations and reviewed annually, - All active ingredients in use are also reviewed annually for safety and efficacy, - Pesticides in WHO classes IA and IB, and Stockholm or Rotterdam conventions are used only when no effective alternatives are available. Their use is authorized in writing by local senior management on a case-by-case basis, - The active ingredient "paraquat" is to be phased out of all our operations in 2019, - All workers, permanent or not, involved with pesticides, are trained and equipped adequately and their health is monitored. <p>All pesticide usage has been registered in http://pestisida.id/simpes_app/rekap_kimia_formula.php according to national regulation.</p> <p>To reduce the human and environmental risk, there is some continuous action that has been implemented as follows:</p> <ul style="list-style-type: none"> - Set up the pesticide rotation. For example, chemical weeding rotation is 4 times a year where the rotation in immature and early mature more often than mature and old palm. - Using the ultralow volume nozzle to minimize water consumption and reduce the risk for environment. - Conducted regularly training for pesticide applicators and equipped them with appropriate PPE's. 	<p>Complied</p>
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		<ul style="list-style-type: none"> - Delay the pesticide application if weed or pest is under control. According to the interview with estate manager and sprayers, the pesticide rotation can be delayed or reduced especially in mature or old palm where weeds/pest population are under control. - Minimalize contamination for pesticide applicator and their families by ensuring them to clean themselves before back home. <p>List of pesticide used by the unit of certification is recorded in document "Daftar Pestisida yang Digunakan di Perkebunan PT Socfin Indonesia", as follows:</p> <table border="1"> <thead> <tr> <th>Pesticide & Active Ingredient</th> <th>Registration & Expiry date</th> <th>Target pest/weed</th> <th>Dosage</th> </tr> </thead> <tbody> <tr> <td>Ally 20 WG (Metil metsulfuron 20%)</td> <td>RI.0103011988837 4 February 2024</td> <td><i>Stenochlora palustris</i>, <i>Dicrenopteris linearis</i></td> <td>12.5 g/Ha</td> </tr> <tr> <td>Amistartop 325 SC (Azoxistrobin 230 g/l, difenocazol 125 g/l)</td> <td>RI.01020120052228 28 August 2024</td> <td><i>Curvularia maculans</i>, <i>Pestalotiopsis</i></td> <td>0.84-1.04 l/Ha (concentration 0.1%)</td> </tr> <tr> <td>Basta (Amonium Glufosinat 150 g/l)</td> <td>RI.01030119921113 12 November 2023</td> <td>Wide leaf weeds</td> <td>0.4% concentrate</td> </tr> <tr> <td>Becano (Indaziflam 500 g/l)</td> <td>RI.01030120124279 14 January 2027 2022</td> <td>Narrow leaf weeds</td> <td></td> </tr> <tr> <td>Bimaron 80 WP (Diuron 80%)</td> <td>RI.01030119931078 5 August 2024</td> <td>Narrow and wide leaf weeds</td> <td>90 g/Ha</td> </tr> <tr> <td>Biothione 200 EC</td> <td>RI.01010120062344 31 January 2025</td> <td>Caterpillar</td> <td>1-2 ml/palm</td> </tr> </tbody> </table>	Pesticide & Active Ingredient	Registration & Expiry date	Target pest/weed	Dosage	Ally 20 WG (Metil metsulfuron 20%)	RI.0103011988837 4 February 2024	<i>Stenochlora palustris</i> , <i>Dicrenopteris linearis</i>	12.5 g/Ha	Amistartop 325 SC (Azoxistrobin 230 g/l, difenocazol 125 g/l)	RI.01020120052228 28 August 2024	<i>Curvularia maculans</i> , <i>Pestalotiopsis</i>	0.84-1.04 l/Ha (concentration 0.1%)	Basta (Amonium Glufosinat 150 g/l)	RI.01030119921113 12 November 2023	Wide leaf weeds	0.4% concentrate	Becano (Indaziflam 500 g/l)	RI.01030120124279 14 January 2027 2022	Narrow leaf weeds		Bimaron 80 WP (Diuron 80%)	RI.01030119931078 5 August 2024	Narrow and wide leaf weeds	90 g/Ha	Biothione 200 EC	RI.01010120062344 31 January 2025	Caterpillar	1-2 ml/palm	
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		(Triazophos 200 g/l)				
		Broconil 75 WP (Chlorotaolinil 75%)	RI. 01020120062347 12 March 2025	<i>Curvularia sp.</i>	2kg/Ha	
		Cides (Sipermethrin 50g/L)	RI.06090120031872 6 October 2027	<i>Denue Aedes aygepti</i>		
		Sipertop (Sipermethrin 200%)	RI.01010120124352 8 July 2027	<i>Oryctes rhinoceros</i>	75 cc/Ha	
		Dacomin 865 SL (2,4 Dimetil Amina 865 g/l)	RI.01030120042062 5 August 2024	<i>Ageratum conyzoides, Boreria alata</i>	0.1-0.25 l/Ha	
		Decis 25 EC (Deltametrin 25 g/l)	RI.0101011979387 6 October 2027	<i>Setotosea asigna</i>	0.25-0.3 l/Ha	
		Dithane (Mankozeb 80%)	RI.010201197459 22 February 2026	Fungicide	0.3 g/Ha	
		Garlon 670 EC (Trichlophyr butoxy ethyl-ester 670 g/l)	Ri.01030120155148 16 April 2028	Wood	0.3-0.6 l/Ha	
7.2.2	<p>(C) Records of pesticides use (including active ingredients used and their LD50, area treated, amount of active ingredients applied per Ha and number of applications) are provided.</p> <p>- Critical (Major) compliance -</p>	<p>Unit of certification has showed the record of LD50 calculation in each unit based on amount of pesticide used. For example, Lae Butar Estate has calculated the LD50 of each pesticide in a monthly basis. For example, toxicity of pesticide for period January to September 2023 for:</p>				Complied

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		<ul style="list-style-type: none"> - Ally 20 WG; Methyl Metsulfuron 20% was LD50: 5000 mg/kg; pesticide use: 239.72 kg; active ingredients use: 47.94 kg; application area: 4,262.10 Ha; toxicity: 0.011 kg/Ha. - Basta 150 SL; Glufosinate-ammonium; LD50: 1910 mg/kg; pesticide use: 334.80 L; active ingredients use: 60.26 kg; application area: 191.22 Ha; toxicity: 0.315 kg/Ha. - Broconil 75 WP; Indaziflam 500 g/l; LD50: 2000 mg/kg; pesticide use: 5.56 L; active ingredients use: 4.17 kg; application area: 3.20 Ha; toxicity: 1.303 kg/Ha. 	
7.2.3	<p>(C) Any use of pesticides is minimised as part of a plan, eliminated where possible, in accordance with IPM plans.</p> <p>- Critical (Major) compliance -</p>	Based on document review and field observation, uses of pesticides are minimized as part of the plan, and in accordance with IPM Plans, there are no pesticide application outside the targeted species and planned intervals. Pesticides are only used to reduce/eliminate existing pest, which has exceeded the economic threshold.	Complied
7.2.4	<p>There is no prophylactic use of pesticides, unless in exceptional circumstances, as identified in Indonesia best practice guidelines.</p> <p>- Minor compliance -</p>	Based on document review and field observation, there is no prophylactic use of pesticides, uses of pesticides are in accordance with IPM Plans, there are no pesticide applications outside the targeted species and planned intervals. Pesticides are only used to reduce/eliminate existing pests, which has exceeded the economic threshold.	Complied
7.2.5	<p>Pesticides that are categorised as World Health Organisation Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions and paraquat, are not to be used, unless in exceptional circumstances, as validated by a due diligence process, or when authorised by government authorities for pest outbreaks. The due diligence refers to:</p> <p>- Minor compliance -</p> <p>7.2.5a Judgment of the threat and verify why this is a major threat.</p>	Based on document review and observation to the warehouse, noted that the unit of certification is not using paraquat and pesticides that are categorized as World Health Organization Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions. PT Socfin Indonesia – Lae Butar is no longer using paraquat dichloride since 2019.	Complied

	7.2.5b Why there is no other alternative which can be used.	There is no use of paraquat and pesticides that are categorized as World Health Organization Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions.	
	7.2.5c Which process was applied to verify why there is no other less hazardous alternative.	There is no use of paraquat and pesticides that are categorized as World Health Organization Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions.	
	7.2.5d Process to limit the negative impacts of the application.	There is no use of paraquat and pesticides that are categorized as World Health Organization Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions.	
	7.2.5e Estimation of the timescale of the application and steps taken to limit application to the specific outbreak.	There is no use of paraquat and pesticides that are categorized as World Health Organization Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions.	
7.2.6	<p>(C) Pesticides are only handled, used or applied by persons who have completed the necessary training and are always applied in accordance with the product label. All precautions attached to the products are properly observed, applied, and understood by workers (see Criterion 3.6). Personnel applying pesticides must show evidence of regular updates on the knowledge about the activity they conduct.</p> <p>- Critical (Major) compliance -</p>	<p>Certificate holder has conducted pesticide handling training regularly. There was a record of safe use pesticide training, facilitate by Estate Manager and field assistant. Training on sprayer related to technical, economic, regulatory aspects, Risk analysis covers risk of intoxication, environmental pollution, etc. Training record such as training material, training evaluation and attendance list were available,e.g.:</p> <ul style="list-style-type: none"> - on 15 February 2023, "Pelatihan Penyemprotan Pestisida", located at Division III, attended by 10 participants. - on 4 April 2023, "Pelatihan Pengendalian Hama Terpadu Ulat Pemakan Daun", located at Division III, attended by 6 participants. - on 8 September 2023, "Pelatihan Penanganan Bahan Kimia Berbahaya", located at Warehouse, attended by 8 participants. 	Complied
7.2.7	<p>(C) Storage of all pesticides in accordance with recognized best practices.</p> <p>- Critical (Major) compliance -</p>	Based on the results of a field visit to the pesticide warehouse of Lae Butar Estate, it was discovered that pesticide storage had been carried out in accordance with existing procedures, that is stored in a special room with good ventilation and lighting, separated by type, equipped	Complied

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		with the hazardous symbol and equipped with MSDS. The company also provides emergency response facilities, such as emergency shower and eye wash.	
7.2.8	All pesticide containers that are disposed of and/or used for other purposes are managed according to applicable regulations and/or instructions on the packaging. - Minor compliance -	Based on results of field visits to the sanitation facility of Division 1 and Division 3 of Lae Butar Estate as well as visits to temporary hazardous waste storage, it is known that used pesticide containers have been managed well in accordance with existing procedures. This was also confirmed based on the results of field visits to Division 1 and 3 employee housing and Division 1 landfill where no used pesticide containers were found scattered or used for other purposes with different characteristics. The use of used pesticide containers (jerry cans) is only for the spraying activities and all of them have been recorded in waste utilization monitoring.	Complied
7.2.9	(C) Aerial spraying of pesticides is prohibited, unless in exceptional circumstances where no other viable alternatives are available. This requires prior government authority approval. All relevant information is provided to affected local communities at least 48 hours prior to application of aerial spraying. - Critical (Major) compliance -	Based on the results of field visits and interviews with management representatives, it is known that the unit of certification has no plans to carry out aerial pesticide applications. This was also confirmed based on the results of field visits to warehouse and workshop areas where no facilities and infrastructure were found for aerial application of pesticides.	Complied
7.2.10	(C) Specific annual medical surveillance for pesticide operators, and documented action to treat related health conditions, is demonstrated. - Critical (Major) compliance -	MCU for 2022 The results of the last special health inspection carried out in November 2022 showed that all pesticide applicators were in the normal category. The total number of workers included in the inspection was 78 people, consisting of fertilizer workers and pesticide applicators. The results of verification of the employee list and interviews with pesticide applicators revealed that they had taken annual health checks and special health checks and received information about the results of these checks. The Medical Check Up (MCU) conducted by PT Anugerah Ibu Pratama Medan.	Complied

		<p>2023 special checkup plan (CHE)</p> <p>There is an agreement for Employee Health Examination between PT Socfin Indonesia and PT Anugerah Ibu Pratama Medan No.PD-GM/X/158/2023 dated 4 May 2023 for annual health examinations and special health examinations (CHE). The annual Health Examination is carried out in two stages, which is on 19 – 20 June 2023 with resulting all workers are fit, some with conditions. A special Health Examination (CHE) will be carried out in November 2023.</p>	
7.2.11	<p>(C) No pesticide-related work is carried out by pregnant or breastfeeding women, or people with medical limitations and they are offered other equivalent work alternatives.</p> <p>- Critical (Major) compliance -</p>	<p>Based on the results of a document review and interviews with worker representatives, including those in charge of the company clinic, it is known that pesticide applicators should not be used by pregnant and breastfeeding women or people who have medical limitations. Some ways to ensure this include the following:</p> <ul style="list-style-type: none"> • Monitoring menstrual leave. • Carry out annual health checks and special health checks to ensure all workers are in good condition. • Installed warnings about prohibiting pregnant and breastfeeding women from working with chemicals and posted it in strategic places. • Routine dissemination during the morning briefing with explanation that if there are conditions such as pregnancy and breastfeeding, they will be transferred to light tyoe of work. 	Complied
<p>Note For 7.2.11</p> <p>Referring to Act No. 13 of 2003 concerning Manpower, Act No. 35 of 2014 concerning Child Protection, and taking into account the risks of hazards on palm oil plantations and mills on the development and physical, mental and social health of children, the national interpretation mandates that the unit of certifications does not employ people under the age of 18 for pesticide spraying. For this reason, the provisions of young workers under 18 years in indicator 7.2.11 are irrelevant.</p>			
<p>Criteria 7.3: Waste is reduced, recycled, reused and disposed of in an environmentally and socially responsible manner.</p>			

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<p>7.3.1</p>	<p>A waste management plan which includes reduction, recycling, reusing, and disposal based on toxicity and hazardous characteristics, is documented and implemented in accordance with applicable laws and regulations.</p> <p>- Minor compliance -</p>	<p>The unit of certification has a procedure with Document No. SOC/DP/4.11-04 regarding handling domestic waste which explains several things as follows:</p> <ul style="list-style-type: none"> • Identification of domestic waste: Organic: Leaves, Paper, Vegetable waste, Food waste, etc. Inorganic: Plastic, glass, foam, cans, etc. • Handling of domestic waste in workers' housing: Management of organic waste in the hole behind the house. Once the hole is 1/3 full, fill it with soil. Inorganic waste is collected in sacks and disposed into the Landfill (Final Disposal Site) <p>From the results of the field visit to the Division 1 housing complex, traces of plastic waste were still found in the ditch behind the housing complex, traces of burning leaf litter and twigs in the trash hole behind the house, as well as inorganic waste thrown into the trash hole behind the house.</p> <p>Thus, it can be concluded that the unit of certification has not been able to show sufficient evidence that it has managed domestic waste in accordance with the management plan and procedures.</p> <p><i>Minor Non-conformity:</i></p> <p>Based on field visits to employee housing complex:</p> <ul style="list-style-type: none"> - Inorganic waste was found in the ditch and in the hole behind the housing complex. - Found a TPA (landfill) behind the housing at a distance of <50 m, whereas in PP 81 of 2012 it is regulated that the distance of the landfill from the residential area is at least 1 km. 	<p>Non-compliance (2400307-202310-N1)</p>
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<p>7.3.2</p>	<p>Proper disposal of waste material, according to procedures that are fully understood by workers and managers, is demonstrated.</p> <p>- Minor compliance -</p>	<p>The unit of certification has a procedure with Document No.: SOC/DP/4.11-04 regarding handling domestic waste which explains several things as follows:</p> <ul style="list-style-type: none"> • Identification of domestic waste Organic: Leaves, Paper, Vegetable waste, Food waste, etc Inorganic: Plastic, glass, foam, cans, etc • Handling domestic waste in workers' housing: Management of organic waste in the hole behind the house. Once the hole is 1/3 full, fill it with soil. Inorganic waste is collected in sacks and thrown into the Landfill (Final Disposal Site) <p>The results of interviews with housing residents and estate managers revealed that they understand waste management procedures. Implementation of procedural understanding has been explained in indicator 7.3.1.</p> <p>Based on field visit at landfill, non-degradable waste material is disposed in the pit. There is no hazardous waste found at the landfill.</p>	<p>Complied</p>
<p>7.3.3</p>	<p>The unit of certification does not use open fire for waste disposal.</p> <p>- Minor compliance -</p>	<p>Based on the results of the field visit and interview workers and housing residents, it was discovered that there was no use of fire for managing domestic waste and hazardous waste. The company has Landfill for domestic waste disposal. No fire use founded for waste disposal.</p> <p>PT Socfin Indonesia – Lae Butar has established the company policy related to “Kebijakan Non-Deforestasi, Pengelolaan Gambut dan Lingkungan Hidup”, the policy was signed by Principal Director in October 2021. In section “Pembakaran” – Burning, mentioned “conducted the socialization does not use open fire for waste disposal”.</p>	<p>Complied</p>

Criteria 7.4: Practices maintain soil fertility at, or where possible improve soil fertility to, a level that ensures optimal and sustained yield.			
7.4.1	<p>Good agricultural practices, as contained in SOPs, are followed to manage soil fertility to optimise yield and minimise environmental impacts is documented.</p> <p>- Minor compliance -</p>	<p>The unit of certification has established mechanism for Fertilizing (SOC-KB/IK/01), Leaf Analysis (SOC/PSM/7.10-14) and Soil Analysis (SOC/PSM/7.10-15). These mechanisms are followed to manage soil fertility to optimise yield and minimise environmental impacts.</p> <p>According to the latest best management practices procedure, the unit of certification using chemical fertilizer and organic fertilizer such as empty fruit bunch, bunch ash and solid to increasing soil fertility. All record of fertilizer application recorded in document" Booklet <i>Pemupukar</i>".</p>	Complied
7.4.2	<p>Analysis of tissue samples (e.g. leaves) and soil on a regular basis to monitor and manage changes in soil fertility and plant health is documented.</p> <p>- Minor compliance -</p>	<p>Based on the procedure of Leaf Analysis (SOC/PSM/7.10-14) and Soil Analysis (SOC/PSM/7.10-15) The unit of certification has conducted analysis of tissue samples (leaves) annually to monitor and manage change in soil fertility and plant health. Soil analysis conducted in 10-12 years intervals on Soil Sampling Unit (SSU), an area which is pointed as fertility indicator block. Leaf and soil analysis conducted by internal Socfin Research Station – Bangun Bandar Analytical Laboratory.</p> <p>Latest Leaf Analysis report of Lae Butar Estate was evident based on Leaf Analysis Result Reference No. L2023-1695/LAB-SPPL/V/2023 dated 21 September 2023; analyze date 22 May 2023, consist of 32 samples. Sample seen Lab code L2023-1695-6154; user code LB010312021; Analysis Result: 2.55% N; 0.17% P; 1.18% K; 0.23% Mg; 1.01% Ca; 0.84 ppm Cl; 13.99 ppm B.</p> <p>Latest Soil analysis report in Lae Butar Estate was evident based on Soil Analysis Result Reference No. S15-013/LAB-SPPL/IV/2015 dated 26 April 2015; analyze date 3 April 2015, consist of 62 samples.</p>	Complied

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7.4.3	<p>A nutrient recycling strategy is in place, which include the recycling of Empty Fruit Bunches (EFB), Palm Oil Mill Effluent (POME), palm residues and optimal use of inorganic fertilisers.</p> <p>- Minor compliance -</p>	<p>Lae Butar Estate applied Empty Fruit Bunch (EFB) and bunch ash. Based on the agronomy's SOP, certificate holder has stipulated the EFB dosage on planting age basis as follows:</p> <ul style="list-style-type: none"> - Under 1 year : 10 tons/Ha - 1 – 2 year : 20 tons/Ha - 3 year's up : 45 tons/Ha <p>Record of EFB application in 2023 is available, e.g Block 108 (YOP 2022) Division IV, has been applied EFB with amount 528.99 tons covering area 24.03 Ha, and Block 50 (YOP 1996) Division I, has been applied EFB with amount 841.67 tons covering area 27.76 Ha.</p> <p>Record of solids application in 2023 is available, e.g Block 30 (YOP 2022) Division I, has been applied solids with 420.72 tons covering area 42.07 Ha, and Block 70 (YOP 2012) Division II, has been applied solids with amount 749.72 tons covering area 16.66 Ha.</p>	Complied
7.4.4	<p>Records of fertilizer inputs are maintained.</p> <p>- Minor compliance -</p>	<p>The record of fertilizer input has been maintained by the company in "Booklet Pemupukan Kimia" for year 2023. Based on the document obtain information that Lae Butar Estate has documented the fertilizer input. For example: Block 24 Division III, YOP 2001, cover area 70.96 Ha has been applied with fertilizer Compound 12:12:17:2+TE amount 24,298 kg (dosage 2.50 kg/palms) and Kieserite amount 6,803 kg (dosage 0.70 kg/palms).</p>	Complied
Criteria 7.5: Practices minimise and control erosion and degradation of soils.			
7.5.1	<p>(C) Maps that identify marginal and fragile soils, including steep sloped land are available.</p> <p>- Critical (Major) compliance -</p>	<p>The unit of certification has provided maps of soil types and slopes in scale 1:25,000. These maps are taken from semi detailed soil survey and assessment. The study was carried out by Param Agricultural Soil Surveys (M) Sdn Bhd in April 2005. Based on that study concluded that soil type and characteristic are as follows:</p>	Complied

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		<ul style="list-style-type: none"> - <i>Typic paleudult, Typic Hapludult</i>; deep, well drained sandy clay, sandy clay to sandy loam soils – 85% of estate hectarage. Low to moderate fertility status. Management practices needed: good fertilizer program. - <i>Aquic paleudult</i>; deep, imperfectly drained soil. Textures sandy clay, sandy clay to sandy loam, low to medium fertility status, occasional flooding – 2.9% of estate hectarage. Management practices needed: good fertilizer program and flood mitigation. - <i>Typic paleaquult, Typic Endoaquept</i>; deep, poorly drained soil. Textured sandy clay, sandy clay loam and organic material. Flooding and poor drainage. Low to moderate fertility status – 10.9% of estate. Management practices needed: Drainage and flood mitigation. Good fertilizer program. <p>Based on that assessment report, there is no marginal and fragile soils, including peat within Lae Butar Estate.</p> <p>In regard to slope, based on summary report of the study concluded: <i>“the terrain in Lae Butar Oil Palm Estate is level, undulating, rolling, hilly to somewhat steep. Particular attention should be given to drainage channels and roads so that quick remedial action can be taken. On the steep slopes planting should be on terraces”</i>.</p> <p>Through the field visit in Block 99, 88 and 87 Division IV planting year 2023 on hilly area observed that the company has provided terraces contour, planting legume cover crop and EFB application to reduce erosion.</p>	
7.5.2	<p>The replanting of palm oil is not conducted extensively on steep terrain in accordance with applicable regulations.</p> <p>- Minor compliance -</p>	<p>Based on soil study that was carried out by Param Agricultural Soil Surveys (M) Sdn Bhd in April 2005, concluded: <i>“the terrain in Lae Butar Oil Palm Estate is level, undulating, rolling, hilly to somewhat steep. Particular attention should be given to drainage channels and roads so that quick remedial action can be taken. On the steep slopes planting should be on terraces”</i>. Based on field observation to the replanting</p>	Complied

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		area that carried out in hilly area, observed that the unit of certification has provided terraces contour, planting legume cover crop and EFB application according to regulation and recommendation from soil study report.	
7.5.3	New palm oil planting is not conducted on steep terrain in accordance with applicable regulations. - Minor compliance -	There is no new palm oil planting carried out by the unit of certification.	Complied
Criteria 7.6: Soil surveys and topographic information are used for site planning in the establishment of new plantings, and the results are incorporated into plans and operations.			
7.6.1	(C) Evidence of long-term land suitability for oil palm cultivation, soil maps or soil surveys that identify marginal and fragile soils, including steep terrain are available, in planning and operations. - Critical (Major) compliance -	Based on soil study that was carried out by Param Agricultural Soil Surveys (M) Sdn Bhd in April 2005, concluded: " <i>the terrain in Lae Butar Oil Palm Estate is level, undulating, rolling, hilly to somewhat steep. Particular attention should be given to drainage channels and roads so that quick remedial action can be taken. On the steep slopes planting should be on terraces</i> ". Based on the soil study report and the soil slope map, there is no area categorized as steep (more than 25°) in Lae Butar Estate. Based on field observation to the replanting area that carried out in hilly area, observed that the unit of certification has provided terraces contour, planting legume cover crop and EFB application according to regulation and recommendation from soil study report.	Complied
7.6.2	Extensive planting on marginal and fragile soils is avoided or, if necessary, carried out according to the best-practice soil management plan. - Minor compliance -	Based on soil study that was carried out by Param Agricultural Soil Surveys (M) Sdn Bhd in April 2005 and field observation, there is no area classified as marginal and fragile soils. Therefore, there is no extensive planting in marginal and fragile soils.	Complied
7.6.3	Soil surveys and topographic information guide the planning of drainage and irrigation systems, roads and other infrastructure. - Minor compliance -	The Soil survey carried out by Param Agricultural Soil Surveys (M) Sdn Bhd in April 2005 has taken into consideration in guide the planning of drainage and irrigation systems, roads and other infrastructure.	Complied

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		<p>Based on the field visits, concluded that the company has made several efforts referring to soil surveys and topographic information, such as:</p> <ul style="list-style-type: none"> - Terraces contour. - Planting legume covers crops. - Frond placement. - Maintain <i>Neprolephis bisserata</i> to reduce erosion in mature area. - Road, bridge and ditch constructions. 	
Criteria 7.7: No new planting on peat, regardless of depth after 15 November 2018 and all peatlands are managed responsibly.			
7.7.1	<p>(C) No new planting on peatlands, regardless of depth, after 15 November 2018, in existing plantation areas, as well as in new development areas.</p> <p>- Critical (Major) compliance -</p>	Based on soil study that was carried out by Param Agricultural Soil Surveys (M) Sdn Bhd in April 2005 and field observation, there is no area classified as peat.	Complied
7.7.2	<p>Peat areas within the managed area are inventoried, documented and reported to the RSPO Secretariat (effective from November 15, 2018).</p> <p>- Minor compliance -</p>	Based on soil study that was carried out by Param Agricultural Soil Surveys (M) Sdn Bhd in April 2005 and field observation, there is no area classified as peat.	Not Applicable
PROCEDURAL NOTE: Maps and other documentation for peatlands are provided, prepared and shared according to the RSPO Working Group (Peatland Working Group / PLWG) audit guide (See Procedural Notes for Indicator 7.7.5 below).			
7.7.3	<p>(C) Subsidence of peat is monitored, documented and minimised.</p> <p>- Critical (Major) compliance -</p>	Based on soil study that was carried out by Param Agricultural Soil Surveys (M) Sdn Bhd in April 2005 and field observation, there is no area classified as peat.	Not Applicable
7.7.4	<p>(C) Availability of implementation evidence of the water and land cover management program.</p> <p>- Critical (Major) compliance -</p>	Based on soil study that was carried out by Param Agricultural Soil Surveys (M) Sdn Bhd in April 2005 and field observation, there is no area classified as peat.	Not Applicable

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7.7.5	<p>(C) Drainability assessments are conducted for plantations planted on peat following the RSPO Drainability Assessment Procedure, or other method recognized by RSPO, (at least five years or in accordance with the provisions of the RSPO Drainability Assessment Procedure) before replanting. The results of the assessment are used to determine the period of replanting to be carried out, as well as to gradually replace oil palm cultivation for at least 40 years or two cycles, (whichever is longer), before reaching the natural gravitational drainage limit for peat. If oil palm is gradually replaced, it is replaced by other commodity crops that are better suited for higher groundwater levels (paludiculture) or rehabilitated with natural vegetation.</p> <p>- Critical (Major) compliance -</p>	Based on soil study that was carried out by Param Agricultural Soil Surveys (M) Sdn Bhd in April 2005 and field observation, there is no area classified as peat.	Not Applicable
<p>PROCEDURAL NOTE: For 7.7.5: Detailed information on the RSPO Drainability Assessment Guide along with related concepts and detailed actions is contained in the Guidelines currently being adjusted / tested by the RSPO Working Group on Peatlands (Peatland Working Group / PLWG). The final version must obtain PLWG approval in January 2019 and will include additional Guide on the steps to be followed after deciding not to replant and the consequences for other stakeholders, farmers, local communities, and the unit of certification concerned. It is recommended that the trial methodology period is proposed to be extended for 12 months for all relevant management units (ie management units that have plantations on peat) to utilize the methodology and provide input to PLWG so that existing procedures can be further refined as needed before January 2020. The unit of certification has the option to delay replanting until the issuance of the revised Guidelines for the guidelines. Additional guidance for alternative commodity crops and rehabilitation of natural vegetation will be regulated by the PLWG.</p>			
7.7.6	<p>(C) All existing plantations on peat are managed according to applicable laws and/or "RSPO Guidelines for Best Management Practices (BMP) for Oil Palm Cultivation that are already on Peatlands", version 3 (June 2019) along with related audit guidelines (May 2019).</p> <p>- Critical (Major) compliance -</p>	Based on soil study that was carried out by Param Agricultural Soil Surveys (M) Sdn Bhd in April 2005 and field observation, there is no area classified as peat.	Not Applicable
7.7.7	<p>(C) All peat areas not planted and reserved in managed areas (regardless of depth) are protected as 'peatland conservation areas'; unit of certification are prohibited from constructing drainage channels, building roads and new electricity lines on peatlands; unless if it is for a non-corporate land clearance. Peatlands are managed in accordance with</p>	Based on soil study that was carried out by Param Agricultural Soil Surveys (M) Sdn Bhd in April 2005 and field observation, there is no area classified as peat.	Not Applicable

	<p>'RSPO Best Management Practices for the Management and Rehabilitation of Natural Vegetation related to Oil Palm Cultivation that already exists in Peatlands' (the latest version) along with relevant audit guidelines.</p> <p>- Critical (Major) compliance -</p>		
<p>Criteria 7.8: Practices maintain the quality and availability of surface and groundwater.</p>			
<p>7.8.1</p>	<p>A water management plan is available and is implemented to support efficient use of water sources and continuous availability and avoid negative impacts on other users in the catchment. The plan referred to contains the following matters:</p> <p>- Minor compliance -</p> <p>7.8.1a The unit of certification does not limit access to clean water or does not pollute the water used by the community.</p>	<p>Based on the results of the document review, it is known that the management plan for water bodies includes river border management. The plan is reviewed and updated annually. The management plan for year 2022/2023 including its implementation are as follows:</p> <p>Management of riparian areas in general:</p> <ul style="list-style-type: none"> • Re-measuring border areas: Riparian areas have been delineated and boundaries have been given markings. • Installation of HCV stakes (riparian areas): there are a total of 522 stakes for marking HCV areas in good condition (Division 1: 88 stakes, Division 2: 185 stakes, Division 3: 60 stakes, Division 4: 66 stakes, Division 5: 123 stakes. At the time of this assessment, Division 4 and Division 5 were merged into one division. • Maintenance of boundary marks. • No agrochemical applications. • Dismantle oil palm plants in riparian areas: No replanting will be carried out in HCV areas. <p>Water quality protection area:</p> <ul style="list-style-type: none"> • Pesticide and fertilizer applicator's understanding of application limits in HCV areas. • River water quality analysis in the HCV area: Carried out at 10 sampling points referring to PP 82 of 2001 with the results that all parameters still meet quality standards. 	<p>Complied</p>

		<p>Vegetation enrichment of border areas with woody plants</p> <ul style="list-style-type: none"> • Number and Types of Woody Plants: A total of 3,260 trees have been planted, including <i>Pulai</i>, <i>Senggani</i>, <i>Durian</i>, <i>Waru</i> and <i>Karet</i>. • Density level: As of December 2022, 55 trees/ha with HCV area covers 59.05 ha. • Erosion prevention: making gabions and planting bamboo plants in areas prone to erosion. <p>River Water Quality Test Results:</p> <p>The unit of certification shows the results of river water quality tests carried out by the PT Socfin Indonesia laboratory (accredited by KAN with number LP-905-IDN) in March 2023 with test parameters including TSS, Pb, COD, BOD and Total Coliform. The test results show that all parameters are still below the quality standard limits. Rivers water quality which was tested include:</p> <ul style="list-style-type: none"> - Kerakah River: Block 24 and Block 54. - Lae Butar River: Block 13, Block 19, Block 48 and Block 51. - Delibar River: Block 117 and Block 114. - Sianturi River: Block 67 and Block 69. 	
	7.8.1b Workers have adequate access to clean water.	Based on the results of field visits and interviews with residents of Division 1 and 3 housing, it is known that there are no complaints regarding the availability of clean water. The need for clean water can be met properly because each house is provided with a drilled well to meet the need for clean water.	
7.8.2	(C) Water courses and wetlands are protected, including the maintenance and restoration of riparian zones and other buffer zones during or before replanting, in accordance with the "RSPO Manual on BMPs for the	Based on the results of the field visit, it was discovered that the riparian area which had previously been planted with oil palm, was left and not felled during replanting, and the width of the border is	Complied

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	<p>management and rehabilitation of riparian reserves" (April 2017) or Simplified Guide Management and Rehabilitation of Riparian Reserves (2018).</p> <p>- Critical (Major) compliance -</p>	<p>following the results of the HCV study by Aksenta. Several other efforts in the water course protection program include enriching the vegetation of riparian areas with woody plants. A total of 3,260 trees have been planted, including <i>Pulai, Senggani, Durian, Waru</i> and <i>Karet</i>. As of December 2022, the density level is 55 trees/ha with HCV area covers 59.05 ha.</p>	
<p>7.8.3</p>	<p>Mill effluent is managed according to applicable regulations. The quality of mill effluent discharged, especially BOD (Biochemical Oxygen Demand) is monitored in accordance with applicable regulations.</p> <p>- Minor compliance -</p>	<p>The unit of certification has a Permit to Discharge Wastewater into Water Bodies in accordance with the Decree of Investment and One-Stop Integrated Services Service of Aceh Singkil Regency No. 660/87/2021 valid for five years. One of the obligations in this permit is to periodically test the water quality of the Lae Cinendang River every 6 months and carry out wastewater testing.</p> <p>The test results as regulated in the regulations can be shown as follows:</p> <ul style="list-style-type: none"> • Analysis report No.04095/CLACAQ dated 22 May 2023 by SUCOFINDO with test parameters PH, N-Total, Total Suspended Solids, Oil and Fat, COD, and BOD with results still below the quality standards as stated in PermeLH No. 05 of 2014. • Analysis report No. 01566/CLAQAQ dated 24 February 2023 for the Lae Cinendang and Lae Butar Hulu Rivers and No. 01567/CLAQAQ carried out by the Sucofindo laboratory. Parameters tested include physics, chemistry and microbiology, BOD, COD, Ph, dissolved solids, and others. The test results show that the test parameters are still below the quality standard limits. <p>The unit of certification also has a SPARING (Continuous and Networked Water Quality Monitoring System) installation as recommended by the Environmental Service. Based on the results of interviews with representatives of the Aceh Tamiang Regency</p>	<p>Complied</p>

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		<p>Environmental Service, to date PT Socfindo Lae Butar is still the only company that has complied with this appeal. Apart from that, there are no problems or issues related to river pollution.</p>																																									
<p>7.8.4</p>	<p>Mill water use per tonne of FFB is monitored and recorded. - Minor compliance -</p>	<p>PT Socfin Indonesia – Lae Butar POM’s water use:</p> <table border="1" data-bbox="1131 518 1951 997"> <thead> <tr> <th>Month</th> <th>FFB Processed (Ton)</th> <th>Water Use (M³)</th> <th>Water /Ton FFB</th> </tr> </thead> <tbody> <tr> <td>Jan</td> <td>7,684.85</td> <td>2,275</td> <td>0.29</td> </tr> <tr> <td>Feb</td> <td>8,446.05</td> <td>2,406</td> <td>0.28</td> </tr> <tr> <td>Mar</td> <td>10,154.95</td> <td>3,531</td> <td>0.34</td> </tr> <tr> <td>Apr</td> <td>9,333.55</td> <td>2,898</td> <td>0.31</td> </tr> <tr> <td>May</td> <td>10,001.16</td> <td>5,413</td> <td>0.54</td> </tr> <tr> <td>Jun</td> <td>9,252.72</td> <td>3,886</td> <td>0.41</td> </tr> <tr> <td>Jul</td> <td>8,701.18</td> <td>2,815</td> <td>0.32</td> </tr> <tr> <td>Aug</td> <td>8,929.26</td> <td>3,279</td> <td>0.36</td> </tr> <tr> <td>Sep</td> <td>6,797.94</td> <td>2,057</td> <td>0.30</td> </tr> </tbody> </table> <p>The company has a Water Resources Utilization Permit for FFB processing and housing purposes in accordance with the Decree of the Minister of Public Works and Public Housing No. 384/KPTS/M/2019 concerning the granting of a Water Resources Business Permit for PT Socfindo Sungai Lae Cinendang, Aceh Singkil Regency dated 25 April 2019 is valid for five years. The permit states that the permitted water discharge quota is 12 liters/second with a collection schedule of 15 hours/day. 30 days/month. Other obligations stipulated in the permit include reporting the use of water discharge every 3 months and carrying out regular calibration once a year of volumetric measuring instruments accompanied by proof of reports or calibration certificates</p>	Month	FFB Processed (Ton)	Water Use (M ³)	Water /Ton FFB	Jan	7,684.85	2,275	0.29	Feb	8,446.05	2,406	0.28	Mar	10,154.95	3,531	0.34	Apr	9,333.55	2,898	0.31	May	10,001.16	5,413	0.54	Jun	9,252.72	3,886	0.41	Jul	8,701.18	2,815	0.32	Aug	8,929.26	3,279	0.36	Sep	6,797.94	2,057	0.30	<p>OFI</p>
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		<p>from certified institutions so that measurement accuracy is guaranteed.</p> <p><i>Opportunity for Improvement:</i></p> <p>From the results of the field visit and document review, it is known that the volumetric measuring instrument was only replaced on 10 May 2023 so that when ASA-1.3 was implemented it had not yet reached one year. In this regard, companies have the opportunity to carry out active communication with parties regarding calibration obligations and the availability of implementing agencies.</p>	
Criteria 7.9: Efficiency of fossil fuel use and the use of renewable energy is optimised			
7.9.1	<p>Plans to increase the efficiency of fossil fuel use and to optimize renewable energy are available, monitored and documented.</p> <p>- Minor compliance -</p>	<p>The Unit of Certification has made efforts to increase the efficiency of fossil fuels used and optimize renewable energy. These efforts are also monitored and documented in the form of a Biofuel Conversion to Diesel document at Lae Butar POM for the period 2023. Biofuel is solid waste in the form of shells and fibers used for substitution of fossil fuels (diesel) as a producer of electrical energy using boilers. The recapitulation of solid waste utilization for the period January - September 2023 shows that from the 79,301.66 tons of FFB processed, it can produce biofuel in the form of 4,363.98 tons of shells and 9,918.20 tons of fiber, all of which is used for the combustion process in boilers or the equivalent of 18% of total FFB processed.</p> <p>Based on data analysis of diesel use for FFB processing, information was obtained showing that the use of Biofuel and Biogas can reduce diesel use by 99%. The results of interviews with the company stated that this efficiency is very useful because it can reduce diesel consumption. Currently fossil fuel is only needed to turn on the generator as an initial electricity generator. This energy efficiency is also applied to all company operational activities by minimizing fuel use by contract workers, including all machine and transportation</p>	Complied

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		operations. Total diesel utilization for 2022 is 8,016 liters/year. At palm GHG data can also see a decrease in fuel use, where in the 2022 period, fuel consumption was 698.98 tCO ₂ e, while in 2021 it was 776.76 tCO ₂ e.	
Criteria 7.10: Plans to reduce pollution and emissions, including greenhouse gases (GHG), are developed, implemented and monitored and new developments are designed to minimise GHG emissions.			
7.10.1	<p>(C) GHG emissions for the unit of certification are identified and assessed. Plans to reduce or minimize GHG emissions are implemented, monitored through the PalmGHG calculator, and reported publicly.</p> <p>- Critical (Major) compliance -</p>	The Unit of Certification has carried out an inventory of GHG, available in the Greenhouse Gas Emission Mitigation Program document for the period 2022. Based on document review, it can be concluded that the company has identified the source of GHG produced by Lae Butar POM and its supply bases. Identification of significant sources of GHG emissions identified and mitigation plans developed by the company covering mill and plantations. Significant GHG emissions include changes in land use, use of fertilizers and pesticides, use of fossil fuels for operations and transportation. The mitigation plan includes the correct dosage and application of fertilizer as recommended, reducing reuse and recycling measures, limiting electricity use, transportation and machine maintenance, as well as regular air quality testing. The company has also reported the results of GHG calculations to the public which were submitted to the RSPO GHG website.	Complied
7.10.2	<p>(C) Since 2014, an estimate of carbon stocks in the proposed development area has been carried out along with potential sources of emissions that can occur directly as a result of the development and plans to minimize these emissions are prepared and implemented (following the RSPO GHG Assessment Procedure for New Development).</p> <p>- Critical (Major) compliance -</p>	The Unit of Certification did not carry out any new developments after 2014. However, the company has carried out GHG management by carrying out an inventory of emission sources. PT Socfin Indonesia – Lae Butar can show documents identifying activities that produce emissions for the 2022 period for mill and estate. This is done to estimate carbon stocks in the management area along with potential sources of emissions that could occur directly as a result of this management and plans to minimize these emissions are prepared and implemented. The plan made by the unit of certification has determined what actions will be taken to reduce GHG emissions, for	Complied

		<p>example adopting low emission management practices for POM such as efficient boilers and others. Likewise for the plantation sector, such as optimal use of fertilizer, energy efficient transportation, good water management, compost application and conservation areas. These criteria include plantations, POM activities, roads, and other infrastructure (including channels and access roads and outer boundaries).</p> <p>The GHG emission reduction mitigation plan developed by the company is the use of renewable fuel in the form of shell and fiber as a substitute for diesel, carrying out regular maintenance on operational equipment. Companies can show records of GHG mitigation for Estate and Mill units, for example using fertilizer according to dosage, routine maintenance of operational vehicles, socializing the prohibition on burning waste, implementing efficient use of electricity and integrated pest control to minimize the use of pesticides as well as planting woody plants in river border areas.</p>	
7.10.3	<p>(C) Other significant pollutant identification results are available and plans to reduce or minimize them are implemented and monitored.</p> <p>- Critical (Major) compliance -</p>	<p>The Unit of Certification has identified pollution sources and mitigation plans contained in the Identification and Mitigation and Greenhouse Gas Monitoring documents for the period 2022. The company has also carried out management and monitoring related to the results of the identification and mitigation plans as proven through the RKL-RPL document for 1st Semester of 2023. Based on document verification, it shows that in managing air pollution, PT Socfin Indonesia – Lae Butar has carried out air emission testing on ambient air boilers. The test was carried out by a KAN accredited laboratory (Sucofindo) on 6 July 2023. Based on the analysis of the test results, it can be concluded that there are no values that are above the applicable quality standards, that stated on Minister of Environment Regulation No. 7 of 2007 for Boilers and Indonesian Governemnt Regulation (PP) No. 22 of 2021 for air ambient.</p>	Complied

Criteria 7.11: Fire is not used for preparing land and is prevented in the managed area.			
7.11.1	<p>(C) Land for new planting or replanting is not prepared by burning.</p> <p>- Critical (Major) compliance -</p>	<p>PT Socfin Indonesia - Lae Butar has a policy regarding zero burning which is stated in its Sustainability Policy. The document explains compliance with implementing land and plantation fire control in accordance with the laws and regulations set by the Indonesian Government for companies, including implementing the Zero Burning Land Preparation policy. The sustainability policy also regulates environmental policies and biodiversity conservation. The document states that the use of fire for land clearing or any other purpose within the operational area is strictly prohibited.</p> <p>The results of a field visit to the replanting area (YOP 2023) in Division 4 Block 99, 88 and 87 revealed that the replanting activities were carried out by the plantation without using fire, so that this policy has been implemented. There are no traces of fire being used in the replanting area.</p>	Complied
7.11.2	<p>The unit of certification establishes fire prevention and control measures for lands that are directly managed by the unit of certification.</p> <p>- Minor compliance -</p>	<p>PT Socfin Indonesia - Lae Butar has emergency response facilities and infrastructure (natural disasters and fires) as indicated by:</p> <ul style="list-style-type: none"> • Emergency response systems and organizations. • Facilities for handling land fires which refer to Minister of Agriculture Regulation No. 5 of 2018. • Emergency response warnings such as evacuation routes and visitor line. • First aid box and first aid bag equipped with contents according to regulations and checked monthly. <p>Based on the results of fire handling simulations at the Lae Butar POM and Lae Butar Estate, it is known that the fire handling facilities and infrastructure are in good condition and ready to be used. Fire fighting officers can also explain their respective duties and roles well.</p>	Complied

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7.11.3	<p>The unit of certification engages stakeholders in adjacent locations for fire prevention and control measures.</p> <p>- Minor compliance -</p>	<p>PT Socfin Indonesia - Lae Butar showed the Minutes of Land and Forest Fire Fighting training and simulation on 10 March 2023. The training was attended by representatives from surrounding villages and contractors.</p> <p>The company also has a firefighting team at estate and POM. In managing fires, the company monitors fire hotspots with a radius of 5 km from the company area so that it can cover the surrounding villages. Based on the Fire Management report for period 1st Semester 2023, it shows that there have been no fire incidents in the last 1 year. The company then aids and outreach regarding the prohibition of land burning activities to minimize the risk of larger fires. The company also carries out fire monitoring in collaboration with the community.</p>	Complied
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Criteria 7.12: Land clearing does not cause deforestation or damage any area required to protect or enhance High Conservation Values (HCVs) or High Carbon Stock (HCS) forest. HCVs and HCS forests in the managed area are identified and protected or enhanced.

PROCEDURAL NOTE for 7.12:

The RSPO Principles and Criteria 2018 include new requirements to ensure the effective contribution of the RSPO in stopping deforestation. This will be achieved by incorporating the High Carbon Stock Approach (HCSA) Approach Guide into the revised standard.

The RSPO ToC also encourages RSPO to commit to balancing between sustainable livelihoods and reducing poverty with the need to conserve, protect and improve the quality of ecosystems.

High Forest Cover Countries (HFCC) are in dire need of economic opportunities that can help people choose their own path in carrying out development, while at the same time providing social and economic benefits and safeguards.

Procedures will be developed that are adapted to support the development of sustainable palm oil by indigenous peoples and local communities who have legal or customary rights. The procedure will apply in certain HFCC countries and in the High Forest Cover Landscape (HFCL) within it.

The development of this procedure will be guided by the No Deforestation Joint Steering Group (NDJSG) between the RSPO and HCSA members. In HFCC countries, RSPO will work with governments, communities and other stakeholders to develop this procedure through participatory processes at national and regional levels. The duration of this activity is specified in the Terms of Reference for NDJSG and is publicly available.

7.12.1	<p>(C) Land clearing since November 2005 has not damaged primary forest or any area required to protect or enhance HCVs. Land clearing since 15 November 2018 has not damaged HCV or HCS forests.</p> <p>Historical analysis of Land Use Change Analysis (LUCA) is carried out before any new land clearing, in accordance with the RSPO LUCA Guidance document (see indicator 7.12.2).</p> <p>- Critical (Major) compliance -</p>	<p>As of this assessment, there has been no additional operational area. PT Socfin Indonesia - Lae Butar has been operating since 1919 so there has been no land clearing after November 2005 or new openings since 15 November 2018. The company has submitted disclosure of liability documents since 2014.</p>	Complied
7.12.2	<p>(C) HCV and HCS forests, and other conservation areas are identified as follows:</p> <p>- Critical (Major) compliance -</p> <p>7.12.2a) For existing plantations, with an HCV assessment conducted by RSPO- approved assessors and have no new land clearing after 15 November 2018, the existing HCV assessments remains valid.</p> <p>7.12.2 b) Any new land clearing (in existing plantations or new plantings) after 15 November 2018 is preceded by an HCV-HCS assessment, using the latest HCSA Toolkit and HCV-HCSA Assessment Manual that is applicable at the time of the assessment. This includes stakeholder consultation and take into account wider landscape- level consideration.</p>	<p>The Unit of Certification has been operating since 1919, so there was no land clearing after 15 November 2018. However, the HCV assessment was carried out in 2013 by an independent consultant (Aksenta) and the final report was available in February 2014. The HCV assessor has been registered on the RSPO approved HCV assessor list. Based on this assessment, the HCV identified is a riparian area with a total of 90.1 Ha.</p> <p>In January 2020, a re-delineation was carried out for identification results by Aksenta so that the area of 90.1 Ha became 59.05 for the Delibar River, Kerakah River, Siantun River and Lae Butar River).</p> <p>The Unit of Certification has been operating since 1919, so there was no land clearing after 15 November 2018.</p>	Complied
7.12.3	<p>(C) In High Forest Cover Landscapes (HFCLs) within HFCCs, a specific procedure will apply for legacy cases and development by indigenous peoples and local communities with legal or customary rights, taking into consideration regional and national multi-stakeholder processes. Until this procedure is developed and endorsed, 7.12.2 applies.</p> <p>- Critical (Major) compliance -</p>	<p>Indicator 7.12.3. currently irrelevant to Indonesia, until further decisions from the RSPO.</p>	Not Applicable

<p>PROCEDURAL NOTE for 7.12.3: Indicator 7.12.3. is not relevant to Indonesia, until further decisions by the RSPO.</p>		
<p>7.12.4</p>	<p>(C) Where HCVs, HCS forests after 15 November 2018, peatland and other conservation areas have been identified, they are protected and/or enhanced. An Integrated management plans to protect and/or enhance HCV and HCS forests, peatland and other conservation areas are developed, implemented and adapted if necessary, and contains monitoring requirements. The integrated management plan is reviewed at least once every five years. The integrated management plan was developed in consultation with relevant stakeholders and includes the directly managed area and any relevant wider landscape level considerations (where these are identified).</p> <p>- Critical (Major) compliance -</p>	<p>The Unit of Certification union has an HCV area of 59.05 Ha in the form of riparian area. The HCV area management and monitoring program for the period 2022 and 2023 can be demonstrated as follows:</p> <p>General management of HCV areas:</p> <ul style="list-style-type: none"> • Re-measurement of HCV area boundaries: The HCV area has been delineated and area boundaries have been marked. • Installation of HCV stakes: there are a total of 522 stakes for marking HCV areas, there are in good condition (Division 1: 88 units, Division 2: 185 units, Division 3: 60 units, Division 4: 66 units, Division 5: 123 units. At the time of assessment, Division 4 and 5 are merged into one division. • Maintenance of HCV stakes. • No agrochemical applications. • Dismantle oil palm plants in HCV areas: No replanting will be carried out in HCV areas. <p>Protection of HCV areas (River water quality)</p> <ul style="list-style-type: none"> • Pesticide and fertilizer applicator's understanding of application limits in HCV areas. • River water quality analysis in the HCV area: Carried out at 10 sampling points referring to PP 82 of 2001 with the results that all parameters still meet quality standards. <p>Enrichment of vegetation in HCV areas with woody plants</p> <ul style="list-style-type: none"> • Number and types of Woody Plants: A total of 3,260 trees have been planted, including <i>Pulai</i>, <i>Senggani</i>, <i>Durian</i>, <i>Waru</i> and Rubber.
		<p>Complied</p>

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		<ul style="list-style-type: none"> • Density level: As of December 2022, there are 55 trees/ha within HCV area of 59.05 ha. • Erosion prevention: making gabions and planting bamboo plants in areas prone to erosion. <p>Increased understanding of HCV for workers, stakeholders, and related PICs</p> <ul style="list-style-type: none"> • HCV dissemination to workers and stakeholders. • HCV dissemination to PIC/Person in Charge. <p>Develop and Implement Operational Guidelines for HCV Management</p> <ul style="list-style-type: none"> • No chemical application in the HCV area. • Installation and maintenance of warning signs. <p>HCV monitoring</p> <ul style="list-style-type: none"> • Monitoring of HCV areas, animals, rivers and cemeteries. <p>The results of field visits to HCV areas Block 20 (Delibar River), Block 17 (Lae Butar River) and Block 24 (Karakah River) showed that the border and river conditions were in good condition. There are HCV boundary markers, warning boards, and enrichment of riparian areas with woody plants.</p>	
7.12.5	<p>Where rights of local communities have been identified in HCV areas and HCS forest after 15 November 2018, peatland and other conservation areas, there is no reduction of these rights without evidence of a negotiated agreement, obtained through FPIC, encouraging their involvement in the maintenance and management of these conservation areas.</p> <p>- Minor compliance -</p>	<p>The unit of certification has carried out plantation activities since 1919, so that plantation companies existed before the community. There are no local community rights within the HCV area, however the company continues to carry out regular dissemination to surrounding communities based on result of consultations with representatives of surrounding villages. Representatives of surrounding villages also stated that the company existed before the community existed.</p>	Complied

<p>7.12.6</p>	<p>All rare, threatened or endangered (RTE) species are protected, whether or not they are identified in an HCV assessment. A programme to regularly educate the workforce about the status of RTE species is in place. Appropriate disciplinary measures are taken and documented in accordance with company rules and national law if any individual working for the company is found to capture, harm, collect, trade, possess or kill these species.</p> <p>- Minor compliance -</p>	<p>Based on the field visit, it was discovered that the unit of certification has committed to protecting rare, threatened, or endangered (RTE) species.</p> <p>Information regarding the application of sanctions for employees in accordance with national legal provisions is also included on the HCV signboard which explains that "anyone who violates this will be reported to the authorities with the threat of criminal penalties and fines". As a result of interviews with company employees regarding wildlife protection, the company has committed to protecting wildlife within the scope of the company's management area, such as implementing a ban on hunting, killing and keeping wild animals in the company environment. Animal protection procedures also regulate sanctions or fines for those who violate these provisions.</p> <p>Apart from that, the company has also conducted dissemination about the existence of endangered flora and fauna to employees and the surrounding communities as shown in the minutes of dissemination accompanied by photos and attendance lists. HCV dissemination is carried out for employees and the community as described in indicator 7.12.4. Indirect dissemination is also carried out by installing information boards and brochures with warning signs regarding conservation areas and the presence of protected rare plants and animals in places that are easily visible, such as entrances area, frequently crossed road by the communities, and other strategic areas such as offices and public facilities.</p>	<p>Complied</p>
<p>7.12.7</p>	<p>The status of HCVs, HCS forests after 15 November 2018, other natural ecosystems, peatland conservation areas and RTE species is monitored. Outcomes of this monitoring are fed back into the management plan.</p> <p>- Minor compliance -</p>	<p>The Unit of Certification has shown the results of HCV and RTE monitoring conducted in August 2023 with the following information:</p> <p>General management of HCV areas:</p> <ul style="list-style-type: none"> • Re-measurement of HCV area boundaries: The HCV area has been delineated and area boundaries have been marked. 	<p>Complied</p>

		<ul style="list-style-type: none"> • Installation of HCV stakes: there are a total of 522 stakes for marking HCV areas, there are in good condition (Division 1: 88 units, Division 2: 185 units, Division 3: 60 units, Division 4: 66 units, Division 5: 123 units. At the time of assessment, Division 4 and 5 are merged into one division. • Maintenance of HCV stakes. • No agrochemical applications. • Dismantle oil palm plants in HCV areas: No replanting will be carried out in HCV areas. <p>Protection of HCV areas (River water quality)</p> <ul style="list-style-type: none"> • Pesticide and fertilizer applicator's understanding of application limits in HCV areas. • River water quality analysis in the HCV area: Carried out at 10 sampling points referring to PP 82 of 2001 with the results that all parameters still meet quality standards. <p>Enrichment of vegetation in HCV areas with woody plants</p> <ul style="list-style-type: none"> • Number and types of Woody Plants: A total of 3,260 trees have been planted, including <i>Pulai</i>, <i>Senggani</i>, <i>Durian</i>, <i>Waru</i> and Rubber. • Density level: As of December 2022, there are 55 trees/ha within HCV area of 59.05 ha. • Erosion prevention: making gabions and planting bamboo plants in areas prone to erosion. <p>Increased understanding of HCV for workers, stakeholders, and related PICs</p> <ul style="list-style-type: none"> • HCV dissemination to workers and stakeholders. • HCV dissemination to PIC/Person in Charge. 	
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		<p>Develop and Implement Operational Guidelines for HCV Management</p> <ul style="list-style-type: none"> No chemical application in the HVCV area. Installation and maintenance of warning signs. <p>HCV monitoring</p> <ul style="list-style-type: none"> Monitoring of HCV areas, animals, rivers and cemeteries. <p>Fauna Monitoring</p> <p>There were found <i>Prionaiurus planiceps</i>, <i>Halycon smyneris</i>, <i>Varanus salvator</i>, <i>Macaca nemestrina</i>, <i>Phython reticulatus</i>, <i>Trionchidae</i>, <i>Naja sumatrana</i>, <i>Spilornis cheela</i>, <i>Accipter soloensis</i>, <i>Alcedo meninting</i>, <i>Testudines</i>.</p>	
7.12.8	<p>(C) Where there has been land clearing without prior HCV assessment since November 2005, or without prior HCV- HCSA assessment since 15 November 2018, the Remediation and Compensation Procedures (RaCP) applies.</p> <p>- Critical (Major) compliance -</p>	<p>As explained in 7.12.1, it is known that the unit of certification has been operating since 1919 and there was no new planting after 2005 or after November 2018, so the remediation and compensation procedure does not apply to PT Socfin Indonesia - Lae Butar.</p>	Complied

Appendix B: GHG Reporting Executive Summary

The GHG emissions that were produced in **2022** for **PT Socfin Indonesia – Lae Butar POM** and supply base was calculated using the PalmGHG Calculator version 3.0.1. The assessment team had verified the data input in the PalmGHG Calculator against operations records. PalmGHG Calculation Options selected 'Full version' and 'Exclude LUC Emission' calculation option is not applied. The records verified includes:

- i. Estates area planted data
- ii. Fuel consumed
- iii. Mill datas include CPO produced, PKO Produced and FFB Processed
- iv. Fertilizer consumed data for both estates and smallholders.

The summary of the Net GHG emitted in **2022** for **PT Socfin Indonesia – Lae Butar POM** and supply base are as following:

Emission per product	tCO ₂ e/tProduct
CPO	1.06
PKO	0.00

Extraction	%
OER	22.39
KER	4.04

Production	t/yr
FFB Process	94,241.98
CPO Produced	21,468.53
PKO Produced	3,806.45

Land Use	Ha
OP Planted Area	4,429.11
OP Planted on peat	0.00
Conservation (forested)	0.00
Conservation (non-forested)	20.23
Total	4,449.34

Summary of Field Emission and Sink

	Own Crop*		Group		3 rd Party		Total	
	tCO ₂ e	tCO ₂ e / FFB	tCO ₂ e	tCO ₂ e / FFB	tCO ₂ e	tCO ₂ e / FFB	tCO ₂ e	tCO ₂ e / FFB
Emission								
Land Conversion	31,671.16	0.34	0.00	0.00	0.00	0.00	31,671.16	0.34
CO ₂ Emission from fertilizer	4,600.70	0.05	0.00	0.00	0.00	0.00	4,600.70	0.05
NO ₂ Emission	3,848.54	0.04	0.00	0.00	0.00	0.00	3,848.54	0.04
Fuel Consumption	619.98	0.01	0.00	0.00	0.00	0.00	619.98	0.01
Peat Oxidation	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Sink								
Crop Sequestration	-28,442.33	-0.30	0.00	0.00	0.00	0.00	-28,442.33	-0.30
Conservation Sequestration	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	12,298.05	0.13	0.00	0.00	0.00	0.00	12,298.05	0.13

**Note: Includes both estates and smallholders*

Summary of Mill Emission and Credit

	tCO ₂ e	tCO ₂ e/tFFB
Emission		
POME	14,429.25	0.15
Fuel Consumption	25.01	0.00
Grid Electricity Utilization	158.69	0.00
Credit		
Export of Grid Electricity	0.00	0.00
Sales of PKS	-542.45	-0.01
Sales of EFB	0.00	0.00
Total	14,070.50	0.15

Summary of Kernel Crusher Emission and Credit (if applicable)

Emissions	tCO ₂ e
PK from own mill	0.00
PK from other source	0.00
Fuel Consumptions	0.00
Total Crusher emissions	0.00

*This mill has no kernel crusher operation.

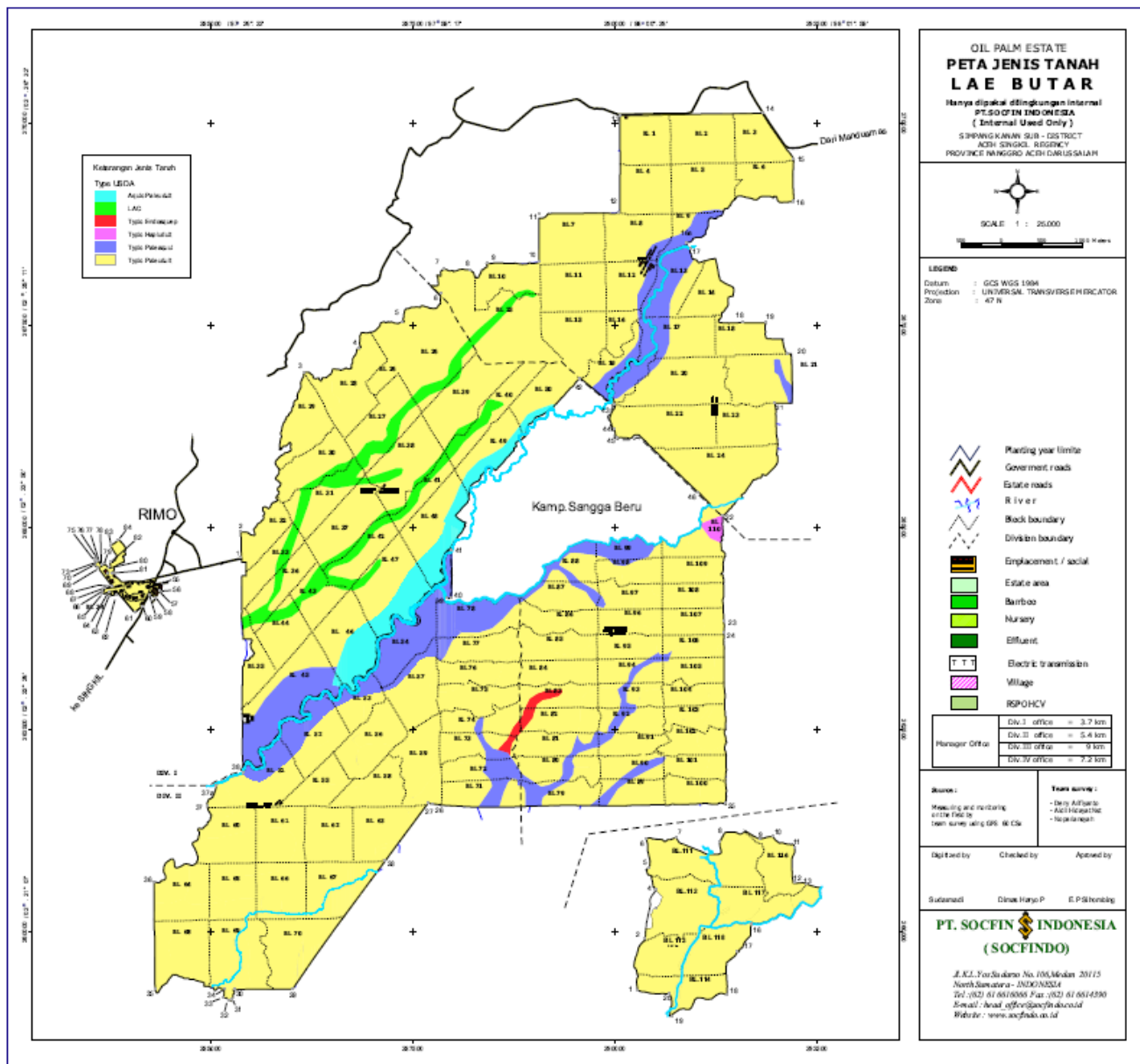
Palm Oil Mill Effluent (POME) Treatment:	
Divert to Compost (%)	0
Divert to anaerobic diversion (%)	100

POME Diverted to Anaerobic Digestion:	
Divert to anaerobic pond (%)	100
Divert to methane captured (flaring) (%)	0
Divert to methane captured (energy generation) (%)	0

Appendix C: Location Map of Certification Unit and Supply bases



Appendix D: Estate Field Map



Appendix E: List of Smallholder Registered and/or sampled

Not Applicable

Appendix F: List of Abbreviations

a.i	Active Ingredient
BOD	Biochemical Oxygen Demand
CB	Certification Bodies
CHRA	Chemical Health Risk Assessment
COD	Chemical Oxygen Demand
CPO	Crude Palm Oil
CSPO	Certified Sustainable Palm Oil
CSPKO	Certified Sustainable Palm Kernel Oil
EFB	Empty Fruit Bunch
EHS	Environmental, Health and Safety
EIA	Environmental Impact Assessment
EMS	Environmental Management System
FFB	Fresh Fruit Bunch
FPIC	Free, Prior, Informed and Consent
GAP	Good Agricultural Practice
GHG	Greenhouse Gas
GMP	Good Manufacturing Practice
GPS	Global Positioning System
HCV	High Conservation Value
IPM	Integrated Pest Management
IP	Identity Preserved
IS - CSPO	Independent Smallholder Certified Sustainable Palm Oil
IS – CSPKO	Independent Smallholder Certified Sustainable Palm Kernel Oil
IS – CSPKE	Independent Smallholder Certified Sustainable Palm Kernel Expeller
ISCC	International Sustainable Carbon Certification
ISS	Independent Smallholder Standard
LD50	Lethal Dose for 50 sample
MB	Mass Balance
MSDS	Material Safety Data Sheet
MT	Metric Tonnes
OER	Oil Extraction Rate
OSH	Occupational Safety and Health
PK	Palm Kernel
PKO	Palm Kernel Oil
POM	Palm Oil Mill
POME	Palm Oil Mill Effluent
PPE	Personal Protective Equipment
RSPO	Roundtable on Sustainable Palm Oil
P&C	Principles & Criteria
RTE	Rare, Threatened or Endangered species
SCCS	Supply Chain Certification Standard
SEIA	Social & Environmental Impact Assessment
SIA	Social Impact Assessment
SOP	Standard Operating Procedure